



Date Received:

Case Number:

## LEGISLATIVE ETHICS COMMITTEE

# COMPLAINT FORM

The Legislative Ethics Committee has the authority to investigate complaints against sitting legislators for alleged violations of matters covered by Chapter 138A of the North Carolina General Statutes (the State Government Ethics Act) or Article 14 of Chapter 120 of the North Carolina General Statutes (the Legislative Ethics Act). Examples of matters covered by the State Government Ethics Act include use of public position for private gain; conflict of interest while taking legislative action; or receipt of a prohibited gift. Examples of matters covered by the Legislative Ethics Act include bribery; unethical personnel-related action; disclosure of confidential information; a violation of the criminal law by a legislator while acting in the legislator's official capacity as a participant in the lawmaking process; violation of an Ethical Principle and Guideline adopted by the Legislative Ethics Committee; or violation of a formal written advisory opinion adopted by the Legislative Ethics Committee.

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### ***Submit the completed sworn complaint to:***

Cochairs, Legislative Ethics Committee  
c/o Legislative Analysis Division, North Carolina General Assembly  
300 N. Salisbury Street  
Room 545, Legislative Office Building  
Raleigh, North Carolina 27603-5925

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## **COMPLAINANT'S INFORMATION**

*(Please type or print clearly.)*

***The Legislative Ethics Committee cannot accept anonymous complaints. Please provide your name, address, county of voter registration, and telephone number.***

Printed Name: \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

County: \_\_\_\_\_ Telephone Number: (\_\_\_\_\_) \_\_\_\_\_

## NATURE OF THE COMPLAINT

1. The complaint must identify the individual legislator who you believe may have violated provisions of the State Government Ethics Act or the Legislative Ethics Act. If the complaint involves multiple legislators, a separate complaint form must be submitted for each legislator. ***The Legislative Ethics Committee only has jurisdiction over current legislators, and does not have jurisdiction over legislative employees, public servants, judicial officers, or former legislators.***

Name of the current legislator against whom the complaint is filed:

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2. The complaint must identify the specific timeframe of the alleged violation(s) of the State Government Ethics Act or the Legislative Ethics Act.

Provide the date(s) the alleged violation(s) occurred: \_\_\_\_\_

3. The complaint must be within your own personal knowledge, or you must provide the basis upon which you believe the alleged violation(s) of the State Government Ethics Act or the Legislative Ethics Act has occurred. Please provide a concise statement of the nature of your complaint including any relevant supporting facts with as much detail as possible. Attach any documentation you have that supports your claim, and *use additional pages if needed*.

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4. Provide the name(s) and contact information, if known, of any other person(s) who may have information that would support your claim.

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5. State whether the current legislator, and conduct described in Question 3 above, are or have been the subject of another complaint or proceeding of any kind. If so, state the place when and where the other complaint was filed and its current status, if known.

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### SWORN COMPLAINT

I hereby swear or affirm, under penalty of perjury and other penalties established by North Carolina law, that the information provided in this complaint is true, correct, complete, and of my own personal knowledge, or if not, I believe the information to be true based upon:

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Signature

Date

**STATE OF NORTH CAROLINA**

**COUNTY OF** \_\_\_\_\_

SWORN TO (or affirmed) and subscribed before me this day by: \_\_\_\_\_

Date: \_\_\_\_\_ Official Signature of Notary Public: \_\_\_\_\_

Typed or Printed Notary Public Name: \_\_\_\_\_

My Commission Expires: \_\_\_\_\_

*(Official Seal)*