

**GENERAL ASSEMBLY OF NORTH CAROLINA**  
**SESSION 2009**

**S**

**D**

**SENATE DRS55534-SBz-44\* (04/12)**

Short Title: Suspension and Revocation of Fishing Licenses.

(Public)

Sponsors: Senator Albertson.

Referred to:

A BILL TO BE ENTITLED

AN ACT TO DIRECT THE MARINE FISHERIES COMMISSION TO ADOPT RULES RELATED TO THE SUSPENSION, REVOCATION, AND REISSUANCE OF LICENSES AND TO MAKE CONFORMING CHANGES, AS RECOMMENDED BY THE JOINT LEGISLATIVE COMMISSION ON SEAFOOD AND AQUACULTURE.

The General Assembly of North Carolina enacts:

**SECTION 1.** The Marine Fisheries Commission shall adopt rules pursuant to and consistent with G.S. 113-171, as amended by Section 2 of this act, and G.S. 143B-289.52 for the suspension, revocation, and reissuance of marine resources licenses and permits issued under Articles 14A, 14B, and 25A of Chapter 113 of the General Statutes. Rules adopted pursuant to this section shall not become effective prior to October 1, 2012. In adopting rules pursuant to this section, the Commission shall consider all of the following:

- (1) Whether the rules should differentiate between minor and major violations.
- (2) How to define minor and major violations.
- (3) How service of revocation could be made more efficient.
- (4) How the rules should treat violations related to recreational fishing licenses and permits.
- (5) Whether violations related to littering or assault on a marine patrol inspector should be treated as grounds for suspension or revocation.
- (6) Whether suspension and revocation provisions should be strengthened in cases of harvesting shellfish from polluted waters.

**SECTION 2.** G.S. 113-171 reads as rewritten:

**"§ 113-171. Suspension, revocation, and reissuance of licenses.**

(a) Upon receipt of reliable notice that a person licensed under this ~~Article~~ Article, Article 14B, or Article 25A of Chapter 113 of the General Statutes to take resources under the jurisdiction of the Marine Fisheries Commission has had imposed against the person a conviction of a criminal offense within the jurisdiction of the Department under the provisions of this Subchapter or of rules of the Commission adopted under the authority of this Subchapter, the Secretary must suspend or revoke all licenses held by the person in accordance with the terms of this ~~section~~ section and rules adopted by the Commission. Reliable notice includes information furnished the Secretary in prosecution or other reports from inspectors. As used in this section, a conviction includes a plea of guilty or nolo contendere, any other termination of a criminal prosecution unfavorably to the defendant after jeopardy has attached, or any substitute for criminal prosecution whereby the defendant expressly or impliedly confesses the defendant's guilt. In particular, procedures whereby bond forfeitures are accepted



1 in lieu of proceeding to trial and cases indefinitely continued upon arrest of judgment or prayer  
2 for judgment continued are deemed convictions. The Secretary may act to suspend or revoke  
3 licenses upon the basis of any conviction in which:

- 4 (1) No notice of appeal has been given;
- 5 (2) The time for appeal has expired without an appeal having been perfected; or
- 6 (3) The conviction is sustained on appeal. Where there is a new trial, finality of  
7 any subsequent conviction will be determined in the manner set out above.

8 (b) The Secretary must initiate an administrative procedure designed to give the  
9 Secretary systematic notice of all convictions of criminal offenses by licensees covered by  
10 subsection (a) of this section above and keep a file of all convictions reported. ~~Upon receipt of  
11 notice of conviction, the Secretary must determine whether it is a first, a second, a third, or a  
12 fourth or subsequent conviction of some offense covered by subsection (a). In the case of  
13 second convictions, the Secretary must suspend all licenses issued to the licensee for a period  
14 of 10 days. In the case of third convictions, the Secretary must suspend all licenses issued to the  
15 licensee for a period of 30 days. In the case of fourth or subsequent convictions, the Secretary  
16 must revoke all licenses issued to the licensee. Where several convictions result from a single  
17 transaction or occurrence, they are to be treated as a single conviction so far as suspension or  
18 revocation of the licenses of any licensee is concerned. Anyone convicted of taking or of  
19 knowingly possessing, transporting, buying, selling, or offering to buy or sell oysters or clams  
20 from areas closed because of suspected pollution will be deemed by the Secretary to have been  
21 convicted of two separate offenses on different occasions for license suspension or revocation  
22 purposes.~~

23 ~~(c) Where a license has been suspended or revoked, the former licensee is not eligible  
24 to apply for reissuance of license or for any additional license authorized in this Article during  
25 the suspension or revocation period. Licenses must be returned to the licensee by the Secretary  
26 or the Secretary's agents at the end of a period of suspension. Where there has been a  
27 revocation, application for reissuance of license or for an additional license may not be made  
28 until six months following the date of revocation. In such case of revocation, the eligible  
29 former licensee must satisfy the Secretary that the licensee will strive in the future to conduct  
30 the operations for which the license is sought in accord with all applicable laws and rules. Upon  
31 the application of an eligible former licensee after revocation, the Secretary, in the Secretary's  
32 discretion, may issue one license sought but not another, as deemed necessary to prevent the  
33 hazard of recurring violations of the law.~~

34 ~~(d) Upon receiving reliable information of a licensee's conviction of a second or  
35 subsequent criminal offense covered by subsection (a) of this section, the Secretary shall  
36 promptly cause the licensee to be personally served with written notice of suspension or  
37 revocation, as the case may be. The written notice may be served upon any responsible  
38 individual affiliated with the corporation, partnership, or association where the licensee is not  
39 an individual. The notice of suspension or revocation may be served by an inspector or other  
40 agent of the Department, must state the ground upon which it is based, and takes effect  
41 immediately upon personal service. The agent of the Secretary making service shall then or  
42 subsequently, as may be feasible under the circumstances, collect all license certificates and  
43 plates and other forms or records relating to the license as directed by the Secretary. It is  
44 unlawful for any licensee willfully to evade the personal service prescribed in this subsection.~~

45 (e) A licensee served with a notice of suspension or revocation may obtain an  
46 administrative review of the suspension or revocation by filing a petition for a contested case  
47 under G.S. 150B-23 within 20 days after receiving the notice. The only issue in the hearing  
48 shall be whether the licensee was convicted of a criminal offense for which a license must be  
49 suspended or revoked. A license remains suspended or revoked pending the final decision by  
50 the Secretary.

1 (f) If the Secretary refuses to reissue the license of or issue an additional license to an  
2 applicant whose license was revoked, the applicant may contest the decision by filing a petition  
3 for a contested case under G.S. 150B-23 within 20 days after the Secretary makes the decision.  
4 The Commission shall make the final agency decision in a contested case under this subsection.  
5 An applicant whose license is denied under this subsection may not reapply for the same  
6 license for at least six months.

7 (g) The Commission may adopt rules to provide for the disclosure of the identity of any  
8 individual or individuals in responsible positions of control respecting operations of any  
9 licensee that is not an individual. For the purposes of this section, individuals in responsible  
10 positions of control are deemed to be individual licensees and subject to suspension and  
11 revocation requirements in regard to any applications for license they may make – either as  
12 individuals or as persons in responsible positions of control in any corporation, partnership, or  
13 association. In the case of individual licensees, the individual applying for a license or licensed  
14 under this ~~Article~~ Article, Article 14B, or Article 25A of Chapter 113 of the General Statutes to  
15 take resources under the jurisdiction of the Marine Fisheries Commission must be the real party  
16 in interest.

17 (h) In determining whether a conviction is a second or subsequent offense under the  
18 provisions of this section, the Secretary may not consider convictions ~~for~~ for any of the  
19 following:

- 20 (1) Offenses that occurred three years prior to the effective date of this ~~Article;~~  
21 ~~or~~ Article.  
22 (1a) Offenses that occurred prior to October 1, 2009.  
23 (2) Offenses that occurred more than three years prior to the time of the latest  
24 offense the conviction for which is in issue as a subsequent conviction."

25 **SECTION 3.** Section 2 of this act becomes effective October 1, 2012. The  
26 remainder of this act is effective when it becomes law.