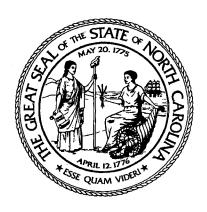
JOINT LEGISLATIVE ADMINISTRATIVE PROCEDURE OVERSIGHT COMMITTEE



REPORT TO THE 1999 GENERAL ASSEMBLY OF NORTH CAROLINA

2000 REGULAR SESSION

A LIMITED NUMBER OF COPIES OF THIS REPORT IS AVAILABLE FOR DISTRIBUTION THROUGH THE LEGISLATIVE LIBRARY.

ROOMS 2126, 2226 STATE LEGISLATIVE BUILDING RALEIGH, NORTH CAROLINA 27611 TELEPHONE: (919) 733-7778

OR

ROOM 500 LEGISLATIVE OFFICE BUILDING RALEIGH, NORTH CAROLINA 27603-5925 TELEPHONE: (919) 733-9390

TABLE OF CONTENTS

Letter of Transmittal	1
Joint Legislative Administrative Procedure Oversight Committee Membership	2
Statutory Charge	3
SECTION I – COMMITTEE PROCEEDINGS	I-1
SECTION II - FINDINGS & RECOMMENDATIONS	
Finding & Recommendation #1 Temporary Rule-making Procedures	II-1
Finding & Recommendation #2 Agency Exemptions from the APA	II-3
Finding & Recommendation #3 Contested Case Procedures	II-3
Finding & Recommendation #4 Board of Engineers & Land Surveyors Statutory Authority	II-5

•

SECTION III - LEGISLATIVE PROPOSALS

 Legislative Proposal #1: Codify Temporary Rulemaking Exceptions Information Sheet Bill Summary Section-by-Section Analysis A BILL TO BE ENTITLED AN ACT TO IMPLEMENT A RECOMMENDATION OF THE JOINT LEGISLATIVE ADMINISTRATIVE PROCEDURE OVERSIGHT COMMITTEE TO CODIFY AND CROSS-REFERENCE PROVISIONS OF THE GENERAL STATUTES AND SESSION LAWS GRANTING TEMPORARY RULEMAKING AUTHORITY TO VARIOUS AGENCIES. 	III-1 III-2 III-3 III-5
 Legislative Proposal #2: Information Sheet Bill Summary A BILL TO BE ENTITLED AN ACT TO IMPLEMENT A RECOMMENDATION OF THE JOINT LEGISLATIVE ADMINISTRATIVE PROCEDURE OVERSIGHT COMMITTEE TO INCLUDE CONSIDERATION OF BILLS WHICH AFFECT THE RULE-MAKING POWER OF AN AGENCY OF OTHERWISE AMEND THE ADMINISTRATIVE PROCEDURE ACT. 	III-13 III-14 III-15
 Legislative Proposal #3: APA TECHNICAL CHANGES Information Sheet Bill Summary & Section-by-Section Analysis A BILL TO BE ENTITLED AN ACT TO IMPLEMENT A RECOMMENDATION OF THE JOINT LEGISLATIVE ADMINISTRATIVE PROCEDURE OVERSIGHT COMMITTEE TO REVISE OR REPEAL OBSOLETE STATUTORY REFERENCES TO VARIOUS APA PROVISIONS. 	III-17 III-18 III-20
Legislative Proposal #4: Professional Engineers/Land Surveyors Licensure Information Sheet Bill Summary A BILL TO BE ENTITLED AN ACT TO IMPLEMENT A RECOMMENDATION OF THE JOINT LEGISLATIVE ADMINISTRATIVE PROCEDURE OVERSIGHT COMMITTEE TO AUTHORIZE THE BOARD OF ENGINEERS AND LAND SURVEYORS TO ADOPT	III-27 III-28 III-30

RULES TO REQUIRE ADDITIONAL INFORMATION PRIOR TO THE RE-EXAMINATION OF AN APPLICANT; LIMIT THE NUMBER OF OFFICES A LICENSEE MAY SUPERVISE; AND CHANGE THE ANNUAL LICENSE RENEWAL DATE FOR BUSINESSES.

SECTION IV - TEMPORARY RULES ADOPTED PURSUANT TO SL 99-463

IV-1

SECTION V - EXHIBITS

EXHIBIT A - Article 12K of the General Statutes – Joint Legislative Administrative Procedure Oversight Committee

EXHIBIT B - APA-Related Legislation - 1999 Regular Session

EXHIBIT C-

- Rules Subject to Legislative Disapproval 2000 Regular Session
- Rules Review Commission Objections

EXHIBIT D -

- Temporary Rules Chart: 12/92 11/99
- Temporary Rule-making Provisions by Agency
- Types of Temporary Rule-making Exceptions

EXHIBIT E - Agency Exemptions from the APA

EXHIBIT F -

- HB 968, 2nd ed., Summary & Bill
- Contested Case Statistics

EXHIBIT G - Constitutionality of HB 968, 2nd edition

EXHIBIT H - Temporary Rules Adopted Pursuant to SL 99-463



JOINT LEGISLATIVE ADMINISTRATIVE PROCEDURE OVERSIGHT COMMITTEE

NORTH CAROLINA GENERAL ASSEMBLY ROOM 526, LEGISLATIVE OFFICE BUILDING 300 NORTH SALISBURY STREET RALEIGH, NORTH CAROLINA 27603-5925

CO-CHAIRS:

Senator John H. Kerr, III Representative E. David Redwine Committee Counsel: Karen Cochrane-Brown Research Assistant: Mary Shuping Telephone: (919)733-2578 FAX (919)715-5640

Committee Clerk: Evelyn S. Hartsell: Telephone: (919)733-5621 FAX (919)733-3113

May 1, 2000

TO THE MEMBERS OF THE 1999 GENERAL ASSEMBLY (REGULAR SESSION 2000):

The Joint Legislative Administrative Procedure Oversight Committee herewith submits to you for your consideration its report pursuant to G.S. 120-70.101(8).

Respectfully submitted,

Senator John H. Kerr, III

Representative E. David Redwine

Co-chairs
Joint Legislative Administrative Procedure Oversight Committee

	# · · · · · · · · · · · · · · · · · · ·			
V				
			÷	
			•	
	·			
			•	
•				-
				1

ADMINISTRATIVE PROCEDURE OVERSIGHT COMMITTEE, JOINT LEGISLATIVE

1999-2000

G.S. 120-70.100

SENATE APPOINTEES

Sen. John H. Kerr, III, Cochair

Sen. Frank W. Ballance, Jr.

Sen. Virginia Foxx

Sen. Oscar N. Harris

Sen. Fletcher L. Hartsell, Jr.

Sen. Brad Miller

Sen. William R. Purcell

Sen. Hugh Webster

HOUSE APPOINTEES

Rep. E. David Redwine, Cochair

Rep. Daniel W. Barefoot

Rep. John W. Brown

Rep. Thomas C. Hardaway

Rep. Mary L. Jarrell

Rep. W. Eugene McCombs

Rep. Martin L. Nesbitt, Jr.

Rep. Connie Wilson

COMMITTEE STAFF:

Karen Cochrane-Brown, Committee Counsel

Mary Shuping, Committee Staff

Evelyn Hartsell, Committee Clerk

	X
	· · · · · · · · · · · · · · · · · · ·
•. •	
	i •

STATUTORY CHARGE

The Joint Legislative Administrative Procedure Oversight Committee was established by Section 27.8(a) of Chapter 507 of the 1995 Session Laws as Article 12K of Chapter 120 of the General Statutes, to serve as the oversight committee on administrative procedure matters in the Legislative Branch of State Government. The Committee consists of sixteen members of the General Assembly, eight members of the Senate appointed by the President Pro Tempore of the Senate and eight members of the House of Representatives appointed by the Speaker of the House. Each of the appointing authorities designates one of the appointees to serve a co-chair. The Committee is co-chaired by Senator John Kerr and Representative David Redwine. A complete list of members is found on page 2.

- G.S. 120-70.101 gives the Committee the following powers and duties:
- (1) To review rules to which the Rules Review Commission has objected to determine if statutory changes are needed to enable the agency to fulfill the intent of the General Assembly.
- (2) To receive reports prepared by the Rules Review Commission containing the text and a summary of each rule approved by the Commission.
- (3) To prepare a notebook that contains the administrative rules that have been approved by the Rules Review Commission and reported to the Committee and to notify each member of the General Assembly of the availability of the notebook.
- (4) To review State regulatory programs to determine if the programs overlap, have conflicting goals, or could be simplified and still achieve the purpose of the regulation.
- (5) To review existing rules to determine if the rules are necessary or if the rules can be streamlined.
- (6) To review the rule-making process to determine if the procedures for adopting rules give the public adequate notice of and information about proposed rules.
- (7) To review any other concerns about administrative law to determine if statutory changes are needed.
- (8) To report to the General Assembly from time-to-time concerning the Committee's activities and any recommendations for statutory changes.

A copy of the statute is found in EXHIBIT A.

SECTION I

COMMITTEE PROCEEDINGS

	•	
		!
		·
•		
		,
	s.	

COMMITTEE PROCEEDINGS

Meetings. During the 1999-2000 interim, the Committee scheduled six meetings and met four times: November 10, 1999, February 17, 2000, March 16, 2000, and April 20, 2000. Two meetings were canceled. The December 16, 1999 meeting was canceled due to the 1999 Extra Session of the General Assembly, and the January 27, 2000 meeting was canceled due to inclement weather. The report was reviewed and approved at the April 20th meeting.

Orientation Session. An orientation session was held for all members on November 10, 1999. Information was provided on the background and history of the Administrative Procedure Act (APA), along with an overview of the rule-making process. Staff from the Office of Administrative Hearings, the Office of State Budget and Management, and the Rules Review Commission also made presentations concerning their respective roles in the APA process.

APA-Related Legislation – 1999 Regular Session. The Committee was also provided with a legislative report concerning APA-related bills introduced during the 1999 Session. No bills were introduced to disapprove rules pursuant to G.S. 150B-21.1(3)(b), and the Committee had recommended no legislation to the 1999 Session. However, there were several bills introduced that affected the APA process for various agencies. Detailed information on APA-related legislation can be found in **EXHIBIT B**.

Issues. The Committee also examined several issues related to the APA process. The Committee looked in detail at HB 968, Amend Contested Case Procedure, which would transfer final decision-making authority to an administrative law judge for those contested cases conducted under Article 3 of the APA. The Committee also examined exceptions to both the temporary and permanent rule-making processes of the APA. The Committee also examined the rule-making process for the Department of Revenue. Finally, the Committee was presented with information from the Engineers and Land Surveyors Board of Examiners regarding an objection to the Board's rules by the Rules Review Commission. Detailed information on these issues is found under SECTION II – FINDINGS AND RECOMMENDATIONS and SECTION III – LEGISLATIVE PROPOSALS.

Finally, the Committee was provided with monthly reports from the Rules Review Commission which included reports on the permanent rules approved by the Rules Review Commission that are subject to legislative disapproval during the 2000 Regular Session of the General Assembly. A list of permanent rules approved by the Rules Review Commission for the months of January 1999 – April 2000 and a list of Rules Review Commission objections are found in EXHIBIT C.

	i		
	4		
- 10 10			and the same of th
i,			

SECTION II

FINDINGS & RECOMMENDATIONS

		1
		1

Finding and Recommendation #1 TEMPORARY RULE-MAKING PROCEDURES

<u>Background</u>. Typically, agencies may adopt temporary rules only in the following circumstances:

- A serious and unforeseen threat to the public health, safety, or welfare.
- The effective date of a recent act of the General Assembly or the U.S. Congress.
- A recent change in federal or State budgetary policy.
- A federal regulation.
- A court order.

Temporary rules are reviewed by an administrative law judge (ALJ) in the Office of Administrative Hearings (OAH) to ensure that the agency's need for the temporary rule meets at least one of the above-cited criteria. The rule is $n\alpha$ reviewed for statutory authority, clarity, or necessity. Temporary rules may become effective within one business day. Finally, if an ALJ objects to the temporary rule, the agency may file the temporary rule over the objection.

When agencies adopt temporary rules, they are required to begin the permanent rule-making process. In order for a temporary rule to remain in effect until the permanent rule becomes effective, the agency must submit the permanent rule to the Rules Review Commission within 270 days. Failure to do so results in the expiration of the temporary rule.

<u>Findings</u>. The Committee was presented with information showing a large increase in the number of temporary rules adopted by agencies over the past year. The average number of temporary rules adopted by agencies rose from approximately 235 temporary rules per year for the period 12/92 – 11/98 to 661 temporary rules for the period 12/98 – 11/99. See. EXHIBIT D.

The Committee also found that since 1995, numerous agencies have received various types of expanded temporary rule-making authority. Five of these expanded authorities have been codified in the APA, five have been codified in the agencies' substantive statutes, and the remainder are contained in uncodified session law. See EXHIBIT D.

The Committee also identified several general types of exceptions to the temporary rule-making procedures contained in G.S. 150B-21.1(a), including:

- Extending the period of time during which an agency can adopt a temporary rule to implement a recent act of the General Assembly.
- Granting agencies an indefinite period of time during which to adopt a temporary rule.
- Allowing temporary rules to remain in effect longer than 270 days.
- Granting agencies additional bases for adopting temporary rules.

The Committee also found that there is no single standing committee in the General Assembly which reviews APA-related legislation during session.

See EXHIBIT D.

<u>Recommendations</u>. In studying the expanded temporary rule-making authorities, the Committee identified several issues and recommended further study during the 2000 interim, including:

- OAH definition of "recent act". Is 180 days sufficient time for an agency to adopt temporary rules to implement recent acts of the General Assembly?
- Adequate public notice for temporary rules. Since public notice for temporary rules is not mandated in the APA, should the temporary rule-making process be modified to include a compulsory public notice period?
- Delayed effective date for permanent rules. Is the delay in the effective date for permanent rules one of the reasons agencies are being given expanded temporary rule-making authority?

Also, in examining the numerous temporary rule-making provisions, the Committee recommends that all temporary rule-making provisions be codified in the APA See SECTION III – LEGISLATIVE PROPOSAL #1.

Finally, the Committee recommended that legislation be introduced to authorize the Joint Legislative Administrative Procedure Oversight Committee to meet during session to review all bills which modify an agency's rule-making or contested case procedures. See SECTION III – LEGISLATIVE PROPOSAL #2.

Finding and Recommendation #2 AGENCY EXEMPTIONS FROM THE APA

<u>Background</u>. The APA establishes procedures for rule-making, contested cases, and judicial review. These procedures ensure that affected parties receive adequate public notice and opportunity to comment on proposed rules, an opportunity for aggrieved parties to resolve their disputes involving the application of agency rules, and the appeal of agency decisions made as the result of a dispute.

While most executive branch agencies are subject to the APA, several provisions of the APA and various statutes outside the APA either exempt certain agencies entirely or provide that agencies are not subject to a particular Article or component of the APA.

Findings. During its meetings, the Committee expressed concern over the number of agencies exempt from all or part of the APA. On April 20, 2000, Committee staff presented the members with a list of agencies exempt from all or part of the APA. See EXHIBIT E. During this review, the Committee found that numerous agencies are exempt from all or parts of the APA.

Recommendation. The Committee recommends an in-depth study of all exempt agencies during the interim. The Committee also identified several references to repealed parts of the APA throughout the General Statutes. The Committee recommended a technical change bill to modify or delete those references. See SECTION III – LEGISLATIVE PROPOSAL #3.

Finding and Recommendation #3 AMEND CONTESTED CASE PROCEDURES

Background. Current G.S. 150B - Role of the Office of Administrative Hearings in Contested Case Proceedings: Under Article 3 of G.S. 150B (the APA), the Office of Administrative Hearings (OAH) is charged with hearing disputes between an agency and another person in situations involving that person's rights, duties, or privileges. Persons who have grievances against an agency may file a petition with OAH. The petition must state the facts showing that the agency deprived the person of property, ordered the person to pay a

fine or civil penalty, or otherwise substantially prejudiced the person's rights, and that the agency:

- Exceeded its authority or jurisdiction;
- Acted erroneously;
- Failed to use proper procedure;
- Acted arbitrarily or capriciously; or
- Failed to act as required by rule or law.

Hearings are conducted by an Administrative Law Judge (ALJ). In most cases, the ALJ makes a recommended decision that contains findings of fact and conclusions of law. However, the agency makes the *final* decision and may either accept or reject the ALJ's recommended decision. If the agency fails to adopt the ALJ's recommended decision, the agency must state in its decision the specific reasons why it did not adopt the recommended decision.

Any person who is aggrieved by a final decision in a contested case, and who has exhausted all other means of resolution, is entitled to judicial review under Article 4 of G.S. 150B.

<u>Findings.</u> At its February 17, 2000 meeting, the Committee held an in-depth discussion of HB 968, Amend Contested Case Procedure, introduced by Representative Martin Nesbitt, and Representatives David Redwine and Connie Wilson. House Bill 968 would amend the current contested case procedure contained in Article 3 of the APA to give administrative law judges in the Office of Administrative Hearings final decision-making authority in contested cases and would give both parties to a contested case the right to seek judicial review of the final decision in Superior Court. House Bill 968, 2nd ed., passed the House and is in the Senate Judiciary II Committee. See **EXHIBIT F**.

At the meeting, concerns were raised about the constitutionality of the bill as currently written. Specifically, the Attorney General's Office issued an advisory opinion to the Office of State Personnel stating that giving administrative law judges final decision-making authority would violate the separation of powers clause of the North Carolina Constitution. Mr. Tom Moffitt with the Attorney General's office explained the basis for his conclusion that HB 968, as currently drafted, violates the constitution. However, Karen Cochrane-Brown, Committee Counsel cited her opinion that HB 968, 2nd ed., would not violate any provisions of the North Carolina Constitution.

The Committee determined that the current contested case system was unfair to the petitioner and that the APA should ensure petitioners a fair process in contested case proceedings. The Committee was also concerned that while agencies almost always adopted the ALJ's recommended decision when it was in favor of the agency, agencies rarely adopted the ALJ's recommended decision when it was in favor of the petitioner. See EXHIBIT F.

In addition to Committee counsel's opinion that HB 968 as drafted is constitutional, the Committee also heard from Gerry Cohen, Director, NCGA Bill Drafting Division. Mr. Cohen stated that he also believed that giving administrative law judges final agency decision-making authority in contested cases is constitutional. Mr. Cohen's presentation to the Committee is found in **EXHIBIT G.**

Finding and Recommendation #4 Rules Adopted by the Board of Engineers & Land Surveyors

<u>Findings</u>. At its meeting on April 13, 2000, the Rules Review Commission objected to several rules adopted by the Board of Engineers and Land Surveyors. The Rules Review Commission objected to these rules citing a lack of statutory authority. The objections were to existing language in the rules. Specifically, the Rules Review Commission found that:

- The Board does not have the authority to require that a resident professional licensee spend a majority of the licensee's normal working hours in a particular place of business.
- The Board does not have the authority to require that an applicant for reexamination demonstrate that the applicant has taken actions to improve the chances of passing the exam.
- The Board cannot change the expiration date for business and firm licenses from December 31st to June 30th..

The Committee heard from Jerry Carter, Executive Director of the Board who requested legislation to address the objections raised by the Rules Review Commission.

<u>Recommendation</u>. The Committee recommends that a bill be introduced without prejudice which would give the Board the appropriate statutory authority to adopt the rules to which the Rules Review Commission objected. SEE LEGISLATIVE PROPOSAL #4.

				÷	
	· .				
	•				
			ı		
			,		
÷					:
					-

SECTION III

LEGISLATIVE PROPOSALS

•					
					-
	v.				
					-
				•	
					4
				•	

*LEGISLATIVE PROPOSAL #1

A RECOMMENDATION OF THE JOINT LEGISLATIVE ADMINISTRATIVE PROCEDURE OVERSIGHT COMMITTEE TO THE 1999 SESSION OF THE GENERAL ASSEMBLY, 2000 REGULAR SESSION, TO CODIFY AND CROSS-REFERENCE PROVISIONS OF THE GENERAL STATUTES AND SESSION LAWS GRANTING TEMPORARY RULE-MAKING AUTHORITY TO VARIOUS AGENCIES.

Short Title:

Codify Temporary Rule-making Exceptions.

Statute(s) Affected:

GS 150B-21.1 (APA)

SL 99-237; GS 143-215.1B(c); GS 130A-125(b1); GS 108A-27(c); GS 143B-289.52(e); GS 131-292.2(a1); SL 98-221, Sec. 2.1 SL 99-329, Sec. 7.1; SL 98-212, Sec. 9.1(e); SL 97-400, Sec. 6.10; SL 98-225, Sec. 5.3; SL 97-507, Sec. 8; SL 99-463, ES, Sec. 4; SL 95-377, Sec. 16; SL 99-463 ES, Sec. 4

Agency Affected:

Department of Administration.

Department of Environment & Natural Resources: Coastal Resources Commission; Environmental Management Commission; Marine Fisheries Commission; Wildlife Resources Commission;

Department of Health & Human Services: Commission for Health Services; Division of Medical Assistance; Secretary.

Department of Public Instruction.

Various Agencies w/Regard to Hurricane Floyd Temporary Rules.

Interested Parties:

Agencies listed above.

Explanation of Proposal: In addition to the temporary rule-making exceptions codified in the APA, there are numerous temporary rule-making authorities found outside the APA, in either the agency's substantive statutes or in session law. The bill would codify all temporary rule-making provisions in the APA. NOTE: All of these provisions are contained in current law. This bill does not modify any agency's existing temporary rule-making authority by expanding, limiting, or adding any new authority to adopt temporary rules.

Appropriations and/or Fees:

None.

Effective Date:

When the act becomes law.



LEGISLATIVE PROPOSAL #1: Codify Temporary Rule Exceptions

BILL ANALYSIS

Committee: RECOMMENDED BY: Joint

Introduced by: Summary by:

Legis. Admin. Procedure.

by: Mary Shuping

Oversight Committee

Committee Staff

Date:

April 20, 2000

Version:

DRAFT: 99-ROZ-020(4.15)

SUMMARY: This bill would implement a recommendation of the Committee to codify the temporary rule-making authorities contained in session law and statutes other than the APA.

CURRENT LAW: Currently, the APA (GS 150B-21.1) provides for the adoption of temporary rules only in the following circumstances:

• A serious and unforeseen threat to the public health, safety, or welfare.

• The effective date of a recent act of the General Assembly or the U.S. Congress.

• A recent change in federal or State budgetary policy.

• A federal regulation.

A court order.

Temporary rules are reviewed by an administrative law judge (ALJ) in the Office of Administrative Hearings (OAH) to ensure that the agency's need for the temporary rule meets at least one of the above-cited criteria. The rule is *not* reviewed for statutory authority, clarity, and necessity. Temporary rules may become effective within 24 hours. Finally, if an ALJ objects to the temporary rule, the agency may file the temporary rule over that objection.

When agencies adopt temporary rules, they are required to begin the permanent rule-making process. In order for a temporary rule to remain in effect until the permanent rule becomes effective, the agency must submit the permanent rule to the Rules Review Commission within 270 days. Failure to do so results in the expiration of the temporary rule.

BILL ANALYSIS: 99-ROZ-020(4.15) would codify all temporary rule-making provisions in the APA. A section-by-section explanation of these provisions is attached. NOTE: All of these provisions are contained in current law. This bill does not modify any agency's existing temporary rule-making authority by expanding, limiting, or adding any new authority to adopt temporary rules.

BACKGROUND: At its March 16, 2000 meeting, the Committee was presented with information showing a large increase in the number of temporary rules adopted by agencies over the past year. The average number of temporary rules had risen from approximately 235 temporary rules per year for the period 12/92 - 11/98 to 661 temporary rules for the period 12/98 - 11/99.

The Committee also found that since 1995, numerous agencies have received various types of expanded temporary rule-making authority. Five of these expanded authorities have been codified in the APA, five have been codified in the agencies' substantive statutes, and the remainder are contained in uncodified session law.

Therefore, in order to ensure that all exceptions to temporary rule-making are contained in one place in the General Statutes, the Committee recommended that these provisions be codified in the APA.

Section-by-Section Analysis 99-ROZ-020(4.15)

CODIFY TEMPORARY RULE-MAKING EXCEPTIONS

Section 1.

AMENDS GS 150B-21.1 TO ADD	Analysis: Gives agency an additional basis for the adoption of a temporary rule, other than those found under GS 150B-21.1(a). The APA procedures for adoption of the temporary rule, its effective date and expiration date apply to any of these temporary rules.	CORRESPOND POUND LINDST
(a)(7)	DHHS/Division of Medical Assistance: Allows DHHS to adopt temporary rules to administer the federal Medicaid estate recovery program.	SL 99-237 ¹
(a)(8)	Allows DHHS to adopt temporary rules to maximize the receipt of federal funds,	
(a)(9)	DENR/Environmental Management Commission Allows the EMC to adopt temporary rules to establish the maximum loads or concentration limits for nitrogen and phosphorous discharges.	GS 143-215.1B(c)
(a)(10)	DHHS/Health Services Commission Allows the Health Services Commission to adopt temporary rules governing the newborn screening program.	GS 130A-125(b1)
(a)(11)	DHHS/Secretary Allows the Secretary to adopt rules governing the Work First Program if required by federal law.	GS 108A-27(c)
(a)(12)	DENR/Marine Fisheries Commission Allows the Commission to adopt temporary rules within 6 months of the adoption or amendment of a fishery management plan adopted by the Atlantic States Marine Fisheries Commission.	GS 143B-289.52(e)
(a)(13)	DENR/Wildlife Resources Commission Allows the Commission to adopt temporary rules responding to rabies outbreaks.	GS 131-292.2(a1)
(a)(14)	DENR/Coastal Resources Commission Allows the Commission to adopt temporary rules governing coastal energy facilities. Sunset: July 1, 2005.	SL 98-221, Sec. 2.1
AMENDS GS 150B-21.1	ADDITIONAL BASIS & DIFFERENT PROCEDURE FOR ADOPTION OF TEMPORARY RULES.	CURRENIEV ROUND UNDER: 25
TO ADD	Analysis: Gives the agency an additional basis for adopting a temporary rule and mandates public notice, comment, and hearing prior to the adoption of the temporary rule.	
(a6)	DENR/Environmental Management Commission Allows the EMC to adopt temporary rules to protect water quality standards in the Cape Fear, Catawba, and Tar-Pamlico River Basins, and also provides mandatory procedures for public notice and hearing	SL 99-329, Sec. 7.1

¹ These provisions have been included in the Appropriations Act since 1995.

	prior to the adoption of any temporary rules. Sunset: July 1, 2001.	
1000	INDEFINITE PERIOD OF TIME DURING WHICH AN AGENCY CAN	
AMENDS	ADOPT TEMPORARY RULES TO IMPLEMENT LEGISLATION.	CURRENIES HOUSE
(a7)	Analysis: Under the APA and rules adopted by the Office of	
TOADD	Administrative Hearings, an agency has up to 180 days after the	
	effective date of an act to adopt temporary rules implementing that	Set with the second between the contract
	act. The following provisions allow the agency an indefinite period	
	of time during which to adopt temporary rule to implement a	11 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
460 e - 1	recent act of the General Assembly.	
	Tree in the decision of the de	
(1)	Department of Administration	SL 98-212, Sec. 9.1(e)
(1)	Allows the adoption of temporary rules for driver's education	
	certificates for non-public schools until all rules necessary to implement	
	SL 98-212 have been adopted.	
(2)	DENR/Marine Fisheries Commission	SL 97-400, Sec. 6.10
(2)	Allows Commission to adopt temporary rules to implement the	
	Fisheries Reform Act of 1997 until all rules necessary to implement the	
	act have been adopted.	
(2)	Allows Commission to adopt temporary rules to implement the	SL 98-225, Sec. 5.3
(3)	provisions of SL 98-225, Marine Fisheries Amendments, until all rules	SE 70-223, Sec. 3.3
	necessary to implement the act have been adopted.	
(4)	Department of Public Instruction/Other Agencies	SL 97-507, Sec. 8
(4)	Allows all departments to which the act applies to adopt temporary	3L 37-307, Sec. 0
	Prevention/Drivers Licenses, until all rules necessary to implement the act have been adopted.	
(5)	Various Agencies	SL 99-463, ES, Sec. 4
(5)	Allows adoption of temporary rules relating to the Hurricane Floyd	SL 99-403, ES, Sec. 4
TV.	Recovery Act. The temporary rules must be applicable only to the	: '
	counties declared federal disaster areas. All temporary rules adopted	:
	must be reported to the Joint Legislative Administrative Procedure	
	Oversight Committee.	
	TEMPORARY RULES MAY REMAIN IN EFFECT LONGER THAN	CURRENCE PAINS
AMENDS	270 DAYS.	UNDER,
GS 150B-21.1	Analysis: Normally, temporary rules adopted by agencies expire on	30 MAN
11 14 (d1) 11 to 1	the 270th day after publication in the NC Register, unless the agency	
TO ADD		E jeneral energiaets <u>j</u>
20 20 30 11 11 11 11 11 11 11 11 11 11 11 11 11	has submitted the permanent version of the rule to the Rules	
	Review Commission. The following temporary rules may remain	
100	in place beyond 270 days.	The same of the sa
	DEND (Freeing) montal Management Commission	SL 95-377, Sec. 16
(1)	DENR/Environmental Management Commission	311 73"3 / /, BCC. 10
	Allows rules relating to underground storage tanks adopted pursuant to	:
	SL 95-377, Underground Storage Tank Amendments, to remain in	
<u> </u>	effect until the Commission adopts permanent rules.	SI 00 463 FS Soc 4
(2)	Various Agencies	SL 99-463 ES, Sec. 4
	Allows temporary rules related to the Hurricane Floyd Recovery Act to	· !
	remain in effect until the expiration date set by the agency.	

Section 2. Provides that the act is effective when it becomes law.

GENERAL ASSEMBLY OF NORTH CAROLINA

SESSION 1999

D

S/H

99-ROZ-020(4.15)(THIS IS A DRAFT AND IS NOT READY FOR INTRODUCTION)

(Public) Short Title: Codify Temp. Rule Exceptions. Sponsors: Redwine. Referred to:

A BILL TO BE ENTITLED

2 AN ACT TO IMPLEMENT A RECOMMENDATION OF THE JOINT LEGISLATIVE CODIFY ADMINISTRATIVE PROCEDURE OVERSIGHT COMMITTEE 3 CROSS-REFERENCE PROVISIONS OF THE GENERAL STATUTES AND SESSION VARIOUS RULEMAKING AUTHORITY 5 GRANTING TEMPORARY LAWS

AGENCIES.

7 The General Assembly of North Carolina enacts:

Section 1. G.S. 150B-21.1 reads as rewritten:

- 9 "§ 150B-21.1. Procedure for adopting a temporary rule.
- Adoption. -- An agency may adopt a temporary rule without 10 11 prior notice or hearing or upon any abbreviated notice or hearing 12 the agency finds practical when it finds that adherence to the 13 notice and hearing requirements of this Part would be contrary to
- 14 the public interest and that the immediate adoption of the rule

15 is required by one or more of the following:

- A serious and unforeseen threat to the public 16 health, safety, or welfare. 17
- The effective date of a recent act of the General 18 (2) Assembly or the United States Congress. 19

A recent change in federal or State budgetary 1 (3) 2 policy. A federal regulation. 3 (4)A court order. 4 (5) 5 (6) The need for the rule to become effective the same date as the State Medical Facilities Plan approved 6 by the Governor, if the rule addresses a matter 7 included in the State Medical Facilities Plan. 8 The need for the Division of Medical Assistance, 9 (7) Department of Health and Human Services, to 10 administer Medicaid estate recovery mandated by the 11 Omnibus Budget Reconciliation Act of 1993, (OBRA 12 1993), 42 U.S.C. §1396p(b), and G.S. 108-70.5. 13 A finding by the Department of Health and Human 14 (8) maximize Services that rules are necessary to 15 Medicaid receipt of federal funds, to reduce 16 expenditures, and to reduce fraud and abuse. 17 The provisions of G.S. 143-215.1B(c). 18 (10) The provisions of G.S. 130A-125(b1). 19 (11) The provisions of G.S. 108A-27(c). 20 (12) The provisions of G.S. 143B-289.52(e). 21 (13) The provisions of G.S. 113-291.2(a1), provided 22 nothing contained subsection in this shall 23 supercede the public notice requirements contained 24 in G.S. 113-291.2(a1). 25 (14) Until July 1, 2005, the Coastal Resources 26 Commission, when adopting temporary rules governing 27 28 coastal energy facilities. An agency must prepare a written statement of its findings of 29 30 need for a temporary rule. The statement must be signed by the 31 head of the agency adopting the rule. (al) Notwithstanding the provisions of subsection (a) of this 33 section, the Wildlife Resources Commission may adopt a temporary 34 rule after prior notice or hearing or upon any abbreviated notice 35 or hearing the agency finds practical to protect the public safety, or welfare, conserve wildlife resources, 37 provide for the orderly and efficient operation of game lands by 38 establishing any of the following: No wake zones; 39 (1)Hunting or fishing seasons; 40 (2)

- 1 (3) Hunting or fishing bag limits;
- 2 (4) Management of public game lands as defined in G.S. 113-129(8a).

4 When the Wildlife Resources Commission adopts a temporary rule 5 pursuant to this subsection, it must submit the reference to this 6 subsection as its statement of need to the Codifier of Rules.

- 7 (a2) Notwithstanding the provisions of subsection (a) of this 8 section, the Secretary of State may adopt temporary rules to 9 implement the certification technology provisions of Article 11A 10 of Chapter 66 of the General Statutes. After having the proposed 11 temporary rule published in the North Carolina Register and at 12 least 30 days prior to adopting a temporary rule pursuant to this 13 subsection, the Secretary shall:
 - its mailing list maintained Notify persons on (1)anv to G.S. 150B-21.2(d) and pursuant adopt intent to interested parties of its temporary rule;
 - (2) Accept oral and written comments on the proposed temporary rule; and
 - (3) Hold at least one public hearing on the proposed temporary rule.

22 When the Secretary adopts a temporary rule pursuant to this 23 subsection, the Secretary must submit a reference to this 24 subsection as the Secretary's statement of need to the Codifier 25 of Rules.

Notwithstanding any other provision of this Chapter, the Codifer of Rules shall publish in the North Carolina Register a proposed temporary rule received from the Secretary in accordance with this subsection.

- 30 (a3) Notwithstanding the provisions of subsection (a) of this 31 section, the Commissioner of Insurance may adopt a temporary rule 32 to implement the provisions of G.S. 58-2-205 after prior notice 33 or hearing or upon any abbreviated notice or hearing. When the 34 Commissioner adopts a temporary rule pursuant to this subsection, 35 the Commissioner must submit the reference to this subsection as 36 the Commissioner's statement of need to the Codifier of Rules.
- 37 (a4) Notwithstanding the provisions of subsection (a) of this 38 section, the Secretary of Commerce may adopt temporary rules to 39 implement the information technology procurement provisions of 40 Part 16 of Article 10 of Chapter 143B of the General Statutes.

14

15

16

17

18

19

20

21

1 After having the proposed temporary rule published in the North 2 Carolina Register and at least 30 days prior to adopting a 3 temporary rule pursuant to this subsection, the Secretary shall:

- Notify persons on its mailing list maintained (1)150B-21.2(d) and any other G.S. to pursuant adopt interested parties of its intent to temporary rule;
- (2) Accept oral and written comments on the proposed temporary rule; and
- (3) Hold at least one public hearing on the proposed temporary rule.

12 When the Secretary adopts a temporary rule pursuant to this 13 subsection, the Secretary must submit a reference to this 14 subsection as the Secretary's statement of need to the Codifier 15 of Rules.

Notwithstanding any other provision of this Chapter, the Codifer of Rules shall publish in the North Carolina Register a proposed temporary rule received from the Secretary in accordance with this subsection.

- 20 (a5) Notwithstanding the provisions of subsection (a) of this 21 section, the State Board of Elections may adopt a temporary rule 22 after prior notice or hearing or upon any abbreviated notice or 23 hearing the agency finds practical for one or more of the 24 following:
 - (1) In accordance with the provisions of G.S. 163-22.2.
 - (2) To implement any provisions of state or federal law for which the State Board of Elections has been authorized to adopt rules.
 - (3) The need for the rule to become effective immediately in order to preserve the integrity of upcoming elections and the elections process.

When the State Board of Elections adopts a temporary rule mursuant to this subsection, it must submit the reference to this subsection as its statement of need to the Codifier of Rules.

35 (a6) Notwithstanding the provisions of subsection (a) of this section and Section 8.6 of S.L. 1997-458, until July 1, 2001, the Environmental Management Commission may adopt temporary rules to protect water quality standards and uses as required to implement basinwide water quality management plans for the Cape Fear, Catawba, and Tar-Pamlico River Basins pursuant to G.S. 143-214.1,

5

6

7

8

9

10

11

25

26

2.7

28

29

30

31

questions and written comment on the proposed rule may be submitted. The Commission shll accept written comment on the proposed temporary rule for at least 30 days after the notice of intent to adopt the temporary is published in the North Carolina Register. [3] Hold a public hearing on the proposed temporary rule in the river basin to which the proposed temporary rule applies. [4] (a7) Notwithstanding the provisions of subsection (a)(2) of this section, an agency may adopt a temporary rule to implement the provisions of any of the following acts until all rules necessary to implement the provisions of the act have become effective as either temporary or permanent rules: [4] (3) S.L. 1998-212, Section 9.1(e), relating to Driving Education Certificates. [5] (2) S.L. 1997-400, Section 6.10, relating to the Fisheries Reform Act of 1997. [6] (3) S.L. 1998-225, Section 5.3, relating to amendments to the Fisheries Reform Act of 1997. [6] (4) S.L. 1997-507, Section 8, relating to Dropout Prevention/Drivers License. [7] (5) S.L. 1999-463 ES, Section 4, relating to the Hurricane Floyd Recovery Act of 1999. Any agency that adopts a temporary rule pursuant to this sub- subsection shall report the text of the rule and the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within										
(1) Consult with persons who may be interested in the subject matter of the temporary rule during the development of the text of the proposed temporary rule. (2) Publish a notice of intent to adopt a temporary rule in the North Carolina Register. The notice shall set out the text of the proposed temporary rule and include the name of the person to whom questions and written comment on the proposed rule may be submitted. The Commission shill accept written comment on the proposed temporary rule for at least 30 days after the notice of intent to adopt the temporary is published in the North Carolina Register. (3) Hold a public hearing on the proposed temporary rule in the river basin to which the proposed temporary rule in the river basin to which the proposed temporary rule in the river basin to which the proposed temporary rule applies. (a7) Notwithstanding the provisions of subsection (a)(2) of this section, an agency may adopt a temporary rule to implement the provisions of the act have become effective as either temporary or permanent rules: (1) S.L. 1998-212, Section 9.1(e), relating to Driving Education Certificates. (2) S.L. 1997-400, Section 6.10, relating to the Fisheries Reform Act of 1997. (3) S.L. 1998-225, Section 5.3, relating to amendments to the Fisheries Reform Act of 1997. (4) S.L. 1997-463 ES, Section 4, relating to the Prevention/Drivers License. S.L. 1999-463 ES, Section 5 the text of the rule and the agency's written statement of its findings of the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within	1	143-214.7, 14	3-215.3, and 143B-282. Prior to the adoption of a							
subject matter of the temporary rule during the development of the text of the proposed temporary rule. (2) Publish a notice of intent to adopt a temporary rule in the North Carolina Register. The notice shall set out the text of the proposed temporary rule and include the name of the person to whom questions and written comment on the proposed rule may be submitted. The Commission shll accept written comment on the proposed temporary rule adopt the temporary is published in the North Carolina Register. (3) Rold a public hearing on the proposed temporary rule in the river basin to which the proposed temporary rule applies. (4) (a7) Notwithstanding the provisions of subsection (a)(2) of this section, an agency may adopt a temporary rule to implement the provisions of any of the following acts until all rules necessary to implement the provisions of the act have become effective as either temporary or permanent rules: (2) (2) S.L. 1998-212, Section 9.1(e), relating to Driving Education Certificates. (3) (3) S.L. 1997-400, Section 6.10, relating to the Fisheries Reform Act of 1997. S.L. 1998-225, Section 5.3, relating to amendments to the Fisheries Reform Act of 1997. S.L. 1999-463 ES, Section 4, relating to the Hurricane Floyd Recovery Act of 1999. Any agency that adopts a temporary rule pursuant to this subsubsection shall report the text of the rule and the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within	2	temporary rule	e under this subsection, the Commission shall:							
development of the text of the proposed temporary rule. [2] Publish a notice of intent to adopt a temporary rule in the North Carolina Register. The notice shall set out the text of the proposed temporary rule and include the name of the person to whom questions and written comment on the proposed rule may be submitted. The Commission shill accept written comment on the proposed temporary rule for at least 30 days after the notice of intent to adopt the temporary is published in the North Carolina Register. [3] Hold a public hearing on the proposed temporary rule in the river basin to which the proposed temporary rule in the river basin to which the proposed temporary rule applies. [4] (a7) Notwithstanding the provisions of subsection (a)(2) of this section, an agency may adopt a temporary rule to implement the provisions of any of the following acts until all rules necessary to implement the provisions of the act have become effective as either temporary or permanent rules: [5] (1) S.L. 1998-212, Section 9.1(e), relating to Driving Education Certificates. [6] (2) S.L. 1997-400, Section 6.10, relating to the Fisheries Reform Act of 1997. [8] (3) S.L. 1998-225, Section 5.3, relating to amendments to the Fisheries Reform Act of 1997. [8] (4) S.L. 1999-507, Section 8, relating to Dropout Prevention/Drivers License. [8] (5) S.L. 1999-643 ES, Section 4, relating to the Hurricane Floyd Recovery Act of 1999. Any agency that adopts a temporary rule pursuant to this subsubsection shall report the text of the rule and the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within	3	(1)	Consult with persons who may be interested in the							
rule. Publish a notice of intent to adopt a temporary rule in the North Carolina Register. The notice shall set out the text of the proposed temporary rule and include the name of the person to whom guestions and written comment on the proposed rule may be submitted. The Commission shill accept written comment on the proposed temporary rule for at least 30 days after the notice of intent to adopt the temporary is published in the North Carolina Register. A	4	subject matter of the temporary rule during the								
rule in the North Carolina Register. The notice shall set out the text of the proposed temporary rule and include the name of the person to whom questions and written comment on the proposed rule may be submitted. The Commission shill accept written comment on the proposed temporary rule and include the name of the person to whom questions and written comment on the proposed rule may be submitted. The Commission shill accept written comment on the proposed temporary rule for at least 30 days after the notice of intent to adopt the temporary is published in the North Carolina Register. (3) Hold a public hearing on the proposed temporary rule in the river basin to which the proposed temporary rule applies. (a7) Notwithstanding the provisions of subsection (a)(2) of this section, an agency may adopt a temporary rule to implement the provisions of the act have become effective as either temporary or permanent rules: (1) S.L. 1998-212, Section 9.1(e), relating to Driving Education Certificates. (2) S.L. 1997-400, Section 6.10, relating to the Fisheries Reform Act of 1997. (3) S.L. 1998-225, Section 5.3, relating to amendments to the Fisheries Reform Act of 1997. (4) S.L. 1997-507, Section 8, relating to Dropout Prevention/Drivers License. (5) S.L. 1997-63 ES, Section 4, relating to the Hurricane Floyd Recovery Act of 1999. Any agency that adopts a temporary rule pursuant to this subsubsection shall report the text of the rule and the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within	5		development of the text of the proposed temporary							
rule in the North Carolina Register. The notice shall set out the text of the proposed temporary rule and include the name of the person to whom questions and written comment on the proposed rule may be submitted. The Commission shll accept written comment on the proposed temporary rule for at least 30 days after the notice of intent to adopt the temporary is published in the North Carolina Register. (3) Hold a public hearing on the proposed temporary rule in the river basin to which the proposed temporary rule applies. (a7) Notwithstanding the provisions of subsection (a)(2) of this section, an agency may adopt a temporary rule to implement the provisions of any of the following acts until all rules necessary to implement the provisions of the act have become effective as either temporary or permanent rules: (1) S.L. 1998-212, Section 9.1(e), relating to Driving Education Certificates. (2) S.L. 1997-400, Section 6.10, relating to the Fisheries Reform Act of 1997. (3) S.L. 1998-225, Section 5.3, relating to amendments to the Fisheries Reform Act of 1997. (4) S.L. 1997-507, Section 8, relating to Dropout Prevention/Drivers License. (5) S.L. 1997-63 ES, Section 4, relating to the Hurricane Floyd Recovery Act of 1999. Any agency that adopts a temporary rule pursuant to this sub- subsection shall report the text of the rule and the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within	6									
rule in the North Carolina Register. The notice shall set out the text of the proposed temporary rule and include the name of the person to whom questions and written comment on the proposed rule may be submitted. The Commission shll accept written comment on the proposed temporary rule for at least 30 days after the notice of intent to adopt the temporary is published in the North Carolina Register. (3) Hold a public hearing on the proposed temporary rule in the river basin to which the proposed temporary rule in the river basin to which the proposed temporary rule in the river basin to which the proposed temporary rule applies. (a7) Notwithstanding the provisions of subsection (a)(2) of this section, an agency may adopt a temporary rule to implement the provisions of the act have become effective as either temporary or permanent rules: (1) S.L. 1998-212, Section 9.1(e), relating to Driving Education Certificates. (2) S.L. 1997-400, Section 6.10, relating to the Fisheries Reform Act of 1997. (3) S.L. 1998-225, Section 5.3, relating to amendments to the Fisheries Reform Act of 1997. (4) S.L. 1997-507, Section 8, relating to Dropout Prevention/Drivers License. (5) S.L. 1997-63 ES, Section 4, relating to the Hurricane Floyd Recovery Act of 1999. Any agency that adopts a temporary rule pursuant to this subsubsection shall report the text of the rule and the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within	7	(2)	Publish a notice of intent to adopt a temporary							
shall set out the text of the proposed temporary rule and include the name of the person to whom questions and written comment on the proposed rule may be submitted. The Commission shill accept written comment on the proposed temporary rule for at least 30 days after the notice of intent to adopt the temporary is published in the North Carolina Register. (3) Hold a public hearing on the proposed temporary rule in the river basin to which the proposed temporary rule in the river basin to which the proposed temporary rule applies. (a7) Notwithstanding the provisions of subsection (a)(2) of this section, an agency may adopt a temporary rule to implement the provisions of the act have become effective as either temporary or permanent rules: (1) S.L. 1998-212, Section 9.1(e), relating to Driving Education Certificates. (2) S.L. 1998-225, Section 6.10, relating to the Fisheries Reform Act of 1997. (3) S.L. 1998-225, Section 5.3, relating to amendments to the Fisheries Reform Act of 1997. (4) S.L. 1997-507, Section 8, relating to the Hurricane Floyd Recovery Act of 1999. Any agency that adopts a temporary rule pursuant to this subsubsection shall report the text of the rule and the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within	8		rule in the North Carolina Register. The notice							
questions and written comment on the proposed rule may be submitted. The Commission shll accept written comment on the proposed temporary rule for at least 30 days after the notice of intent to adopt the temporary is published in the North Carolina Register. [3] Hold a public hearing on the proposed temporary rule in the river basin to which the proposed temporary rule applies. [4] (a7) Notwithstanding the provisions of subsection (a)(2) of this section, an agency may adopt a temporary rule to implement the provisions of any of the following acts until all rules necessary to implement the provisions of the act have become effective as either temporary or permanent rules: [4] (3) S.L. 1998-212, Section 9.1(e), relating to Driving Education Certificates. [5] (2) S.L. 1997-400, Section 6.10, relating to the Fisheries Reform Act of 1997. [6] (3) S.L. 1998-225, Section 5.3, relating to amendments to the Fisheries Reform Act of 1997. [6] (5) S.L. 1999-463 ES, Section 4, relating to the Hurricane Floyd Recovery Act of 1999. Any agency that adopts a temporary rule pursuant to this sub- subsection shall report the text of the rule and the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within	9		shall set out the text of the proposed temporary							
may be submitted. The Commission shll accept written comment on the proposed temporary rule for at least 30 days after the notice of intent to adopt the temporary is published in the North Carolina Register. (3) Hold a public hearing on the proposed temporary rule in the river basin to which the proposed temporary rule in the river basin to which the proposed temporary rule in the provisions of subsection (a)(2) of this section, an agency may adopt a temporary rule to implement the provisions of any of the following acts until all rules refective as either temporary or permanent rules: (1) S.L. 1998-212, Section 9.1(e), relating to Driving Education Certificates. (2) S.L. 1997-400, Section 6.10, relating to the Fisheries Reform Act of 1997. (3) S.L. 1998-225, Section 5.3, relating to amendments to the Fisheries Reform Act of 1997. (4) S.L. 1997-507, Section 8, relating to Dropout Prevention/Drivers License. (5) S.L. 1999-463 ES, Section 4, relating to the Hurricane Floyd Recovery Act of 1999. Any agency that adopts a temporary rule pursuant to this subsubsection shall report the text of the rule and the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within	10		rule and include the name of the person to whom							
may be submitted. The Commission shll accept written comment on the proposed temporary rule for at least 30 days after the notice of intent to adopt the temporary is published in the North Carolina Register. (3) Hold a public hearing on the proposed temporary rule in the river basin to which the proposed temporary rule in the river basin to which the proposed temporary rule in the provisions of subsection (a)(2) of this section, an agency may adopt a temporary rule to implement the provisions of any of the following acts until all rules refective as either temporary or permanent rules: (1) S.L. 1998-212, Section 9.1(e), relating to Driving Education Certificates. (2) S.L. 1997-400, Section 6.10, relating to the Fisheries Reform Act of 1997. (3) S.L. 1998-225, Section 5.3, relating to amendments to the Fisheries Reform Act of 1997. (4) S.L. 1997-507, Section 8, relating to Dropout Prevention/Drivers License. (5) S.L. 1999-463 ES, Section 4, relating to the Hurricane Floyd Recovery Act of 1999. Any agency that adopts a temporary rule pursuant to this subsubsection shall report the text of the rule and the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within	11		questions and written comment on the proposed rule							
at least 30 days after the notice of intent to adopt the temporary is published in the North Carolina Register. Hold a public hearing on the proposed temporary rule in the river basin to which the proposed temporary rule applies. (a7) Notwithstanding the provisions of subsection (a)(2) of this section, an agency may adopt a temporary rule to implement the provisions of any of the following acts until all rules necessary to implement the provisions of the act have become effective as either temporary or permanent rules: (1) S.L. 1998-212, Section 9.1(e), relating to Driving Education Certificates. (2) S.L. 1997-400, Section 6.10, relating to the Fisheries Reform Act of 1997. (3) S.L. 1998-225, Section 5.3, relating to amendments to the Fisheries Reform Act of 1997. (4) S.L. 1997-507, Section 8, relating to Dropout Prevention/Drivers License. (5) S.L. 1999-463 ES, Section 4, relating to the Hurricane Floyd Recovery Act of 1999. Any agency that adopts a temporary rule pursuant to this sub- subsection shall report the text of the rule and the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within	12		may be submitted. The Commission shll accept							
at least 30 days after the notice of intent to adopt the temporary is published in the North Carolina Register. Hold a public hearing on the proposed temporary rule in the river basin to which the proposed temporary rule applies. (a7) Notwithstanding the provisions of subsection (a)(2) of this section, an agency may adopt a temporary rule to implement the provisions of any of the following acts until all rules necessary to implement the provisions of the act have become effective as either temporary or permanent rules: (1) S.L. 1998-212, Section 9.1(e), relating to Driving Education Certificates. (2) S.L. 1997-400, Section 6.10, relating to the Fisheries Reform Act of 1997. (3) S.L. 1998-225, Section 5.3, relating to amendments to the Fisheries Reform Act of 1997. (4) S.L. 1997-507, Section 8, relating to Dropout Prevention/Drivers License. (5) S.L. 1999-463 ES, Section 4, relating to the Hurricane Floyd Recovery Act of 1999. Any agency that adopts a temporary rule pursuant to this sub- subsection shall report the text of the rule and the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within	13		written comment on the proposed temporary rule for							
adopt the temporary is published in the North Carolina Register. (3) Hold a public hearing on the proposed temporary rule in the river basin to which the proposed temporary rule applies. (a7) Notwithstanding the provisions of subsection (a)(2) of this section, an agency may adopt a temporary rule to implement the provisions of any of the following acts until all rules necessary to implement the provisions of the act have become effective as either temporary or permanent rules: (1) S.L. 1998-212, Section 9.1(e), relating to Driving Education Certificates. (2) S.L. 1997-400, Section 6.10, relating to the Fisheries Reform Act of 1997. (3) S.L. 1998-225, Section 5.3, relating to amendments to the Fisheries Reform Act of 1997. (4) S.L. 1997-507, Section 8, relating to Dropout Prevention/Drivers License. (5) S.L. 1999-463 ES, Section 4, relating to the Hurricane Floyd Recovery Act of 1999. Any agency that adopts a temporary rule pursuant to this sub- subsection shall report the text of the rule and the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within	14		at least 30 days after the notice of intent to							
Carolina Register. (3) Hold a public hearing on the proposed temporary rule in the river basin to which the proposed temporary rule in the river basin to which the proposed temporary rule applies. (a7) Notwithstanding the provisions of subsection (a)(2) of this section, an agency may adopt a temporary rule to implement the provisions of the following acts until all rules necessary to implement the provisions of the act have become effective as either temporary or permanent rules: (1) S.L. 1998-212, Section 9.1(e), relating to Driving Education Certificates. (2) S.L. 1997-400, Section 6.10, relating to the Fisheries Reform Act of 1997. (3) S.L. 1998-225, Section 5.3, relating to amendments to the Fisheries Reform Act of 1997. (4) S.L. 1997-507, Section 8, relating to Dropout Prevention/Drivers License. (5) S.L. 1999-463 ES, Section 4, relating to the Hurricane Floyd Recovery Act of 1999. Any agency that adopts a temporary rule pursuant to this subsubsection shall report the text of the rule and the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within	15		adopt the temporary is published in the North							
Hold a public hearing on the proposed temporary rule in the river basin to which the proposed temporary rule applies. (a7) Notwithstanding the provisions of subsection (a)(2) of this section, an agency may adopt a temporary rule to implement the provisions of any of the following acts until all rules necessary to implement the provisions of the act have become effective as either temporary or permanent rules: (1) S.L. 1998-212, Section 9.1(e), relating to Driving Education Certificates. (2) S.L. 1997-400, Section 6.10, relating to the Fisheries Reform Act of 1997. (3) S.L. 1998-225, Section 5.3, relating to amendments to the Fisheries Reform Act of 1997. (4) S.L. 1997-507, Section 8, relating to Dropout Prevention/Drivers License. (5) S.L. 1999-463 ES, Section 4, relating to the Hurricane Floyd Recovery Act of 1999. Any agency that adopts a temporary rule pursuant to this subsubsection shall report the text of the rule and the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within	16									
rule in the river basin to which the proposed temporary rule applies. (a7) Notwithstanding the provisions of subsection (a)(2) of this section, an agency may adopt a temporary rule to implement the provisions of any of the following acts until all rules necessary to implement the provisions of the act have become effective as either temporary or permanent rules: (1) S.L. 1998-212, Section 9.1(e), relating to Driving Education Certificates. (2) S.L. 1997-400, Section 6.10, relating to the Fisheries Reform Act of 1997. (3) S.L. 1998-225, Section 5.3, relating to amendments to the Fisheries Reform Act of 1997. (4) S.L. 1997-507, Section 8, relating to Dropout Prevention/Drivers License. (5) S.L. 1999-463 ES, Section 4, relating to the Hurricane Floyd Recovery Act of 1999. Any agency that adopts a temporary rule pursuant to this subsubsection shall report the text of the rule and the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within		(3)								
temporary rule applies. (a7) Notwithstanding the provisions of subsection (a)(2) of this section, an agency may adopt a temporary rule to implement the provisions of any of the following acts until all rules necessary to implement the provisions of the act have become effective as either temporary or permanent rules: (1) S.L. 1998-212, Section 9.1(e), relating to Driving Education Certificates. (2) S.L. 1997-400, Section 6.10, relating to the Fisheries Reform Act of 1997. (3) S.L. 1998-225, Section 5.3, relating to amendments to the Fisheries Reform Act of 1997. (4) S.L. 1997-507, Section 8, relating to Dropout Prevention/Drivers License. (5) S.L. 1999-463 ES, Section 4, relating to the Hurricane Floyd Recovery Act of 1999. Any agency that adopts a temporary rule pursuant to this subsubsection shall report the text of the rule and the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within	18		rule in the river basin to which the proposed							
(a7) Notwithstanding the provisions of subsection (a)(2) of this section, an agency may adopt a temporary rule to implement the provisions of any of the following acts until all rules necessary to implement the provisions of the act have become effective as either temporary or permanent rules: (1) S.L. 1998-212, Section 9.1(e), relating to Driving Education Certificates. (2) S.L. 1997-400, Section 6.10, relating to the Fisheries Reform Act of 1997. (3) S.L. 1998-225, Section 5.3, relating to amendments to the Fisheries Reform Act of 1997. (4) S.L. 1997-507, Section 8, relating to Dropout Prevention/Drivers License. (5) S.L. 1999-463 ES, Section 4, relating to the Hurricane Floyd Recovery Act of 1999. Any agency that adopts a temporary rule pursuant to this subsubsection shall report the text of the rule and the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within	19		temporary rule applies.							
this section, an agency may adopt a temporary rule to implement the provisions of any of the following acts until all rules necessary to implement the provisions of the act have become effective as either temporary or permanent rules: (1) S.L. 1998-212, Section 9.1(e), relating to Driving Education Certificates. (2) S.L. 1997-400, Section 6.10, relating to the Fisheries Reform Act of 1997. (3) S.L. 1998-225, Section 5.3, relating to amendments to the Fisheries Reform Act of 1997. (4) S.L. 1997-507, Section 8, relating to Dropout Prevention/Drivers License. (5) S.L. 1999-463 ES, Section 4, relating to the Hurricane Floyd Recovery Act of 1999. Any agency that adopts a temporary rule pursuant to this sub- subsection shall report the text of the rule and the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within	20	(a7) Notwit	thstanding the provisions of subsection (a)(2) of							
the provisions of any of the following acts until all rules necessary to implement the provisions of the act have become effective as either temporary or permanent rules: (1) S.L. 1998-212, Section 9.1(e), relating to Driving Education Certificates. (2) S.L. 1997-400, Section 6.10, relating to the Fisheries Reform Act of 1997. (3) S.L. 1998-225, Section 5.3, relating to amendments to the Fisheries Reform Act of 1997. (4) S.L. 1997-507, Section 8, relating to Dropout Prevention/Drivers License. (5) S.L. 1999-463 ES, Section 4, relating to the Hurricane Floyd Recovery Act of 1999. Any agency that adopts a temporary rule pursuant to this sub- subsection shall report the text of the rule and the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within	21	this section,	an agency may adopt a temporary rule to implement							
necessary to implement the provisions of the act have become effective as either temporary or permanent rules: (1) S.L. 1998-212, Section 9.1(e), relating to Driving Education Certificates. (2) S.L. 1997-400, Section 6.10, relating to the Fisheries Reform Act of 1997. (3) S.L. 1998-225, Section 5.3, relating to amendments to the Fisheries Reform Act of 1997. (4) S.L. 1997-507, Section 8, relating to Dropout Prevention/Drivers License. (5) S.L. 1999-463 ES, Section 4, relating to the Hurricane Floyd Recovery Act of 1999. Any agency that adopts a temporary rule pursuant to this subsubsection shall report the text of the rule and the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within	22	the provision	ns of any of the following acts until all rules							
effective as either temporary or permanent rules: (1) S.L. 1998-212, Section 9.1(e), relating to Driving Education Certificates. (2) S.L. 1997-400, Section 6.10, relating to the Fisheries Reform Act of 1997. (3) S.L. 1998-225, Section 5.3, relating to amendments to the Fisheries Reform Act of 1997. (4) S.L. 1997-507, Section 8, relating to Dropout Prevention/Drivers License. (5) S.L. 1999-463 ES, Section 4, relating to the Hurricane Floyd Recovery Act of 1999. Any agency that adopts a temporary rule pursuant to this sub- subsection shall report the text of the rule and the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within	23	necessary to	implement the provisions of the act have become							
25 (1) S.L. 1998-212, Section 9.1(e), relating to Driving Education Certificates. 27 (2) S.L. 1997-400, Section 6.10, relating to the Fisheries Reform Act of 1997. 29 (3) S.L. 1998-225, Section 5.3, relating to amendments to the Fisheries Reform Act of 1997. 31 (4) S.L. 1997-507, Section 8, relating to Dropout Prevention/Drivers License. 33 (5) S.L. 1999-463 ES, Section 4, relating to the Hurricane Floyd Recovery Act of 1999. Any agency that adopts a temporary rule pursuant to this sub- subsection shall report the text of the rule and the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within	24	effective as	either temporary or permanent rules:							
Education Certificates. S.L. 1997-400, Section 6.10, relating to the Fisheries Reform Act of 1997. S.L. 1998-225, Section 5.3, relating to amendments to the Fisheries Reform Act of 1997. (4) S.L. 1997-507, Section 8, relating to Dropout Prevention/Drivers License. S.L. 1999-463 ES, Section 4, relating to the Hurricane Floyd Recovery Act of 1999. Any agency that adopts a temporary rule pursuant to this subsubsection shall report the text of the rule and the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within			S.L. 1998-212, Section 9.1(e), relating to Driving							
27 (2) S.L. 1997-400, Section 6.10, relating to the Fisheries Reform Act of 1997. 29 (3) S.L. 1998-225, Section 5.3, relating to amendments 30 to the Fisheries Reform Act of 1997. 31 (4) S.L. 1997-507, Section 8, relating to Dropout 32 Prevention/Drivers License. 33 (5) S.L. 1999-463 ES, Section 4, relating to the Hurricane Floyd Recovery Act of 1999. Any agency 35 that adopts a temporary rule pursuant to this sub- 36 subsection shall report the text of the rule and 37 the agency's written statement of its findings of 38 the need for the rule to the Joint Legislative 39 Administrative Procedure Oversight Committee within										
Fisheries Reform Act of 1997. S.L. 1998-225, Section 5.3, relating to amendments to the Fisheries Reform Act of 1997. (4) S.L. 1997-507, Section 8, relating to Dropout Prevention/Drivers License. S.L. 1999-463 ES, Section 4, relating to the Hurricane Floyd Recovery Act of 1999. Any agency that adopts a temporary rule pursuant to this subsubsection shall report the text of the rule and the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within		(2)	S.L. 1997-400, Section 6.10, relating to the							
29 (3) S.L. 1998-225, Section 5.3, relating to amendments to the Fisheries Reform Act of 1997. 31 (4) S.L. 1997-507, Section 8, relating to Dropout Prevention/Drivers License. 33 (5) S.L. 1999-463 ES, Section 4, relating to the Hurricane Floyd Recovery Act of 1999. Any agency that adopts a temporary rule pursuant to this sub- subsection shall report the text of the rule and the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within		·								
to the Fisheries Reform Act of 1997. S.L. 1997-507, Section 8, relating to Dropout Prevention/Drivers License. S.L. 1999-463 ES, Section 4, relating to the Hurricane Floyd Recovery Act of 1999. Any agency that adopts a temporary rule pursuant to this sub- subsection shall report the text of the rule and the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within		(3)	S.L. 1998-225, Section 5.3, relating to amendments							
31 (4) S.L. 1997-507, Section 8, relating to Dropout Prevention/Drivers License. 33 (5) S.L. 1999-463 ES, Section 4, relating to the Hurricane Floyd Recovery Act of 1999. Any agency that adopts a temporary rule pursuant to this sub- subsection shall report the text of the rule and the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within	30		to the Fisheries Reform Act of 1997.							
Prevention/Drivers License. S.L. 1999-463 ES, Section 4, relating to the Hurricane Floyd Recovery Act of 1999. Any agency that adopts a temporary rule pursuant to this subsubsection shall report the text of the rule and the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within	31	(4)	S.L. 1997-507, Section 8, relating to Dropout							
33 (5) S.L. 1999-463 ES, Section 4, relating to the Hurricane Floyd Recovery Act of 1999. Any agency that adopts a temporary rule pursuant to this sub- subsection shall report the text of the rule and the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within	32	,	Prevention/Drivers License.							
Hurricane Floyd Recovery Act of 1999. Any agency that adopts a temporary rule pursuant to this sub- subsection shall report the text of the rule and the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within	33	(5).	S.L. 1999-463 ES, Section 4, relating to the							
that adopts a temporary rule pursuant to this sub- subsection shall report the text of the rule and the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within	34	· · · · · · · · · · · · · · · · · · ·	Hurricane Floyd Recovery Act of 1999. Any agency							
subsection shall report the text of the rule and the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within	35		that adopts a temporary rule pursuant to this sub-							
the agency's written statement of its findings of the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within			subsection shall report the text of the rule and							
the need for the rule to the Joint Legislative Administrative Procedure Oversight Committee within	37		the agency's written statement of its findings of							
Administrative Procedure Oversight Committee within			the need for the rule to the Joint Legislative							
and the second section of the section	39		Administrative Procedure Oversight Committee within							
	40		30 days of the adoption of the temporary rule.							
	-									

Review. -- When an agency adopts a temporary rule it must the agency's written statement of 2 submit the rule and 3 findings of the need for the rule to the Codifier of Rules. 4 Within one business day after an agency submits a temporary rule, 5 the Codifier of Rules must review the agency's written statement findings of need for the rule to determine whether the 7 statement of need meets the criteria listed in subsection (a) or 8 (al) of this section. In reviewing the statement, the Codifier of 9 Rules may consider any information submitted by the agency or 10 another person. If the Codifier of Rules finds that the statement 11 meets the criteria, the Codifier of Rules must notify the head of Carolina the North rule in enter the and agency 12 the 13 Administrative Code.

If the Codifier of Rules finds that the statement does not meet the criteria, the Codifier of Rules must immediately notify the head of the agency. The agency may supplement its statement of need with additional findings or submit a new statement. If the agency provides additional findings or submits a new statement, the Codifier of Rules must review the additional findings or new statement within one business day after the agency submits the additional findings or new statement. If the Codifier of Rules again finds that the statement does not meet the criteria listed in subsection (a) or (al) of this section, the Codifier of Rules must immediately notify the head of the agency.

If an agency decides not to provide additional findings or submit a new statement when notified by the Codifier of Rules that the agency's findings of need for a rule do not meet the required criteria, the agency must notify the Codifier of Rules of its decision. The Codifier of Rules must then enter the rule in the North Carolina Administrative Code on the sixth business day after receiving notice of the agency's decision.

32 (c) Standing. -- A person aggrieved by a temporary rule 33 adopted by an agency may file an action for declaratory judgment 34 in Wake County Superior Court pursuant to Article 26 of Chapter 1 35 of the General Statutes. In the action, the court shall determine 36 whether the agency's written statement of findings of need for 37 the rule meets the criteria listed in subsection (a) or (a1) of 38 this section and whether the rule meets the standards in G.S. 39 150B-21.9 that apply to review of a permanent rule. The court 40 shall not grant an ex parte temporary restraining order.

request rule making or for a petition a Filing 2 declaratory ruling with the agency that adopted the rule is not a 3 prerequisite to filing an action under this subsection. A person declaratory judgment under action for files an 5 subsection must serve a copy of the complaint on the agency that 6 adopted the rule being contested, the Codifier of Rules, and the 7 Commission. Effective Date and Expiration. -- A temporary rule becomes 8 9 effective on the date specified in G.S. 150B-21.3. A Except as 10 provided in subsection (d1) of this section, a temporary rule 11 expires on the earliest of the following dates: The date specified in the rule. (1)12 The effective date of the permanent rule adopted to (2) 13 the Commission if replace the temporary rule, 14 approves the permanent rule. 15 The date the Commission returns to an agency a 16 (3) kermanent rule the agency adopted to replace the 17 temporary rule. 18 act of the General effective date of an 19 (4)

rule adopted to replace the temporary rule.

(5) 270 days from the date the temporary rule was published in the North Carolina Register, unless the permanent rule adopted to replace the temporary rule has been submitted to the Commission.

Assembly that specifically disapproves a permanent

26 (dl) Notwithstanding the provisions of subsection (d) of this 27 section, the following expiration dates shall apply:

- (1) temporary rules adopted to implement G.S. 143-215.94V, as enacted by Section 1 of Chapter 377 of the 1995 Session Laws, may remain in effect until the Environmental Management Commission adopts permanent rules, and
 - temporary rules adopted to implement the Hurricane Floyd Recovery Act of 1999, (S.L. 1999-463 ES, Section 4) shall specify the date on which the rule will expire and shall continue in effect until that date.
- 38 (e) Publication. -- When the Codifier of Rules enters a 39 temporary rule in the North Carolina Administrative Code, the 40 Codifier must publish the rule in the North Carolina Register.

20

21

22

23

24

25

28

29

30

31

32

33

34

35

36

37

- 1 Publication of a temporary rule in the North Carolina Register
- 2 serves as a notice of rule-making proceedings for a permanent
- 3 rule if the permanent rule is substantially the same as the
- 4 published temporary rule, unless the agency published a notice of
- 5 rule-making proceedings at least 60 days before it adopted the
- 6 temporary rule.
- 7 Section 2. This act becomes effective when it becomes
- 8 law.

LEGISLATIVE PROPOSAL#2

A RECOMMENDATION OF

THE JOINT LEGISLATIVE ADMINISTRATIVE PROCEDURE OVERSIGHT COMMITTEE
TO THE 1999 SESSION OF THE GENERAL ASSEMBLY, 2000 REGULAR SESSION,
TO INCLUDE CONSIDERATION OF BILLS WHICH AFFECT THE RULE-MAKING POWER OF AN
AGENCY OR OTHERWISE AMEND THE ADMINISTRATIVE PROCEDURE ACT.

Short Title:	Expand APA Oversight.					
Statute(s) Affected:	Article 12K of Chapter 120 of the General Statutes					
Agency Affected:	Agencies subject to the APA which seek modifications of APA procedures.					
Interested Parties:	Various, depending upon legislative proposal.					
Explanation of Proposal: This bill would expand the duties of the Joint Legislative Administrative Procedure Oversight Committee to authorize the Committee to meet during legislative sessions to consider any bills that affect the rule-making power of an agency or otherwise amend the Administrative Procedure Act (APA). These bills could not be considered on the floor or before any committee before a report has been issued by the Committee. The Committee is only authorized to review the portion of the bill pertaining to administrative law and not the substantive policy of the legislation.						
Appropriations and/	or Fees: None.					
Effective Date:	January 1, 2001.					

•				
•				
				:
				!
				:
•				
				-



LEGISLATIVE PROPOSAL #2: Expand APA Oversight

BILL ANALYSIS

Committee: RECOMMENDED BY: Joint

Legis. Admin. Proc. Oversight

Comm.

April 20, 2000

Version:

Date:

DRAFT: 99-ROZ-022(5.9)

Introduced by:

Summary by:

Mary Shuping

Research Assistant

SUMMARY: This bill would expand the duties of the Joint Legislative Administrative Procedure Oversight Committee, established in Article 12K of Chapter 120 of the General Statutes, by authorizing the Committee to review bills which affect the rule-making power of an agency or otherwise amend the Administrative Procedure Act (APA).

Currently, the Joint Legislative Administrative Procedure Oversight Committee **CURRENT LAW:** (APO Committee) is established in statute and authorized, among other duties, to review the rule-making process for agencies to determine if the procedures for adopting rules give the public adequate notice and to review any other concerns involving administrative law. The Committee, however, does not review proposed legislation affecting an agency's administrative rule-making procedures or any other changes to the APA.

Prior to the convening of the short session, the APO Committee was presented with BACKGROUND: information showing a large increase in the number of temporary rules adopted by agencies over the past The average number of temporary rules adopted by agencies rose from approximately 235 temporary rules per year for the period 12/92 - 11/98 to 661 temporary rules for the period 12/98 - 11/99.

The Committee also found that since 1995, numerous agencies have received various types of expanded temporary rule-making authority. Five of these expanded authorities have been codified in the APA, five have been codified in the agencies' substantive statutes, and the remainder are contained in uncodified session law.

The Committee identified several general types of exceptions to the temporary rule-making procedures contained in G.S. 150B-21.1(a), including:

- Extending the period of time during which an agency can adopt a temporary rule to implement a recent act of the General Assembly.
- Granting agencies an indefinite period of time during which to adopt a temporary rule.
- Allowing temporary rules to remain in effect longer than 270 days.
- Granting agencies additional bases for adopting temporary rules.

The Committee was concerned that there is no single standing committee in the General Assembly which reviews APA-related legislation during session. Therefore, the Committee recommended that legislation be introduced to authorize the Joint Legislative Administrative Procedure Oversight Committee to meet during session to review all bills which modify an agency's rule-making or contested case procedures, or otherwise affects the APA.

The draft bill amends the statute establishing the APO Committee to provide for **BILL ANALYSIS:** the following:

III-14

LEGISLATIVE PROPOSAL #2

Page 2

Review of Bills Affecting Administrative Procedures: Before being considered in committee or on the floor of either house, all bills amending the APA; exempting an agency from all or part of the APA; granting exceptions to the temporary or permanent rule-making authority or procedure of an agency; or any other change to administrative procedure for agencies subject to the APA, must be reviewed by the APO Committee, and the APO Committee must issue a report on the proposed legislation.

Scope & Considerations of APO Committee Review: The APO Committee is charged with reviewing the proposed legislation to determine whether the proposal is consistent with the purpose of the APA. The review is limited to the portion of the bill dealing with administrative procedures, and the Committee is prohibited from reviewing the substantive policy. The APO Committee must also consider whether:

- The proposal will result in an increase in administrative rules.
- The proposal adheres to the public notice and hearing requirements of the APA, or otherwise provides for adequate public notice and hearing opportunities.
- The proposal is designed to improve the public's understanding of the administrative process.
- Any exemptions or exceptions contained in the proposal are necessary to accomplish the
 policy or program assigned to the agency and are in the public interest.

APO Committee Report: The APO Committee's report must include a recommendation on whether the General Assembly should approve the portion of the proposed legislation that relates to administrative law. The APO Committee may also recommend any appropriate amendments. However, an unfavorable recommendation does not bar further consideration of the proposal by any committee or on the floor of either house.

EFFECTIVE DATE: The bill becomes effective January 15, 2001.

GENERAL ASSEMBLY OF NORTH CAROLINA

SESSION 1999

D

(Public)

General

S/H

Short Title:

18 appropriate house:

(1)

Statutes;

19

20

99-ROZ-022(5.9)
(THIS IS A DRAFT AND IS NOT READY FOR INTRODUCTION)

Expand APA Oversight.

Sponsors: Referred to: A BILL TO BE ENTITLED 1 JOINT THE LEGISLATIVE THE DUTIES OF **EXPAND** 2 AN ACT TO INCLUDE COMMITTEE ADMINISTRATIVE **PROCEDURE** OVERSIGHT CONSIDERATION OF BILLS WHICH AFFECT THE RULEMAKING POWER OF AN AGENCY OR OTHERWISE AMEND THE ADMINISTRATIVE PROCEDURE ACT. 6 The General Assembly of North Carolina enacts: Article 12K of Chapter 120 of the General Section 1. 7 Statutes is amended by adding a new section to read: "§ 120-70.104. Analysis of legislation. (a) Any legislative proposal introduced in the General Assembly 10 11 proposing any of the following shall not be eligible for 12 consideration on the floor of either house (other than first 13 reading) or before any committee of either house of the General 14 Assembly until a report has been issued by the Joint Legislative 15 Administrative Procedure Oversight Committee in accordance with of this section with a copy of the report 16 subsection (b) 17 accompanying the proposal in accordance with the rules of the

any amendment of Chapter 150B of the

an exemption of any agency from any the 1 (2) the 150B requirements contained in Chapter 2 3 General Statutes; exception to the temporary or permanent 4 an (3) rulemaking authority or procedure; or 5 any other change to administrative procedure in 6 (4)connection with agencies subject to Chapter 150B 7 whether made in Chapter 150B or not. 8 (b) The Joint Legislative Administrative Procedure Oversight 9 10 Committee shall review any legislative proposal referred to in 11 subsection (a) of this section to determine whether the proposal 12 is consistent with the purpose of the Administrative Procedure 13 Act as set forth in G.S. 150B-1(a). The Committee shall only 14 review so much of the legislative proposal as deals with issues 15 of administrative law and shall not review any substantive policy 16 affected by the proposed change to administrative law. 17 Committee shall also consider whether: the proposal will result in an increase in 18 (1)administrative rules; 19 the proposal adheres to the public notice and 20 (2) hearing requirements of Chapter 150B, or otherwise 21 provides adequate notice and hearing opportunities 22 to the public; 23 (3) the proposal is designed to improve the public's 24 understanding of the administrative process; 25 any exemptions or exceptions contained in the 26 (4)proposal are necessary to accomplish the policy or 27 program assigned to the agency and are in the 28 public interest. 29 The Committee's report shall include a recommendation on whether 30 31 the General Assembly should approve the portion of the proposed 32 legislation which relates to administrative law. The Committee 33 may also recommend any appropriate amendments. An unfavorable 34 recommendation shall not bar further consideration of 35 proposal on the floor or by any committee of either house. (c) For purposes of this section, the Joint Legislative 36 37 Administrative Procedure Oversight Committee may meet at any time 38 upon the call of either chair, whether or not the General 39 Assembly is in session." Section 2. This act becomes effective January 15, 2001. 40

LEGISLATIVE PROPOSAL #3

A RECOMMENDATION OF

THE JOINT LEGISLATIVE ADMINISTRATIVE PROCEDURE OVERSIGHT COMMITTEE
TO THE 1999 SESSION OF THE GENERAL ASSEMBLY, 2000 REGULAR SESSION, TO
REVISE OR REPEAL OBSOLETE STATUTORY REFERENCES TO VARIOUS APA PROVISIONS

Short Title:

APA Technical Changes.

Statute(s) Affected:

GS 15A-1368.6(e); GS 15A-1376; GS 15B-6; GS 90-88; GS 90-116; GS 90-140; GS 90-223; GS 113-55.2; GS 113-221; GS 113-275; GS 113A-115; GS 130A-309.29; GS

130B-8; G.S. 150B-1.

Agency Affected:

Chiropractic Examiners Board; Crime Victims Compensation Commission; Dental Examiners Board; Dept. of Correction/Parole Commission; DENR/Coastal Resources Commission; Marine Fisheries Commission; Secretary; Wildlife Resources Commission; DHHS/Commission for Mental Health, Developmental. Disabilities & Substance Abuse Services; Commission for Health Services; Hazardous Waste Management Commission; Low-Level Radioactive Waste Management Authority; Optometry Examiners Board.

Interested Parties:

Agencies listed above.

Explanation of Proposal: 99-SCZ-002(4.17) repeals obsolete references to Article 5, and replaces references to Article 2 with Article 2A.

Section 14 of the draft repeals the section of the APA which exempted the NC Low-Level Radioactive Waste Management Authority from Article 2A of the APA. Pursuant to SL 99-357, the Authority is being repealed effective July 1, 2000.

Appropriations and/or Fees:

None.

Effective Date: Section 14 pertaining to the Low-Level Radioactive Waste Management Authority becomes effective July 1, 2000. The remainder of the act becomes effective when the it becomes law.

			,	
			!	
			!	
	,			
			· .	
			*	
·				
			-	
			; ; ;	
			:	
			1	
			:	
				•



LEGISLATIVE PROPOSAL #3: APA Technical Changes

BILL ANALYSIS

Committee: RECOMMENDED BY: Joint

Legislative Admin. Procedure

Oversight Committee

Date:

April 20, 2000

Version:

DRAFT 99-SCZ-002(4.17)

Introduced by:

Summary by:

Mary Shuping

Committee Staff

SUMMARY: This bill makes technical changes or repeals obsolete references to the APA still contained in the General Statutes.

BILL ANALYSIS:

Sections 1-13

Former Article 2 of the APA governed the rule-making process agencies must follow. Former Article 5 of the APA governed effective dates and publication of agency rules. Both Article 2 and Article 5 were repealed in 1991; however, numerous references to these articles remain in the General Statutes.

Under the current APA, rule-making procedures, effective dates of rules, and the publication of rules are contained in Article 2A of the APA.

99-SCZ-002(4.17) repeals the obsolete references to Article 5, and replaces references to Article 2 with Article 2A.

SECTION(S)	BORDANATION BORDANATION					
1-2	Deletes obsolete references to Article 5 of the APA in the Parole Commission's statutes.					
3	Replaces "Article 2" with "Article 2A", in the Crime Victims Compensation Commission's					
	statutes.					
4	Replaces "Article 2" with "Article 2A", and deletes reference to Article 5 in the					
	Commission for Mental Health/Developmental Disabilities, & Substance Abuse Services'					
	statutes governing controlled substances. Section 4 also makes other technical changes.					
5	Replaces "Article 2" with "Article 2A" in the Board of Examiners of Optometry statutes.					
6	Replaces "Article 2" with "Article 2A" in the Board of Chiropractic Examiners statutes.					
7	Deletes reference to Article 5 and makes clarifying changes to State Board of Dental					
	Examiners' statutes. The Board of Dental Examiners is subject to the APA, and therefore, is					
also subject to the filing and publication requirements in the APA. Subdiv						
	continues to require that any rules adopted by the Board must be sent to dental hy					
	within 30 days of the approval of the rules.					
8	Replaces "Article 2" with "Article 2A" and deletes reference to Article 5 in DENR statutes					
	governing issuance of warning tickets for forest law violations.					
9	Replaces "Articles 2" with Article 2A and deletes reference to Article 5 for the Marine					
	Fisheries Commission issuance of proclamations.					
10	Replaces "Article 2" with "Article 2A" in Wildlife Resources Commission statutes.					
11	Replaces "Article 2" with "Article 2A" in Coastal Resources Commission statutes.					

LEGISLATIVE PROPOSAL #3

Page 2

12	Replaces "Article 2" with "Article 2A" in Health Services Commission statutes.							
13	Replaces "Article 2" with "Article 2A" in Hazardous Waste Management Commission							
	statutes.							

Section 14 repeals the section of the APA which exempted the NC Low-Level Radioactive Waste Management Authority's exemption from Article 2A of the APA. Pursuant to SL 99-357, the Authority is being repealed effective July 1, 2000.

Section 15. Effective Dates. Section 14 is effective July 1, 2000. The remainder of the act is effective when it becomes law.

GENERAL ASSEMBLY OF NORTH CAROLINA

SESSION 1999

D

S/H

99-SCZ-002(4.17)(THIS IS A DRAFT AND IS NOT READY FOR INTRODUCTION)

(Public) Short Title: APA Technical Changes. Sponsors: Referred to:

A BILL TO BE ENTITLED

2 AN ACT TO IMPLEMENT A RECOMMENDATION OF THE JOINT LEGISLATIVE ADMINISTRATIVE PROCEDURE OVERSIGHT COMMITTEE TO REVISE OBSOLETE STATUTORY REFERENCES TO VARIOUS APA PROVISIONS.

5 The General Assembly of North Carolina enacts:

Section 1. G.S. 15A-1368.6(e) reads as rewritten:

6 Revocation Hearing. -- Before finally revoking post-7 8 release supervision, the Commission shall, unless the supervisee 9 waived the hearing or the time limit, provide a hearing within 45 10 days of the supervisee's reconfinement to determine whether to 11 revoke supervision finally. For purposes of this subsection, the 12 45-day period begins when the preliminary hearing required by 13 subsection (b) of this section is held or waived, or upon the 14 passage of seven working days after arrest, whichever is sooner. 15 The Commission shall adopt rules governing the hearing and shall 16 file and publish them as provided in Article 5 of Chapter 150B of 17 the General Statutes. hearing."

Section 2. G.S 15A-1376 reads as rewritten: 18

Revocation Hearing. -- Before finally revoking parole, 19 20 the Post-Release Supervision and Parole Commission must, unless

```
1 the parolee waived the hearing or the time limit, provide a
                            of the parolee's
                                                reconfinement
2 hearing within
                  45
                      days
3 determine whether to revoke parole finally.
                                                The Post-Release
4 Supervision and Parole Commission must adopt regulations rules
5 governing the hearing and must file and publish them as provided
6 in Article 5 of Chapter 150B of the General Statutes. hearing."
```

Section 3. G.S. 15B-6(a)(1) reads as rewritten:

- In addition to powers authorized by this Chapter and 9 Chapter 150B, the Commission may:
 - Adopt rules in accordance with Part 3, Article 1 of Chapter 143B and Article 2 2A of Chapter 150B of the General Statutes necessary to carry out the purposes of this Chapter;"

Section 4. G.S. 90-88(d) reads as rewritten:

14 If any substance is designated, rescheduled or deleted as 15 16 a controlled substance under federal law, the Commission shall 17 similarly control or cease control of, the substance under this 18 Article unless the Commission objects to such inclusion. 19 Commission, at its next regularly scheduled meeting that takes 20 places [place] place 30 days after publication in the Federal 21 Register of a final order scheduling a substance, shall determine 22 either to adopt a rule to similarly control the substance under 23 this Article or to object to such action. No rule-making notice specified by G.S. [Chapter] Chapter hearing as 25 required if the Commission makes a decision to similarly control 26 a substance, but any rule so adopted shall be filed pursuant to 27 Article 5 of Chapter 150B. substance. However, if the Commission 28 makes a decision to object to adoption of the federal action, it 29 shall initiate rule-making procedures pursuant to G.S. [Chapter] 30 150B Chapter 150B within 180 days of its decision to object."

Section 5. G.S. 90-116 reads as rewritten:

Board of Examiners in Optometry.

In order to properly regulate the practice of optometry, there 33 34 is established a North Carolina State Board of Examiners regularly graduated 35 Optometry, which shall consist of five 36 optometrists who have been engaged in the practice of optometry least five years and two members to 37 in this State for at 38 represent the public at large.

No public member shall at any time be a health care provider, 40 be related to or be the spouse of a health care provider, or have

8

10

11

12

13

31

32

1 any pecuniary interest in the profitability of a health care 2 provider. For purposes of this section, the term "health care 3 provider" shall have the same meaning as provided in G.S. 58-47-4 5(4). The Governor shall appoint the two public members not later 5 than July 1, 1981.

The optometric members of the Board shall be appointed by the 7 Governor from a list provided by the North Carolina State 8 Optometric Society. For each vacancy, the society must submit at 9 least three names to the Governor. The society shall establish 10 procedures for the nomination and election of optometrist members These procedures shall be adopted under the the Board. 12 rule-making procedures described in Article 2, 2A Chapter 150B of 13 the General Statutes, and notice of the proposed procedures shall 14 be given to all licensed optometrists residing in North Carolina. 15 Such procedures shall not conflict with the provisions of this 16 section. Every optometrist with a current North Carolina license 17 residing in the State shall be eligible to vote in all such 18 elections, and the list of licensed optometrists shall constitute 19 the registration list for elections. Any decision of the society 20 relative to the conduct of such elections may be challenged by 21 civil action in the Wake County Superior Court. A challenge must 22 be filed not later than 30 days after the society has rendered 23 the decision in controversy, and all such cases shall be heard de 24 novo.

25 All Board members serving on June 30, 1981, shall be eligible 26 to complete their respective terms. No member appointed to a term 27 on or after July 1, 1981, shall serve more than two complete 28 consecutive five-year terms, except that each member shall serve 29 until his successor is chosen and qualifies.

The Governor may remove any member for good cause shown. Any live and in the optometrist membership of the Board shall be gilled for the period of the unexpired term by the Governor from all list of at least three names submitted by the North Carolina Attack Optometric Society Executive Council. Any vacancy in the public membership of the Board shall be filled by the Governor for the unexpired term."

37 Section 6. G.S. 90-140 reads as rewritten:

88 "§ 90-140. Selection of chiropractic members of Board.

39 The Governor and the General Assembly upon the recommendation 40 of the President Pro Tempore of the Senate shall appoint

22 shall be heard de novo."

23

29

30

31

32

33

34

35

36

37

1 chiropractic members of the Board for terms of three years from a 2 list provided by the Board, and the General Assembly upon the 3 recommendation of the Speaker of the House of Representatives 4 shall appoint a chiropractic member of the Board for a term of 5 two years from a list provided by the Board. For each vacancy, 6 the Board must submit at least three names to the Governor, 7 President Pro Tempore of the Senate and Speaker of the House. The Board shall establish procedures for the nomination and 9 election of chiropractic members. These procedures shall be 10 adopted under Article 2 2A of Chapter 150B of the General 11 Statutes, and notice of the proposed procedures shall be given to licensed chiropractors residing in North Carolina. 13 procedures shall not conflict with the provisions 14 section. Every chiropractor with a current North Carolina license 15 residing in this State shall be eligible to vote in all such chiropractors licensed list οf 16 elections, and the 17 constitute the registration list for elections. Any decision of 18 the Board relative to the conduct of such elections may be 19 challenged by civil action in the Wake County Superior Court. A 20 challenge must be filed not later than 30 days after the Board 21 has rendered the decision in controversy, and all such cases

Section 7. G.S. 90-223(b)(1) reads as rewritten:

"(b) The Board shall have the authority to make or amend rules 25 and regulations not inconsistent with this Article governing the 26 practice of dental hygiene and the granting, revocation and 27 suspension of licenses and provisional licenses of dental 28 hygienists.

Any rule promulgated or amended adopted under this (1)be filed and distributed in shall Article accordance with the provisions of Article 5 of Chapter 150B of the General Statutes of North all distributed to Carolina. A copy must be all licensed dentists and hygienists within 30 days of final approval by the Board."

Section 8. G.S. 113-55.2(a) reads as rewritten:

38 "(a) To encourage the cooperation of the public in achieving 39 the objectives of the forest laws, the Secretary may provide for the 40 issuance of warning tickets instead of the initiation of criminal 1 prosecution by forest rangers and forest law-enforcement officers.
2 Issuance of the warning tickets shall be in accordance with criteria
3 administratively promulgated by the Secretary within the requirements
4 of this section. These criteria are exempt from Article 2 2A of
5 Chapter 150B of the General Statutes but shall be filed in accordance
6 with Article 5 of that Chapter. Statutes."

Section 9. G.S. 113-221(e1) reads as rewritten: Pursuant to the request of five or more members of the 9 Marine Fisheries Commission, its chairman may call an emergency 10 meeting of the Commission to review: (1) a proposed issuance or 11 issuance of proclamations under the authority delegated to the 12 Fisheries Director pursuant to (e) of this section, except those 13 proclamations issued for reasons of public health; or (2) the 14 need to issue a proclamation to allow the taking of certain 15 fisheries resources in areas not opened through proclamations 16 issued by the Fisheries Director. At least 48 hours prior to any 17 such meeting, a public announcement of the meeting shall be 18 issued that describes the action requested by the members of the 19 Commission; and the Department must make every reasonable effort 20 to give actual notice of the meeting to persons who may be 21 affected thereby. After its review is complete, the Marine consistent with duty to protect, 22 Fisheries Commission, its sports commercial and enhance the 23 preserve, and 24 resources of the State, may (1) approve, cancel, or modify the 25 proposed proclamation or issued proclamation under review; or (2) 26 direct the Fisheries Director to issue a proclamation that allows 27 the taking of certain fisheries resources.

The variable conditions that affect such resource management decisions require that these emergency meetings and any resulting orders by the Commission be exempt from the provisions of Articles 2 and 5 Article 2A of Chapter 150B. The decisions of the Marine Fisheries Commission shall be the final decision of the 33 State and shall not be set aside on judicial review unless found to be arbitrary and capricious."

35 Section 10. G.S. 113-275(al) reads as rewritten:

36 "(a1) Notwithstanding the fees specified for nonresident 37 individuals by G.S. 113-270.2, 113-270.3, 113-270.5, 113-271, 38 113-272, 113-272.2, and 113-273, if the Wildlife Resources 39 Commission finds that a state has a nonresident license fee 40 related to wildlife resources that exceeds the fee for a

1 comparable nonresident license in North Carolina, the Wildlife 2 Resources Commission may, by resolution in official session, 3 increase the nonresident license fee applicable to citizens of 4 that state to an amount equal to the fee a North Carolina 5 resident is required to pay in that state.

The action of the Wildlife Resources Commission to increase a 7 fee pursuant to this subsection is not subject to the provisions 8 of Article 2 2A of Chapter 150B of the General Statutes. 9 Notwithstanding the provisions of G.S. 150B-59(a), the The action 10 of the Wildlife Resources Commission to increase a fee pursuant 11 to this subsection becomes effective on the date specified by the 12 Wildlife Resources Commission."

Section 11. G.S. 113A-115(a) reads as rewritten:

"(a) Prior to adopting any rule permanently designating any 15 area of environmental concern the Secretary and the Commission 16 shall hold a public hearing in each county in which lands to be 17 affected are located, at which public and private parties shall 18 have the opportunity to present comments and views. Hearings 19 required by this section are in addition to the hearing required 20 by Article 2 2A of Chapter 150B of the General Statutes. The 21 following provisions shall apply for all such hearings:

- (1) Notice of any such hearing shall be given not less than 30 days before the date of such hearing and shall state the date, time and place of the hearing, the subject of the hearing, and the action to be taken. The notice shall specify that a copy of the description of the area or areas of environmental concern proposed by the Secretary is available for public inspection at the county courthouse of each county affected.
- (2) Any such notice shall be published at least once in one newspaper of general circulation in the county or counties affected at least 30 days before the date on which the public hearing is scheduled to begin.
- (3) Any person who desires to be heard at such public hearing shall give notice thereof in writing to the Secretary on or before the first date set for the hearing. The Secretary is authorized to set reasonable time limits for the oral presentation of

13

22

23

24

25

26

27

2.8

29

30

31

32

33

34

35

36

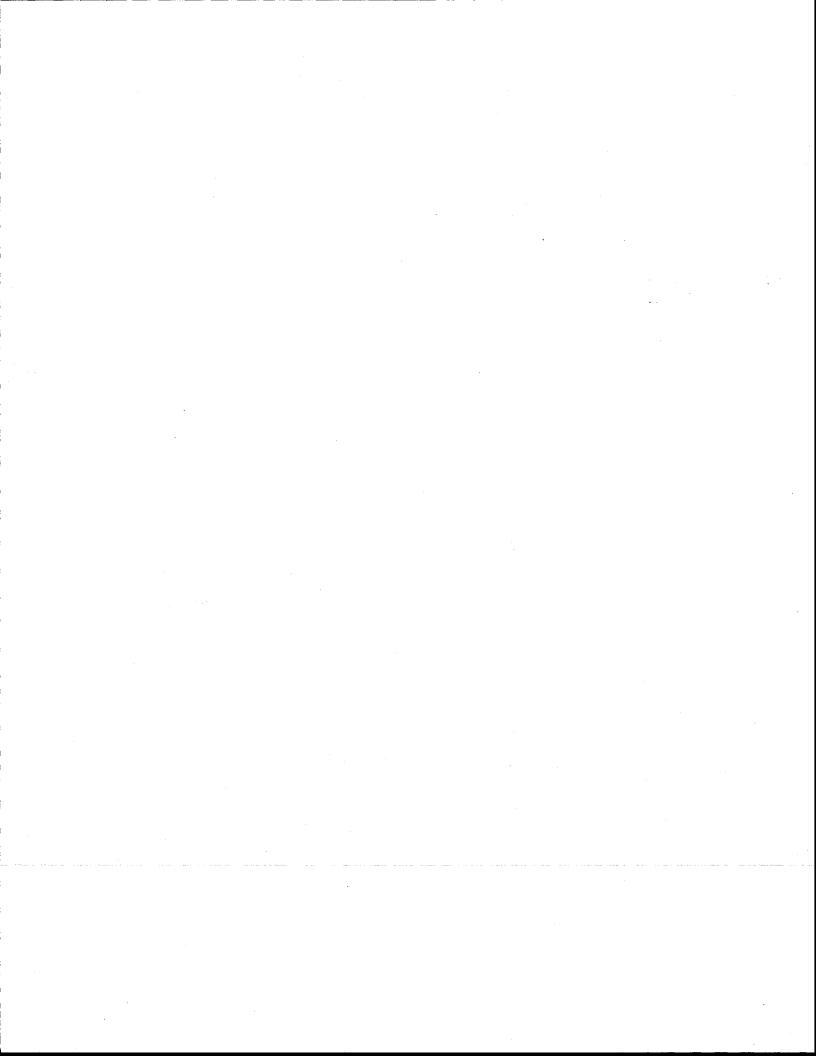
37

38

39

40

views by any one person at any such hearing. The 1 Secretary shall permit anyone who so desires to 2 file a written argument or other statement with him 3 in relation to any proposed plan any time within 30 4 days following the conclusion of any public hearing 5 or within such additional time as he may allow by 6 notice given as prescribed in this section. 7 Upon completion of the hearing and consideration of (4)8 submitted evidence and arguments with respect to 9 any proposed action pursuant to this section, the 10 final action with adopt its Commission shall 11 respect thereto and shall file a duly certified 12 copy thereof with the Attorney General and with the 13 board of commissioners of each county affected 14 thereby." 15 Section 12. G.S. 130A-309.29 reads as rewritten: 16 "§ 130A-309.29. Adoption of rules. 17 The Commission may adopt rules to implement the provisions of 18 19 this Part pursuant to Article 2 2A of Chapter 150B of the General 20 Statutes." Section 13. G.S. 130B-8(a)(8) reads as rewritten: 21 Neither the Commission nor any contractor performing 22 "(a) 23 services on behalf of the Commission shall be subject to the 24 following provisions of the General Statutes: 25 26 27 Article 2 2A of Chapter 150B shall not apply to (8) 28 technology selection contractor selection or 29 pursuant to G.S. 130B-13 and G.S. 130B-14. Articles 30 3 and 3A of Chapter 150B shall not apply to final 31 selection, contractor decisions regarding site 32 selection or technology selection pursuant to G.S. 33 130B-11, 130B-13, and 130B-14." 34 Section 14. G.S. 150B-1(d)(2) is repealed. 35 Section 14 of this act is Effective dates. Section 15. 36 37 effective July 1, 2000. The remainder of this act is effective 38 when it becomes law.



LEGISLATIVE PROPOSAL #4

A RECOMMENDATION OF THE JOINT LEGISLATIVE ADMINISTRATIVE PROCEDURE
OVERSIGHT COMMITTEE TO THE 1999 SESSION OF THE GENERAL ASSEMBLY, 2000 REGULAR
SESSION, TO AUTHORIZE THE BOARD OF ENGINEERS AND LAND SURVEYORS TO ADOPT RULES
TO REQUIRE ADDITIONAL INFORMATION PRIOR TO THE RE-EXAMINATION OF AN APPLICANT;
LIMIT THE NUMBER OF OFFICES A LICENSEE MAY SUPERVISE; AND CHANGE THE ANNUAL
LICENSE RENEWAL DATE FOR BUSINESSES.

Short Title:	Professional Engineers/Land Surveyors Licensure				
Statute(s) Affected:	GS 89C-14(e); 89C-17; 89C-24.				
Agency Affected:	Board of Engineers and Land Surveyors				
Interested Parties:	Licensed engineers and land surveyors; applicants applying for re-examination.				
Explanation of Proposal: This bill would allow the Board of Examiners for Engineers and Land Surveyors to adopt rules requiring that 1) an applicant who has failed an exam three times must present evidence that the applicant has taken actions to enhance the chances of passing the exam; 2) resident professional engineers and resident professional land surveyors must spend a majority of their working hours in the place of business; and, 3) changing the license renewal date for businesses from December 31 st to June 30 th .					
Appropriations and/	or Fees: None.				
Effective Date:	When the act becomes law.				



LEGISLATIVE PROPOSAL #4: Professional Engineers & Land Surveyors Licensure

BILL ANALYSIS

Committee: RECOMMENDED BY: Joint

Legislative Admin. Proc.

Oversight Committee

Date: Version: April 20, 2000 00-SCZ-004(5.4) Introduced by:

Summary by:

Mary Shuping

Research Assistant

SUMMARY: This bill would allow the Board of Examiners for Engineers and Land Surveyors to adopt rules 1) requiring that an applicant who has failed an exam three times must present evidence that the applicant has taken actions to enhance his/her chances of passing the exam; 2) requiring resident professional engineers and resident professional land surveyors must spend a majority of their working hours in the place of business; and, 3) changing the license renewal date for businesses from December 31st to June 30th.

BACKGROUND: On April 13, the Rules Review Commission (RRC) objected to three of the rules adopted by the Board of Examiners for Engineers and Land Surveyors. As permitted under G.S. 150B-21.8, the RRC reviews, and may object to, language in an agency's rule that is not being amended. The objections by the RRC to the Boards rules were to existing language in the rules. The Board has requested legislation to give the Board the authority to properly adopt rules dealing with expiration of business licenses, requirements for information from applicants for re-examination; and resident professional engineers and land surveyors spend a majority of their working hours in the place of business.

BILL ANALYSIS:

Section 1. Current Law: Under current law, candidates for licensure who fail the exam three times are eligible for re-examination after submitting a new application with the appropriate fee and may be considered for re-examination by the Board after 12 months.

Proposed Amendment: Section 1 of the bill would also require that applicants for re-examination provide documented evidence of actions taken to enhance the applicant's likelihood of passing the exam.

Section 2. Current Law: Under current law, all certificates of licensure expire December 31st of each vear.

Proposed Amendment: Section 2 of the bill would change the licensure expiration date for business firms and corporations from December 31st to June 30th of each year. Other licenses would continue to expire on December 31st.

III-28

LEGISLATIVE PROPOSAL #4

Page 2

Section 3. Current Law: Under current law, work done by business firms and corporations must be done under the responsible charge of individual registrants (defined by the Board as the "resident professional engineer" or "resident professional land surveyor"); however, there is no authority for the Board to require the resident professional engineer or land surveyor to spend a majority of his/her normal working hours in a particular place of business.

<u>Proposed Amendment</u>: Section 3 would allow the Board to adopt rules to establish a limit on the number of offices that a licensee of the Board may directly supervise at any one time.

Section 4. Effective Date. The bill is effective when it becomes law.

GENERAL ASSEMBLY OF NORTH CAROLINA

SESSION 1999

S/H

00-SCZ-004(5.4) (THIS IS A DRAFT AND IS NOT READY FOR INTRODUCTION)

D

Short Title:	Professional	Engineers/Land	Surveyors	Licensure.	
•				(Public)	
Sponsors: .					
Referred to:	·				

1 A BILL TO BE ENTITLED

JOINT THE RECOMMENDATION Α IMPLEMENT 2 AN ACT AN ACT TO ADMINISTRATIVE PROCEDURE OVERSIGHT COMMITTEE LEGISLATIVE AUTHORIZE THE BOARD OF EXAMINERS FOR ENGINEERS AND SURVEYORS TO ADOPT RULES TO REQUIRE ADDIITONAL INFORMATION PRIOR TO THE RE-EXAMINATION OF AN APPLICANT; LIMIT THE NUMBER OF OFFICES A LICENSEE MAY SUPERVISE; AND CHANGE THE ANNUAL LICENSE RENEWAL DATE FOR BUSINESSES.

9 The General Assembly of North Carolina enacts:

Section 1. G.S. 89C-14(e) reads as rewritten:

"(e) A candidate failing an examination may apply, and be considered by the Board, for reexamination at the end of six months. The Board shall make such reexamination charge as is

14 necessary to defray the cost of the examination.

15 A candidate with a combination of three failures or 16 unexcused absences on an examination shall only be eligible

7 after submitting a new application with appropriate

18 application fee, documented evidence of actions taken by the

19 candidate to enhance the candidate's prospects for passing

20 the exam, and be considered by the Board for reexamination

1 at the end of 12 months. After the end of the 12-month 2 period, the applicant may take the examination no more than 3 once every calendar year."

Section 2. G.S. 89C-7 reads as rewritten:

"§ 89C-17. Expirations and renewals of certificates.

Certificates for licensure of corporations and business firms 7 that engage in the practice of engineering or land surveying 8 shall expire on the last day of the month of June following their 9 issuance or renewal, and shall become invalid on that date unless All other certificates Certificates for licensure 10 renewed. 11 shall expire on the last day of the month of December next 12 following their issuance or renewal, and shall become invalid on 13 that date unless renewed. When necessary to protect the public 14 health, safety, or welfare, the Board shall require any evidence 15 necessary to establish the continuing competency of engineers and 16 land surveyors as a condition of renewal of licenses. When the as to the continuing competency of satisfied 17 Board is 18 applicant, it shall issue a renewal of the certificate upon 19 payment by the applicant of a fee fixed by the Board but not to 20 exceed seventy-five dollars (\$75.00). The secretary of the Board 21 shall notify by mail every person licensed under this Chapter of 22 the date of expiration of the certificate, the amount of the fee 23 required for its renewal for one year, and any requirement as to 24 evidence of continued competency. The notice shall be mailed at 25 least one month in advance of the expiration date of 26 certificate. Renewal shall be effected at any time during the 27 month of January immediately following, by payment 28 secretary of the Board of a renewal fee, as determined by the 29 Board, which shall not exceed seventy-five dollars (\$75.00). 30 Failure on the part of any registrant to renew the certificate 31 annually in the month of January, as required above, 32 deprive the registrant of the right to practice until renewal has 33 been effected. Renewal may be effected at any time during the 34 first 12 months immediately following its invalidation by payment 35 of the established renewal fee and a late penalty of one hundred 36 dollars (\$100.00). Failure of a licensee to renew the license for 37 a period of 12 months shall require the individual, prior to 38 resuming practice in North Carolina, to submit an application on 39 the prescribed form, and to meet all other requirements for 40 licensure as set forth in Chapter 89C. The secretary of the Board

1 is instructed to remove from the official roster of engineers and 2 land surveyors the names of all licensees who have not effected 3 their renewal by the first day of February immediately following 4 the date of their expiration. The Board may adopt rules to hardship cases due to in distress or 5 provide for renewals 6 military service, prolonged illness, or prolonged absence from 7 the State, where the applicant for renewal demonstrates to the 8 Board that the applicant has maintained active knowledge and 9 professional status as an engineer or land surveyor, as the case 10 may be. It shall be the responsibility of each licensee to inform 11 the Board promptly concerning change in address. A licensee may 12 request and be granted inactive status. No inactive licensee may 13 practice in this State unless otherwise exempted in this Chapter. 14 A licensee granted inactive status shall pay annual renewal fees shall not be subject to annual continuing professional 16 competency requirements. A licensee granted inactive status may 17 return to active status by meeting all requirements of the Board, 18 including demonstration of continuing professional competency as 19 a condition of reinstatement.

Section 3. G.S. 89C-24 reads as rewritten:

21 "§ 89C-24. Licensure of corporations and business firms that 22 engage in the practice of engineering or land surveying.

A corporation or business firm may not engage in the practice 24 of engineering or land surveying in this State unless it is 25 licensed by the Board and has paid an application fee established 26 by the Board in an amount not to exceed one hundred dollars 27 (\$100.00). A corporation or business firm is subject to the same 28 duties and responsibilities as an individual licensee. Licensure 29 of a corporation or business firm does not affect the requirement work by done engineering or land surveying all 31 corporation or business firm be performed by or 32 responsible charge of individual registrants, nor does it relieve 33 the individual registrants within a corporation or business firm 34 of their design and supervision responsibilities. The Board may 35 establish a limit on the number of offices that a licensee of the 36 Board may directly or personally supervise at one time.

This section applies to every corporation that is engaged in 38 the practice of engineering or land surveying, regardless of when 39 it was incorporated. A corporation that is not exempt from

¹ Chapter 55B of the General Statutes by application of G.S. 55B-15

² must be incorporated under that Chapter."

Section 4. This act is effective when it becomes law.

SECTION IV

TEMPORARY RULES ADOPTED PURSUANT TO

SL 99-463 -

Hurricane Floyd Relief Act of 1999:

REPORT TO THE COMMITTEE

REPORT TO THE COMMITTEE ON TEMPORARY RULES TO IMPLEMENT THE HURRICANE FLOYD RELIEF ACT OF 1999 SL 99-463

During its 1999 Extra Session, the General Assembly found that the magnitude of the devastation caused by Hurricane Floyd and the urgency of the need for immediate State recovery assistance required expeditious actions by State agencies. In order to achieve that goal, agencies were given expanded temporary rule-making authority for rules to implement the provisions of the Hurricane Floyd Relief Act, as follows:

- Procedure for Adopting Temporary Rules: Any temporary rules adopted to implement the act must be adopted in accordance with the temporary rule-making requirements of the APA. The temporary rules will be published in the North Carolina Register.
- Authority to Adopt Temporary Rules: Agencies' authority to adopt temporary rules will remain in effect until all rules necessary to implement the act have been adopted.
- Scope of Temporary Rules: Temporary rules adopted pursuant to SL 1999-463 apply only
 to the counties that were declared a major disaster area as a result of Hurricane Floyd under
 federal law (P.L. 93-288).*
- Expiration of Temporary Rules: Temporary rules will remain in effect until the date specified by the agency. The agency must specify the date on which the rule will expire.
- Reporting Requirement: Any agency that adopts a temporary rule to implement the provisions of the act must report the text of the temporary rule and the agency's findings of need for the temporary rule to the Joint Legislative Administrative Procedure Oversight Committee within 30 days of the adoption of the rule.

As of March 24, 2000, a total of 37 temporary rules had been adopted by 4 agencies and reported to the Committee. A detailed list of those rules is found in EXHIBIT H.

^{*} SL 1999-463, Section 2.3.

,					
•					
			•		
		2. 9			
	 			an annual maint an iompain than the second at the	

SECTION V

EXHIBITS

EXHIBIT A Article 12 K of Chapter 120 of the General Statutes

Joint Legislative Administrative Procedure Oversight Committee

EXHIBIT B APA-Related Legislation – 1999 Regular Session

EXHIBIT C• Rule Subject to Legislative Disapproval – 2000 Regular Session

EXHIBIT D • Temporary Rules Chart: 12/92 – 11/99

• Temporary Rule-making Provisions by Agency

Types of Temporary Rule-making Exceptions

EXHIBIT E Agency Exemptions from the APA

EXHIBIT F• HB 968, 2nd ed. – Bill & Summary

Contested Case Statistics

EXHIBIT G Constitutionality of HB 968

EXHIBIT H Temporary Rules Adopted Pursuant to SL 99-463 – As of 4/18/00

EXHIBIT A

Article 12K of Chapter 120 of the General Statutes: Joint Legislative Administrative Procedure Oversight Committee

	•
	•
	*

ARTICLE 12K. Joint Legislative Administrative Procedure Oversight Committee.

Sec.

120-70.100. Creation and membership of Joint Legislative Administrative Procedure Oversight Committee.

120-70.101. Purpose and powers of Committee.

120-70.102. Organization of Committee.

120-70.103. Exercise of duty to maintain a notebook of approved rules.

§ 120-70.100. Creation and membership of Joint Legislative Administrative Procedure Oversight Committee.

- (a) The Joint Legislative Administrative Procedure Oversight Committee is established. The Committee consists of 16 members as follows:
- (1) Eight members of the Senate appointed by the President Pro Tempore of the Senate, at least three of whom are members of the minority party.
- (2) Eight members of the House of Representatives appointed by the Speaker of the House of Representatives, at least three of whom are members of the minority party.
- (b) Members of the Committee shall serve a term of two years beginning on January 15 of each odd-numbered year. Members may complete a term of service on the Committee even if they do not seek reelection or are not reelected to the General Assembly, but resignation or removal from service in the General Assembly constitutes resignation or removal from service on the Committee. A member continues to serve until the member's successor is appointed. A vacancy shall be filled within 30 days by the officer who made the original appointment.

(1995, c. 507, s. 27.8(a).)

Editor's Note. - Session Laws 1995, c. 507, which enacted this article, in s. 27.8(z) provides that this article is effective December 1, 1995, and is applicable to all rules for which a notice of rule making is published in the North Carolina Register on or after that date and to rule and Building Code changes that are initiated on or after that date and that are not subject to the rule-making procedures set out in Article 2A of Chapter 150B of the General Statutes.

Session Laws 1995, c. 507, s. 1.1, provides that that act shall be known and cited as the Expansion and Capital Improvements Appropriations Act of 1995.

Session Laws 1995, c. 507, s. 28.9, provides: "Except for statutory changes or other provisions that clearly indicate an intention to have effects beyond the 1995-97 fiscal biennium, the textual provisions of this act apply only to funds appropriated for, and activities occurring during, the 1995-97 biennium."

Session Laws 1995, c. 507, s. 27.8(t), provides: "Notwithstanding G.S. 120-70.100(b), as enacted by subsection (a) of this section, the terms of initial members of the Joint Legislative Administrative Procedure Oversight Committee shall begin upon appointment and shall end on January 15, 1997."

Session Laws 1995, c. 507, s. 28.12 is a severability clause.

§ 120-70.101. Purpose and powers of Committee.

The Joint Legislative Administrative Procedure Oversight Committee has the following (c) 1996 by Michie, a division of Reed Elsevier Inc.

powers and duties:

- (1) To review rules to which the Rules Review Commission has objected to determine if statutory changes are needed to enable the agency to fulfill the intent of the General Assembly.
- (2) To receive reports prepared by the Rules Review Commission containing the text and a summary of each rule approved by the Commission.
- (3) To prepare a notebook that contains the administrative rules that have been approved by the Rules Review Commission and reported to the Committee and to notify each member of the General Assembly of the availability of the notebook.
- (4) To review State regulatory programs to determine if the programs overlap, have conflicting goals, or could be simplified and still achieve the purpose of the regulation.
- (5) To review existing rules to determine if the rules are necessary or if the rules can be streamlined.
- (6) To review the rule-making process to determine if the procedures for adopting rules give the public adequate notice of and information about proposed rules.
- (7) To review any other concerns about administrative law to determine if statutory changes are needed.
- (8) To report to the General Assembly from time to time concerning the Committee's activities and any recommendations for statutory changes.

(1995, c. 507, s. 27.8(a); 1996, 2nd Ex. Sess., c. 18, s. 7.10(h).)

Editor's Note. - Session Laws 1995, c. 507, s. 1.1, provides that that act shall be known and cited as the Expansion and Capital Improvements Appropriations Act of 1995.

Session Laws 1996, Second Extra Session, c. 18, s. 1.1, provides: "This act shall be known as the Current Operations Appropriations Act of 1996."

Session Laws 1996, Second Extra Session, c. 18, s. 29.5, is a severability clause.

Effect of Amendments. - The 1996 Second Extra Session amendment, effective August 3, 1996, substituted "from time to time" for "at the beginning of each regular session" in subdivision (8).

§ 120-70.102. Organization of Committee.

- (a) The President Pro Tempore of the Senate and the Speaker of the House of Representatives shall each designate a cochair of the Joint Legislative Administrative Procedure Oversight Committee. The Committee shall meet at least once a quarter and may meet at other times upon the joint call of the cochairs.
- (b) A quorum of the Committee is nine members. No action may be taken except by a majority vote at a meeting at which a quorum is present. While in the discharge of its official duties, the Committee has the powers of a joint committee under G.S. 120-19 and G.S. 120-19.1 through G.S. 120-19.4.
- (c) Members of the Committee receive subsistence and travel expenses as provided in G.S. 120-3.1. The Committee may contract for consultants or hire employees in accordance with G.S.

120-32.02. The Committee may meet in the Legislative Building or the Legislative Office Building upon the approval of the Legislative Services Commission. The Legislative Services Commission, through the Legislative Services Officer, shall assign professional staff to assist the Committee in its work. Upon the direction of the Legislative Services Commission, the Supervisors of Clerks of the Senate and of the House of Representatives shall assign clerical staff to the Committee. The expenses for clerical employees shall be paid by the Committee.

(1995, c. 507, s. 27.8(a); 1996, 2nd Ex. Sess., c. 18, s. 8(*l*).)

Editor's Note. - Session Laws 1995, c. 507, s. 1.1, provides that that act shall be known and cited as the Expansion and Capital Improvements Appropriations Act of 1995.

Session Laws 1996, Second Extra Session, c. 18, s. 1.1, provides: "This act shall be known as the Current Operations Appropriations Act of 1996."

Session Laws 1996, Second Extra Session, c. 18, s. 29.5, is a severability clause.

Effect of Amendments. - The 1996 Second Extra Session amendment, effective July 1, 1996, substituted "Legislative Services Officer" for "Legislative Administrative Officer" in subsection (c).

§ 120-70.103. Exercise of duty to maintain a notebook of approved rules.

With the approval of the Legislative Services Commission, the Joint Legislative Administrative Procedure Oversight Committee may delegate to the Legislative Library the duty to maintain a notebook containing rules approved by the Rules Review Commission. Whether the notebook is maintained by the Committee or by the Legislative Library, rules shall be filed in the notebook in accordance with the numbering system used in the North Carolina Administrative Code.

(1995, c. 507, s. 27.8(a).)

Editor's Note. - Session Laws 1995, c. 507, s. 1.1, provides that that act shall be known and cited as the Expansion and Capital Improvements Appropriations Act of 1995.

EXHIBIT B

APA-Related Legislation - 1999 Regular Session

APA-RELATED LEGISLATION 1999 Regular Session of the General Assembly

BILLS TO DISAPPROVE RULES

-None-

BILLS RECOMMENDED BY THE JOINT LEGISLATIVE ADMINISTRATIVE PROCEDURE OVERSIGHT COMMITTEE

-None-

ENACTED LEGISLATION

Session Law # S.L. 1999-237	Section(s). 11 11.30	## HB 168	Appropriations Act: Recodification of Administrative Rules Child Caring Institution Rules Effective	Section 11 of S.L. 1999-237 authorizes certain reorganizational and clarifying changes to the North Carolina Administrative Code and exempts those changes from the rule-making requirements of the APA. Section 11.30 of S.L. 1999-237 makes effective several permanent rules adopted by the Social Services Commission that would have otherwise not been able to become effective until June of 2000.
S.L. 1999-329	7.1 – 7.2	HB 1160	Clean Water Act of 1999	Authorizes the Environmental Management Commission to adopt temporary rules to protect the Cape Fear, Catawba, and Tar- Pamlico River Basins.

				Effective Date: July 21, 1999, and expires on July 1, 2001.
S.L. 1999-434	16 – 17	SB 222	Electronic Payments/Information Technology	Gives the Secretary of Commerce expanded temporary rule-making authority to implement information technology provisions of Part 16 of Article 10 of Chapter 143B of the General Statutes. Section 17 of this act provides that contested cases involving the administration of information technology provisions by the Secretary of Commerce will be subject to Article 3A of Chapter 150B of the General Statutes (Other Administrative Hearings).
S.L. 1999-453	5	SB 881	Campaign Reform Act	Grants the State Board of Elections broad authority to adopt temporary rules.

PENDING LEGISLATION

1580 188 BILL #455 BILL	Short Title	Status	Operview As a second
HB 968, 2 nd ed.	Amend Contested Case Procedure	Senate Judiciary II	Amends the Administrative Procedure Act to give administrative law judges within the Office of Administrative Hearings final decision-making authority in contested cases, and to give both parties to a contested case the right to seek judicial review of the final administrative decision in Superior Court.
HB 1431, 3 rd ed.	Tobacco & Health Trust Funds	Senate Rules	Establishes the Tobacco Trust Fund Commission to develop guidelines and criteria for the disbursement of funds, and the procedures for applying for and reviewing applications for assistance from the Fund and exempts the Tobacco Trust Fund Commission from the rule-making provisions of the APA

SB 969,	NC Health & Wellness Trust Fund	House Select	Establishes the Health and Wellness Trust Fund
2 nd ed.		Committee on	Board of Trustees and exempts the Board from the
()		Tobacco	rule-making provisions of the APA.

STUDIES

Administrative Process for State Employee Grievances Committee

Section 2.1 (1) c of S.L. 1999-163 authorized the Legislative Research Commission (LRC) to study the administrative process for State employee grievances (HJR 1014). HJR 1014 provides that the study may include all aspects of the administrative process for State employee grievances, including examination of the rate at which the State Personnel Commission and other State agencies adopt, modify, and reject recommended decisions of administrative law judges.

The Committee may make an interim report to the Legislative Research Commission prior to the convening of the 2000 Regular Session. The Committee's final report must be made prior to the convening of the 2001 Regular Session.

Election Laws Study Commission

Part IV of S.L. 1999-395 establishes the Election Laws Study Commission. Section 4.3(6) directs the Commission to study an APA exemption for the State Board of Elections.

The Commission is required to report its findings and recommendations prior to the convening of the 2001 General Assembly and may report to the 2000 Regular Session of the 1999 General Assembly.

.

EXHIBIT C

Rules Subject to Legislative Disapproval - 2000 Regular Session

•	•			
			• •	
		,		
				•

RULES APROVED BY THE RULES REVIEW COMMISSION SUBJECT TO LEGISLATIVE DISAPPROVAL

January 1999 - April 2000

Annual Report

Fiscal Impact: All fiscal impact is determined by the agency. "None" means the rule has no State or local impact and private sector impact, if any, is <\$5,000,000; "State" means rules requires the expenditure or distribution of State funds; "Local" means the rule affects the expenditures or revenues of local government; "substantial Economic" means the rule has an aggregate financial impact on all persons affected of at least \$5,000,000 in a 12-month period.

AGENCY:	RULE #ITITLE	Subject	Fiscal Impact	Proposed Effective Date
AGRICULTURE, DEPARTMENT	OF			
BOARD OF AGRICULTURE	2 NCAC 9K .0214 Standards of Identity for Frozen Yogurt	Standards	None	July 1, 2000
	2 NCAC 20B .0104 Admission Regulations	Regulations	None	July 1, 2000
STRUCTURAL PEST CONTROL COMMITTEE	2 NCAC 34 .0102 Definitions	General	None	July 1, 2000
	2 NCAC 34 .0319 Filing Call Office Locations	Filings	None	July 1, 2000
! ! !	2 NCAC 34 .0330 Branch Office		None	July 1, 2000
	2 NCAC 34 .0509 Physical Barriers for Termite Control	Termites	None	July 1, 2000
STATE MARKETING AUTHORITY	2 NCAC 43L .0309 Admission Regulations	Regulations	None	July 1, 2000
BOARD OF AGRICULTURE	2 NCAC 52B .0207 Importation Requirements: Swine	Requirements	None	July 1, 2000
	2 NCAC 52B .0302 Brucellosis Requirements for Sale of Cattle	Cattle and swine	None	July 1, 2000
	2 NCAC 52E .0209 Certificates: Cattle and Swine Removed	Certification	None	July 1, 2000
AGRICULTURE AND CONSUMER SERVICES	2 NCAC 54 .0101 Purpose	Waste management	None	July 1, 2000
	2 NCAC 54 .0102 Definitions		None	July 1, 2000

AGENCY	RULE #/TITLE	Subject	Fiscal Impact	Proposed Effective Date
AGRICULTURE AND CONSUMER SERVICES	2 NCAC 54 .0104 Use of Grants		None	July 1, 2000
DEPARTMENT OF COMMERCE				
COMMERCE FINANCE CENTER	4 NCAC 1E .0104 Operator	Purpose	None	April 1, 1999
	4 NCAC 11 .0101 Background and Objectives	Purpose	Substantial	August 1, 2000
	4 NCAC 1I .0102 Definitions		Substantial	August 1, 2000
	4 NCAC 11 .0201 Date of Receipt of Applications	General requirements	Substantial	August 1, 2000
	4 NCAC 11 .0202 Application Categories and Requirements		Substantial	August 1, 2000
	4 NCAC 11 .0301 Review of Applications and Funding	Selection process	Substantial	August 1, 2000
	4 NCAC 11 .0302 Eligibility Requirements		Substantial	August 1, 2000
	4 NCAC 11 .0303 Review: APP Funding		Substantial	August 1, 2000
	4 NCAC 1I .0304 Eligibility Requirements		Substantial	August 1, 2000
	4 NCAC 1I .0401 General	Approval Criteria	Substantial	August 1, 2000
	4 NCAC 1I .0402 Required Findings		Substantial	August 1, 2000
	4 NCAC 1I .0403 Formal Applications Procedures: Denial		Substantial	August 1, 2000
	4 NCAC II .0404 Formal Application Procedures: Approval		Substantial	August 1, 2000
	4 NCAC II .0405 Findings Requirements		Substantial	August 1, 2000
	4 NCAC 11 .0501 General	Reservation of funds	Substantial	August 1, 2000

AGENCY	RULE#/TITLE	Subject	Fiscal Impact	Proposed Effective Date
COMMERCE FINANCE CENTER	4 NCAC 11 .0502 Limitations		Substantial	August 1, 2000
	4 NCAC 11 .0503 Reversion of Funds		Substantial	August 1, 2000
	4 NCAC 11 .0601 Reporting Requirements	Reporting Requirements	Substantial	August 1, 2000
	4 NCAC 11 .0701 Annual Designation	Designation	Substantial	August 1, 2000
	4 NCAC 11 .0801 Compliance with NC Environmental Rules	Environmental Compliance	Substantial	August 1, 2000
	4 NCAC 1K .0102 Definitions		None	April 1, 1999
	4 NCAC 1K .0103 Eligible Applicants		None	April 1, 1999
	4 NCAC 1K .0302 Criteria for Making Necessary Findings		None	April 1, 1999
	4 NCAC IK .0402 Method of Payment		None	April 1, 1999
BANKING COMMISSION	4 NCAC 3B .0101 Petitions	Rulemaking	None	April 1, 1999
	4 NCAC 3B .0102 Notice		None	April 1, 1999
	4 NCAC 3B .0103 Hearings		None	April 1, 1999
	4 NCAC 3H .0002 Regional Bank Holding Company	Applications	None	April 1, 1999
	4 NCAC 3L .0101 Definitions	Administrative	None	July 1, 2000
	4 NCAC 3L .0102 Filings		None	July 1, 2000
	4 NCAC 3L .0201 Application for Licensure	Application	None	July 1, 2000
	4 NCAC 3L .0202 Fees		None	July 1, 2000

AGENCY	RULE#/TITLE	Subject	Fiscal Impact	Proposed Effective Date
BANKING COMMISSION	4 NCAC 3L .0301	Licensing	None	July 1, 2000
	Issuance 4 NCAC 3L .0302 Nontransferability of License		None	July 1, 2000
	4 NCAC 3L .0303 Annual Renewal of License		None	July 1, 2000
:	4 NCAC 3L .0401 Posting of License	Operations	None	July 1, 2000
•	4 NCAC 3L .0402 Surrender of License		None	July 1, 2000
	4 NCAC 3L .0403 Posting of Fees		None	July 1, 2000
	4 NCAC 3L .0404 Cash-Out Transactions		None	July 1, 2000
: : :	4 NCAC 3L .0405 Limitation on Delayed Deposit Check Cashing		None	July 1, 2000
	4 NCAC 3L .0501 Books and Records	Books and Records	None	July 1, 2000
	4 NCAC 3L .0502 Examinations; Investigations		None	July 1, 2000
	4 NCAC 3L .0601 Amendments to Information on File	Reporting requirements	None None	July 1, 2000 July 1, 2000
	4 NCAC 3L .0602 Expansion or Relocation		None	July 1, 2000 July 1, 2000
	4 NCAC 3L .0603 Impairment of Financial Requirements		None	July 1, 2000
	4 NCAC 3L .0604 Report of Information to Commissioner	Pilot remise	None	August 1, 2000
CAPE FEAR RIVER NAVIGATION AND PILOTAGE COMMISSION	4 NCAC 15 .0119 Number of Pilots	Pilot service	None	August 1, 2000
	4 NCAC 15 .0121 Apprenticeship	To Competition As also also are more appropriate	State	August 1, 2000
INFORMATION TECHNOLOGY SERVICES	4 NCAC 21A .0101 Forms, Terms and Conditions	Information technology procurement	Siate	August 1, 2000

AGENCY	RULE #/TITLE	Subject	Fiscal Impact	Proposed Effective Date
INFORMATION TECHNOLOGY	4 NCAC 21A .0102		State	August 1, 2000
SERVICES	Definitions 4 NCAC 21A .0103 Benchmark		State	August 1, 2000
	4 NCAC 21B .0101 Procedure	Requisitioning	State	August 1, 2000
	4 NCAC 21B .0102 Verbal Requests		State	August 1, 2000
	4 NCAC 21B .0103 Confidentiality		State	August 1, 2000
	4 NCAC 21B .0201 Types of Specifications	Specifications	State	August 1, 2000
	4 NCAC 21B .0202 Need		State	August 1, 2000
	4 NCAC 21B .0203 Development of Specifications	·	State	August 1, 2000
	4 NCAC 21B .0204 Articles for Special Purposes		State	August 1, 2000
	4 NCAC 21B .0205 Submission for Adoption		State	August 1, 2000
	4 NCAC 21B .0206 Copies of Specifications		State	August 1, 2000
	4 NCAC 21B .0207 Confidentiality		State	August 1, 2000
	4 NCAC 21B .0301 Procurement Procedures	Procurement authorization	State	August 1, 2000
	4 NCAC 21B .0302 Methods of Source Selection		State	August 1, 2000
	4 NCAC 21B .0303 Electronic, Facsimile and Telephone Offers		State	August 1, 2000
	4 NCAC 21B .0304 Recall of Offers		State	August 1, 2000
	4 NCAC 21B .0305 Public Opening		State	August 1, 2000

AGENCY	RULE#/TITLE	Subject	Fiscal Impact	Proposed Effective Date
INFORMATION TECHNOLOGY	4 NCAC 21B .0306		State	August 1, 2000
SERVICES	Late Offers, Modifications, or Withdrawals		_	
!	4 NCAC 21B .0307		State	August 1, 2000
	Error/Clarification		Chata	Avgust 1, 2000
	4 NCAC 21B .0308 Extension of Acceptance Time		State	August 1, 2000
	4 NCAC 21B .0309		State	August 1, 2000
	Evaluation		State	August 1, 2000
4 °	4 NCAC 21B .0310		State	August 1, 2000
	Notification of Award			
	4 NCAC 21B .0311		State	August 1, 2000
	Lack of Competition			
	4 NCAC 21B .0312		State	August 1, 2000
1	Solicitation Documents			
	4 NCAC 21B .0313		State	August 1, 2000
;	Division of Requirements		Gr-4-	4
	4 NCAC 21B .0314 Advertisement Requirements		State	August 1, 2000
	4 NCAC 21B .0315		State	August 1, 2000
	Mandatory Conferences/Site Visits		State	Rugust 1, 2000
	4 NCAC 21B .0401	Rejection of offers	State	August 1, 2000
	Basis for Rejection	Acquestion of section		
	4 NCAC 21B .0402		State	August 1, 2000
	Public Record			
	4 NCAC 21B .0403		State	August 1, 2000
	Negotiation			
	4 NCAC 21B .0501	Inspection and testing	State	August 1, 2000
# : : : : : : : : : : : : : : : : : : :	Responsibility			
	4 NCAC 21B .0502		State	August 1, 2000
	Selection		State	August 1, 2000
: '	4 NCAC 21B .0503 Samples		State	August 1, 2000
	4 NCAC 21B .0504		State	August 1, 2000
	Specifications			
1		1		

AGENCY	RULE#/TITLE	Subject Subject	Fiscal Impact	Proposed Effective Date
INFORMATION TECHNOLOGY	4 NCAC 21B .0505		State	August 1, 2000
SERVICES	Report of Discrepancy		C44-	Assessed 1, 2000
	4 NCAC 21B .0601 Enforcement	Guarantees and warranties	State	August 1, 2000
·	4 NCAC 21B .0602		State	August 1, 2000
	Report to Purchasing			
	4 NCAC 21B .0603		State	August 1, 2000
	Responsibility			
	4 NCAC 21B .0701	Contracts	State	August 1, 2000
	Use and Description		Chada	August 1, 2000
	4 NCAC 21B .0702 Determining Factors		State	August 1, 2000
·	4 NCAC 21B .0703		State	August 1, 2000
	Extension of Contract Termination Dates			
	4 NCAC 21B .0801	Partial and multiple awards	State	August 1, 2000
	Use			
	4 NCAC 21B .0901	Waiver of competition	State	August 1, 2000
	Policy		Ct-t-	A 1 2000
·	4 NCAC 21B .0902 Approval and Documentation		State	August 1, 2000
	4 NCAC 21B .1001	Miscellaneous provisions	State	August 1, 2000
	Confidentiality	- Francisco Provincia		
:	4 NCAC 21B .1002		State	August 1, 2000
	Payment Plans			
	4 NCAC 21B 1003		State	August 1, 2000
:	Change in Corporate Structure		g	1 2000
	4 NCAC 21B .1004 Purchasing from or through Employees		State	August 1, 2000
	4 NCAC 21B .1005		State	August 1, 2000
	Antitrust Violations			
	4 NCAC 21B .1006		State	August 1, 2000
:	Cooperative Purchasing			
	4 NCAC 21B .1008		State	August 1, 2000
	Board of Awards			

AGENCY:	RULE#//IIITLE:****	Subject.	Fiscal . Impact	Proposed Effective
INFORMATION TECHNOLOGY	4 NCAC 21B .1009		State	August 1, 2000
SERVICES	Protest Procedures			
	4 NCAC 21B .1010		State	August 1, 2000
	Right to Hearing			
	4 NCAC 21B .1011		State	August 1, 2000
	Request for Hearing		_	
	4 NCAC 21B .1012		State	August 1, 2000
	Definitions 1012		g, ,	4 41 2000
	4 NCAC 21B .1013 General Provisions		State	August 1, 2000
	4 NCAC 21B .1014		State	August 1, 2000
	Order for Prehearing Statements		State	August 1, 2000
	4 NCAC 21B .1015		State	August 1, 2000
	Duties of the Hearing Officer			, , , , , , , , , , , , , , , , , , , ,
	4 NCAC 21B .1016		State	August 1, 2000
	Consent Order; Settlement; Stipulation			
	4 NCAC 21B .1017		State	August 1, 2000
	Settlement Conference			
	4 NCAC 21B .1018	·	State	August 1, 2000
	dPrehearing Conference			
	4 NCAC 21B .1019		State	August 1, 2000
	Consolidation of Cases		G	4 4 2000
	4 NCAC 21B .1020		State	August 1, 2000
	Discovery 4 NCAC 21B .1021		State	August 1, 2000
	4 NCAC 21B .1021 Subpoenas		State	August 1, 2000
	4 NCAC 21B .1022		State	August 1, 2000
	Sanctions			
	4 NCAC 21B .1023		State	August 1, 2000
	Motions			
	4 NCAC 21B .1024	·	State	August 1, 2000
	Intervention			
	4 NCAC 21B .1025		State	August 1, 2000
	Continuances			

AGENCY	RULE#/TITLE	Subject 0	Fiscal Impact	Proposed Effective
		Service Control of the Control of th	· 1985年 - 1985年	Date
INFORMATION TECHNOLOGY	4 NCAC 21B .1026		State	August 1, 2000
SERVICES	Rights and Responsibilities of Parties			
· · · · · · · · · · · · · · · · · · ·	4 NCAC 21B .1027 Witnesses		State	August 1, 2000
			, State	August 1, 2000
	4 NCAC 21B .1028 Evidence		State	August 1, 2000
	4 NCAC 21B .1029		State	August 1, 2000
	Official Record		State	August 1, 2000
	4 NCAC 21B .1030		State	August 1, 2000
	Default Proceedings; Debarment			1148450 1, 4000
	4 NCAC 21B .1031		State	August 1, 2000
	Faithful Performance			3
	4 NCAC 21B .1101	Exemptions	State	August 1, 2000
	Exemptions	•		
	4 NCAC 21B .1102		State	August 1, 2000
	Emergencies			
	4 NCAC 21B .1103		State	August 1, 2000
	Special Delegations			
	4 NCAC 21B .1104		State	August 1, 2000
	General Delegations		, and the second	
	4 NCAC 21B .1105		State	August 1, 2000
	Compliance Reviews			
	4 NCAC 21B .1201	Records	State	August 1, 2000
	Record Maintenance			
	4 NCAC 21B .1202		State	August 1, 2000
	Records		<u></u>	
CULTURAL RESOURCES, DEPA				4 41 2000
N C HISTORICAL COMMISSION	7 NCAC 4S .0103		None	August 1, 2000
	Admission Prices			
DHHS	In Nata In Atta	A . 1:4:	None	August 1 2000
	10 NCAC 1B .0418 Single Audits of Local Governments	Auditing procedures	None	August 1, 2000
	_		None	August 1, 2000
	10 NCAC 1B .0419 Audits of Hospitals		HOTE	raugust 1, 2000
	22mano 0/ 1100pmano	1	. 1	l

AGENCY	RULE #/TITLE	Subject.	Fiscal Impact	Proposed Effective Date
	10 NCAC 1B .0420		None	August 1, 2000
DIVISION OF FACILITY SERVICES	Purchase of Service vs Financial Assistance 10 NCAC 3R .1613 Definitions	General	None	July 1, 2000
:	10 NCAC 3R .1615 Required Performance Standards		None	July 1, 2000
	10 NCAC 3R .1713 Definitions	Procedures	None	July 1, 2000
	10 NCAC 3R .1714 Information Required of Applicant		None	July 1, 2000
	10 NCAC 3R .1715 Required Performance Standards		None	July 1, 2000
	10 NCAC 3R .1912 Definitions	General	None	July 1, 2000
	10 NCAC 3R .1913 Information Required of Applicant		None	July 1, 2000
	10 NCAC 3R .1914 Required Performance Standards		None	July 1, 2000
	10 NCAC 3R .2113 Definitions	General	None	July 1, 2000
	10 NCAC 3R .2713 Definitions	General	None	July 1, 2000
	10 NCAC 3R .2715 Required Performance Standards		None	July 1, 2000
	10 NCAC 3R .4203 Required Performance Standards	Performance standards	None	July 1, 2000
	10 NCAC 3R .6201 Applicability of Rules Related to 1999 State Plan	Certificate of need regulations	None	August 1, 2000
	10 NCAC 3R .6202 Certificate of Need Review Categories		None	August 1, 2000
	10 NCAC 3R .6203 Certificate of Need Review Schedule		None	August 1, 2000
	10 NCAC 3R .6204 Multi-County Groupings		None	August 1, 2000

AGENCY	RULE #/TITLE	Subject	Fiscal Impact	Proposed Effective Date
DIVISION OF FACILITY SERVICES	10 NCAC 3R .6205 Service Areas and Planning Areas		None	August 1, 2000
	10 NCAC 3R .6206 Reallocations and Adjustments		None	August 1, 2000
	10 NCAC 3R .6207 Acute Care Bed Need Determination		None	August 1, 2000
	10 NCAC 3R .6208 Rehabilitation Bed Need Determination		None	August 1, 2000
	10 NCAC 3R .6209 Ambulatory Surgical Facilities Need Determination		None	August 1, 2000
	10 NCAC 3R .6210 Open-Heart Surgery Services		None	August 1, 2000
:	10 NCAC 3R .6211 Heart-Lung Bypass Machines		None	August 1, 2000
	10 NCAC 3R .6212 Fixed Cardiac Catheterization Equipment		None	August 1, 2000
	10 NCAC 3R .6213 Mobile Cardiac Catheterization Equipment		None	August 1, 2000
	10 NCAC 3R .6214 Burn Intensive Care Services Need Determination		None	August 1, 2000
	10 NCAC 3R .6215 Positron Emission Tomography Scanners Need Deter		None	August 1, 2000
	10 NCAC 3R .6216 Bone Marrow Transplantation Services Need Deter		None	August 1, 2000
	10 NCAC 3R .6217 Solid Organ Transplantation Services Need		None	August 1, 2000
	10 NCAC 3R .6218 Gamma Knife Need Determination		None	August 1, 2000
	10 NCAC 3R .6219 Lithotripter Need Determination		None	August 1, 2000
	10 NCAC 3R .6220 Radiation Oncology Treatment Centers Need		None	August 1, 2000
	10 NCAC 3R .6221 Magnetic Resonance Imaging Scanners Need		None	August 1, 2000

AGENCY	RULE #ITITLE	Subject	Fiscal Limpact	Proposed Effective Date
DIVISION OF FACILITY SERVICES	10 NCAC 3R .6222 Nursing Care Bed Need Determination		None	August 1, 2000
	10 NCAC 3R .6223 Home Health Agency Office Need Determination		None	August 1, 2000
	10 NCAC 3R .6224 Dialysis Station Need Determination		None	August 1, 2000
	10 NCAC 3R .6225 Hospice Need Determination		None	August 1, 2000
	10 NCAC 3R .6226 Hospice Inpatient Facility Bed Need		None	August 1, 2000
	10 NCAC 3R .6227 Psychiatric Bed Need Determination		None	August 1, 2000
:	10 NCAC 3R .6228 Chemical Dependency Treatment Bed Need		None	August 1, 2000
	10 NCAC 3R .6229 Intermediate Care Beds for Mentally Retarded		None	August 1, 2000
	10 NCAC 3R .6230 Policies for General Acute Care Hospitals		None	August 1, 2000
	10 NCAC 3R .6231 Policies for Inpatient Rehabilitation Services		None	August 1, 2000
	10 NCAC 3R .6232 Policy for Ambulatory Surgical Facilities		None	August 1, 2000
	10 NCAC 3R .6233 Policy for Provision of Hospital-Based Care		None	August 1, 2000
	10 NCAC 3R .6234 Policy for Nursing Care Beds		None	August 1, 2000
	10 NCAC 3R 6235 Policy for Determination of Need		None	August 1, 2000
	10 NCAC 3R .6236 Policy for Relocation of Certain Nursing Beds		None	August 1, 2000
	10 NCAC 3R .6237 Policy for Home Health Services		None	August 1, 2000
	10 NCAC 3R .6238 Policy for End-Stage Renal Disease Dialysis		None	August 1, 2000

AGENCY	RULE#/TITLE	Subject 3	Fiscal Impact	Proposed Effective Date
DIVISION OF FACILITY SERVICES	10 NCAC 3R .6239		None	August 1, 2000
:	Policies for Psychiatric Inpatient Facilities		None	August 1, 2000
1	10 NCAC 3R .6240 Policy for Chemical Dependency Treatment		None	August 1, 2000
	10 NCAC 3R .6241		None	August 1, 2000
:	Policies for Intermediate Care Facilities			
11	10 NCAC 3S .0108		None	July 1, 2000
	Definitions			
	10 NCAC 3S .0109		None	July 1, 2000
	Policies and Procedures		Nama	I1 1 2000
	10 NCAC 3S .0207 Certification Required		None	July 1, 2000
	10 NCAC 3S .0208		None	July 1, 2000
	Types of Certification	>		• • •
	10 NCAC 3S .0209		None	July 1, 2000
	Application			
	10 NCAC 3S .0210		None	July 1, 2000
	Inspection		None	July 1, 2000
	10 NCAC 3S .0211 Review Team: Review Process		None	July 1, 2000
	10 NCAC 3S .0213		None	July 1, 2000
	Adverse Action			
	10 NCAC 3S .0214		None	July 1, 2000
	Procedure for Appeal			
	10 NCAC 3S .0307		None	July 1, 2000
	Composition of Staff		None	July 1, 2000
	10 NCAC 3S .0308 Minimal Education Standards		None	July 1, 2000
	10 NCAC 3S .0407		None	July 1, 2000
	Admission Criteria			
	10 NCAC 3S .0408		None	July 1, 2000
	Patient Assessment			
	10 NCAC 3S .0506		None	July 1, 2000
	Team Coordination and Staffings			

AGENCY.	RULE#//ITLE	Subject :	Fiscal Impact	Proposed Effective Date
DIVISION OF FACILITY SERVICES	10 NCAC 3S .0507 Cardiac Therapy		None	July 1, 2000
	10 NCAC 3S .0508 Diet Therapy		None	July 1, 2000
	10 NCAC 3S .0509 Psychological Services		None	July 1, 2000
	10 NCAC 3S .0510 Vocational Rehabilitation Counseling and Services		None	July 1, 2000
	10 NCAC 3S .0511 Review		None	July 1, 2000
	10 NCAC 3S .0614 Progress Evaluation and Follow-Up Procedures		None	July 1, 2000
	10 NCAC 3S .0615 Medical Follow-Up		None	July 1, 2000
	10 NCAC 3S .0616 Cardiac Therapy Follow-Up		None	July 1, 2000
	10 NCAC 3S .0617 Dietary Follow-Up		None	July 1, 2000
	10 NCAC 3S .0618 Psychological Follow-Up		None	July 1, 2000
	10 NCAC 3S .0619 Vocational Rehabilitation Follow-Up		None	July 1, 2000
	10 NCAC 3S .0706 Criteria for Discharge		None	July 1, 2000
; ;	10 NCAC 3S .0707 Discharge Plan		None	July 1, 2000
	10 NCAC 3S .0806 Policies and Procedures		None	July 1, 2000
	10 NCAC 3S .0807 Record Review Consent		None	July 1, 2000
	10 NCAC 3S .0808 Content of Medical Record		None	July 1, 2000
	10 NCAC 3S .0901 Emergency Plan		None	July 1, 2000

AGENCY	RULE#/TITLE	Subject	Fiscal Impact	Proposed Effective Date
DIVISION OF FACILITY SERVICES	10 NCAC 3S .0902 Emergency Equipment		None	July 1, 2000
	10 NCAC 3S .0903 Personnel		None	July 1, 2000
	10 NCAC 3S .0904 Emergency Drills		None	July 1, 2000
	10 NCAC 3S .1001 Program Requirements		None	July 1, 2000
	10 NCAC 3S .1002 Graded Exercise Testing Laboratory Evaluation		None	July 1, 2000
	10 NCAC 3S .1003 Cardiac Therapy		None	July 1, 2000
	10 NCAC 3S .1004 Dietary Program		None	July 1, 2000
	10 NCAC 3S .1005 Psychological Program		None	July 1, 2000
	10 NCAC 3S .1006 Vocational Rehabilitation Program		None	July 1, 2000
	10 NCAC 3S .1101 Definitions	Certification	None	July 1, 2000
	10 NCAC 3S .1201 Certification Required	Certification	None	July 1, 2000
;	10 NCAC 3S .1202 Certification Process		None	July 1, 2000
	10 NCAC 3S .1203 Certificate Renewal		None	July 1, 2000
	10 NCAC 3S .1204 Certification Following Program Changes		None	July 1, 2000
:	10 NCAC 3S .1205 Inspections		None	July 1, 2000
	10 NCAC 3S .1206 Adverse Action		None	July 1, 2000
	10 NCAC 3S .1301 Staff Requirements and Responsibilities	Administration	None	July 1, 2000

AGENCY	RULE#MILLER	Subject.	Fiscal Impact	Proposed Effective Date
DIVISION OF FACILITY SERVICES	10 NCAC 3S .1302 Policies and Procedures		None	July 1, 2000
	10 NCAC 3S .1303 Continuous Quality Improvement		None	July 1, 2000
; · · · ; ; ; ; ; ; ; ; ; ; ; ; ; ; ; ;	10 NCAC 3S .1401 Patient Rights	Patient rights	None	July 1, 2000
	10 NCAC 3S .1501 Admission and Discharge	Admission and discharge	None	July 1, 2000
	10 NCAC 3S .1601 Patient Assessment	Patient assessment	None	July 1, 2000
	10 NCAC 3S .1701 Care Planning	Care planning and follow-up	None	July 1, 2000
	10 NCAC 3S .1702 Follow-up Evaluation		None	July 1, 2000
	10 NCAC 3S .1801 Personnel	Provision of services	None	July 1, 2000
	10 NCAC 3S .1802 Exercise Therapy		None	July 1, 2000
	10 NCAC 3S .1803 Nutrition Services		None	July 1, 2000
	10 NCAC 3S .1804 Mental Health Services		None	July 1, 2000
	10 NCAC 3S .1805 Vocational Rehabilitation Counseling and Services		None	July 1, 2000
	10 NCAC 3S .1806 Patient Education		None	July 1, 2000
	10 NCAC 3S .1901 Emergency Plan	Emergencies	None	July 1, 2000
	10 NCAC 3S .1902 Emergency Equipment		None	July 1, 2000
	10 NCAC 3S .1903 Emergency Drills		None	July 1, 2000
	10 NCAC 3S .2001 Medical Records - Policies and Procedures	Medical records	None	July 1, 2000

AGENCY	RULE#/TITLE	Subject	Fiscal Impact	Proposed Effective Date
DIVISION OF FACILITY SERVICES	10 NCAC 3S .2002		None	July 1, 2000
	Content of Medical Records 10 NCAC 3S .2101	Facilities and equipment	None	July 1, 2000
	Physical Environment and Equipment	racinites and equipment	Tronc	July 1, 2000
	10 NCAC 3S .2102 Graded Exercise Testing Laboratory		None	July 1, 2000
	10 NCAC 3S .2103 Exercise Therapy		None	July 1, 2000
	10 NCAC 3S .2104 Nutrition Services		None	July 1, 2000
	10 NCAC 3S .2105 Mental Health Services		None	July 1, 2000
	10 NCAC 3S .2106 Vocational Rehabilitation Services		None	July 1, 2000
,	10 NCAC 3U .0102 Definitions	General	None	July 1, 2000
	10 NCAC 3U .2501 Scope	Care for school-aged children	None	July 1, 2000
	10 NCAC 3U .2502 Special Provisions for Licensure		None	July 1, 2000
	10 NCAC 3U .2510 Staff Qualifications		Local	July 1, 2000
	10 NCAC 3U .2804 Education Standards for a Rated License	Licenses	None	July 1, 2000
:	10 NCAC 3U .2811 Education Standards for Centers		State	July 1, 2000
	10 NCAC 14V .4301 Scope	Therapeutic community	None	April 1, 1999
	10 NCAC 14V .4302		None	April 1, 1999
	Definitions 10 NCAC 14V .4303 Staff		None	April 1, 1999
	10 NCAC 14V .4304 Operations		None	April 1, 1999

TAGENCY.	RULE##TITLE	Subjects 2	Fiscal Impact	Proposed Effective Date
	10 NCAC 14V .4305		None	April 1, 1999
	Client Rights 10 NCAC 14V .4306 Physical Plant		None	April 1, 1999
COMMISSION FOR THE BLIND	10 NCAC 19G .0823 Secretary's Review & Final Decision	Judicial review	None	August 1, 2000
· · · · · · · · · · · · · · · · · · ·	10 NCAC 19G .0827 Civil Action		None	August 1, 2000
DIVISION OF VOCATIONAL REHABILITATION SERVICES	10 NCAC 20B .0201 Applicability of Rules	General	None	July 1, 2000
	10 NCAC 20B .0202 Written Information for Applicants and Clients		None	July 1, 2000
	10 NCAC 20B .0203 Request for Administrative Review		None	July 1, 2000
	10 NCAC 20B .0204 Division Actions in Response to Request		None	July 1, 2000
	10 NCAC 20B .0205 Scheduling and Notice of Administrative Review		None	July 1, 2000
	10 NCAC 20B .0206 Appointment of Hearing Officer and Mediator		None	July 1, 2000
	10 NCAC 20B .0207 Scheduling and Notice of Mediation		None	July 1, 2000
	10 NCAC 20B .0208 Administrative Review		None	July 1, 2000
	10 NCAC 20B .0209 Response to Administrative Review Decision		None	July 1, 2000
	10 NCAC 20B .0210 Mediation		None	July 1, 2000
	10 NCAC 20B .0211 Procedures Governing Hearing		None	July 1, 2000
:	10 NCAC 20B .0221 Conduct of Hearing		None	July 1, 2000
	10 NCAC 20B .0223 Hearing Officer's Decision		None	July 1, 2000

AGENCY	RULE#/TITLE	Subject .	Fiscal Impact	Proposed Effective Date
DIVISION OF VOCATIONAL REHABILITATION SERVICES	10 NCAC 20B .0224 Secretary's Review and Final Decision	Review	None	July 1, 2000
REHABILITATION SERVICES	10 NCAC 20B .0225 Extensions of Time		None	July 1, 2000
	10 NCAC 20B .0228 Civil Action		None	July 1, 2000
DIVISION OF MEDICAL ASSISTANCE	10 NCAC 26H .0304 Rate Setting Method for Non-State Facilities	Non-State facilities	State, local	April 1, 1999
-	10 NCAC 26H .0401 Physician's Fee Schedule	Provider fee schedules	None	August 1, 2000
	10 NCAC 29C .0103 Benefit Levels	Payments	None	August 1, 2000
SOCIAL SERVICES COMMISSION	10 NCAC 41E .0401 Consultative Services	Administration	None	July 1, 2000
	10 NCAC 41E .0403 Definitions		None	July 1, 2000 July 1, 2000
	10 NCAC 41E .0404 Licensing Process		None None	July 1, 2000 July 1, 2000
	10 NCAC 41E .0405 Kinds of Licenses 10 NCAC 41E .0406		None	July 1, 2000
:	Licensing Actions 10 NCAC 41E .0501	Minimum standards	None	July 1, 2000
	Incorporation 10 NCAC 41E .0502		None	July 1, 2000
	Governing Body 10 NCAC 41E .0503		None	July 1, 2000
	Finances 10 NCAC 41E .0504		None	July 1, 2000
	Staff 10 NCAC 41E .0505		None	July 1, 2000
	Personnel Policies 10 NCAC 41E .0506 Social Services: Admissions: Policies		None	July 1, 2000

AGENCY 1	RULE#/TITLE 1	Subject	Fiscal Impact	Proposed Seffective Date
SOCIAL SERVICES COMMISSION	10 NCAC 41E .0507		None	July 1, 2000
	Social Services: Discharge Policies 10 NCAC 41E .0508 Social Services: Services to Child and Family		None	July 1, 2000
	10 NCAC 41E .0509 Social Services: Visiting Policies		None	July 1, 2000
	10 NCAC 41E .0510 Social Services: Follow Up Services		None	July 1, 2000
	10 NCAC 41E .0511 Social Services: Records and Reports		None	July 1, 2000
	10 NCAC 41E .0512 Child Care and Development: Program		None	July 1, 2000
	10 NCAC 41E .0513 Education		None	July 1, 2000
	10 NCAC 41E .0514 Child Care and Development: Health		None	July 1, 2000
	10 NCAC 41E .0515 Child Care and Development: Recreation		None	July 1, 2000
1	10 NCAC 41E .0516 Discipline		None	July 1, 2000
	10 NCAC 41E .0517 Work		None	July 1, 2000
	10 NCAC 41E .0518 Exploitation		None	July 1, 2000
	10 NCAC 41E .0601 Purpose	Minimum standards	None	July 1, 2000
	10 NCAC 41E .0602 Finances		None	July 1, 2000
	10 NCAC 41E .0603 Staff		None	July 1, 2000
	10 NCAC 41E .0604 Personnel Policies		None	July 1, 2000
	10 NCAC 41E .0605 Social Services		None	July 1, 2000

AGENCY	RULE#/ITILE**	- Sibject	Fiscal Impact	Proposed Effective Date
SOCIAL SERVICES COMMISSION	10 NCAC 41E .0606 Child Care and Development		None	July 1, 2000
	10 NCAC 41E .0701 Construction: General Requirements	Minimum standards for group homes	None	July 1, 2000
	10 NCAC 41E .0702 Construction: Functional Requirements		None	July 1, 2000
	10 NCAC 41E .0703 Construction: Fire Safety Regulations		None	July 1, 2000
	10 NCAC 41E .0704 Construction: Health Regulations		None	July 1, 2000
	10 NCAC 41G .0501 Definitions	Private institution organization	None	July 1, 2000
	10 NCAC 41G .0502 Purpose		None	July 1, 2000
	10 NCAC 41G .0504 Location		None	July 1, 2000
	10 NCAC 41G .0505 Governing Body		None	July 1, 2000
	10 NCAC 41G .0506 Finances		None	July 1, 2000
	10 NCAC 41G .0507 Staff: General		None	July 1, 2000
	10 NCAC 41G .0508 The Director		None	July 1, 2000
	10 NCAC 41G .0509 Supervisory Staff/Professional Services		None	July 1, 2000
	10 NCAC 41G .0510 Clerical Staff		None	July 1, 2000
	10 NCAC 41G .0511 Child Care Staff .		None	July 1, 2000
	10 NCAC 41G .0512 Maintenance Staff		None	July 1, 2000
	10 NCAC 41G 0513 Personnel Policies		None	July 1, 2000

AGENCY	RULE#/TITLE**	Subject	Fiscal Impact	Proposed Effective Date
SOCIAL SERVICES COMMISSION	10 NCAC 41G .0601	Private institution social services	None	July 1, 2000
	Staff		N	1.1.1.2000
	10 NCAC 41G .0602 Admission Services		None	July 1, 2000
	10 NCAC 41G .0603		None	July 1, 2000
	Residential Services			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
	10 NCAC 41G .0604		None	July 1, 2000
	Discharge Services			,
	10 NCAC 41G .0605 Records		None	July 1, 2000
	10 NCAC 41G .0606		None	July 1, 2000
	Reports		None	July 1, 2000
	10 NCAC 41G .0701		None	July 1, 2000
i :	Social Aspects of Care			
	10 NCAC 41G .0702		None	July 1, 2000
	Recreation		None	July 1, 2000
	10 NCAC 41G .0703 Education		None	July 1, 2000
	10 NCAC 41G .0704		None	July 1, 2000
	Religious Training			
	10 NCAC 41G .0705		None	July 1, 2000
	Medical Program			T 1 1 2000
	10 NCAC 41G .0706 Dental Program		None	July 1, 2000
	10 NCAC 41G .0707		None	July 1, 2000
	Routine Health Care and Personal Hygiene			
	10 NCAC 41G .0708		None	July 1, 2000
	Nutrition			
	10 NCAC 41G .0801 Construction		None	July 1, 2000
	10 NCAC 41G .0802		None	July 1, 2000
	Requirements for Approval		1,5110	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
	10 NCAC 41G .0803		None	July 1, 2000
	General Requirements			

AGENCY	RULE#/TITLE	Subject	Fiscal Impact	Proposed Effective Date
SOCIAL SERVICES COMMISSION	10 NCAC 41G .0804		None	July 1, 2000
	Fire Safety 10 NCAC 41G .0805 General Sanitation		None	July 1, 2000
	10 NCAC 41G .0806 Bath and Toilet Facilities		None	July 1, 2000
	10 NCAC 41G .0807 Sleeping Facilities		None	July 1, 2000
	10 NCAC 41G .0808 Heat, Light, and Ventilation		None	July 1, 2000
	10 NCAC 41G .0809 Inspections		None	July 1, 2000
	: 10 NCAC 41G .0902 License	Licenses	None	July 1, 2000
	10 NCAC 41G .1001 Definitions	Public institution organization	None	July 1, 2000
	10 NCAC 41G 1002 Purpose		None	July 1, 2000
	10 NCAC 41G .1004 Location		None	July 1, 2000
	10 NCAC 41G .1005 Governing Body		None	July 1, 2000
1	10 NCAC 41G .1006 Finances		None	July 1, 2000
	10 NCAC 41G .1007 Staff: General		None	July 1, 2000
	10 NCAC 41G .1008 The Director		None	July 1, 2000
	10 NCAC 41G .1009 Supervisory Staff/Professional Services		None	July 1, 2000
	10 NCAC 41G .1010 Clerical Staff		None	July 1, 2000
	10 NCAC 41G .1011 Child Care Staff		None	July 1, 2000

AGENCY	RULE#ITITLE	Subject	Fiscal Impact	Proposed Effective Date
SOCIAL SERVICES COMMISSION	10 NCAC 41G .1012		None	July 1, 2000
. :	Maintenance Staff			
	10 NCAC 41G .1013 Personnel Policies		None	July 1, 2000
	10 NCAC 41G .1101	Public institution social services	None	July 1, 2000
	Staff	1 asia monatan sesar se		
	10 NCAC 41G .1102 Admission Services		None	July 1, 2000
	10 NCAC 41G .1103 Residential Services		None	July 1, 2000
	10 NCAC 41G .1104 Discharge Services		None	July 1, 2000
	10 NCAC 41G .1105 Records		None	July 1, 2000
	10 NCAC 41G .1106		None	July 1, 2000
	Reports 10 NCAC 41G .1201	Public institution child care	None	July 1, 2000
	Social Aspects of Care 10 NCAC 41G .1202		None	July 1, 2000
	Recreation 10 NCAC 41G .1203		None	July 1, 2000
	Education 10 NCAC 41G .1204		None	July 1, 2000
	Religious Training 10 NCAC 41G .1205		None	July 1, 2000
	Medical Program 10 NCAC 41G .1206		None	July 1, 2000
	Dental Program 10 NCAC 41G .1207		None	July 1, 2000
	Routine Health Care and Personal Hygiene 10 NCAC 41G .1208 Nutrition		None	July 1, 2000
	10 NCAC 41G .1301 Construction	Public institution buildings	None	July 1, 2000

AGENCY	RULE#/TILE * * *	Subject	Fiscal Impact	Proposed Effective
			None	Date July 1, 2000
SOCIAL SERVICES COMMISSION	10 NCAC 41G .1302 Requirements for Approval		None	July 1, 2000
:	10 NCAC 41G .1303		None	July 1, 2000
	General Requirements			,
	10 NCAC 41G .1304		None	July 1, 2000
	Fire Safety			
	10 NCAC 41G .1305		None	July 1, 2000
	Health Aspects		1	
	10 NCAC 41G .1306		None	July 1, 2000
	Bath and Toilet Facilities			- 1 4 4000
:	10 NCAC 41G .1307		None	July 1, 2000
	Sleeping Facilities		None	July 1, 2000
:	10 NCAC 41G .1308 Heat, Light, and Ventilation		None	July 1, 2000
:	10 NCAC 41G .1309		None	July 1, 2000
	Inspections			• ,
	10 NCAC 41G .1402	Licenses	None	July 1, 2000
	License			
	10 NCAC 41H .0304	Applicants	None	July 17, 2000
	Services to Adoptive Applicants		1	
	10 NCAC 41P .0106	Adoptive homes	None	July 17, 2000
	Adoptive Home Recruitment			I-1 1 2000
	10 NCAC 41R .0101	Licensing standards	None	July 1, 2000
	Applicability		None	July 1, 2000
·	10 NCAC 41R .0102 Administration and Organization		None	July 1, 2000
	10 NCAC 41R .0103		None	July 1, 2000
	Program Requirements and Services			
	10 NCAC 41R .0104		None	July 1, 2000
	Facilities			
	10 NCAC 41R .0105		None	July 1, 2000
	Transportation			
1	10 NCAC 41R .0106		None	July 1, 2000
	Daily Life			

AGENCY	*** RULE #/TITLE	Subject	Fiscal Impact	Proposed Effective Date
SOCIAL SERVICES COMMISSION	10 NCAC 41R .0107		None	July 1, 2000
	Education 10 NCAC 41S .0101	General licensing requirements	None	Tule 1 2000
	Licensing Actions	General incensing requirements	None	July 1, 2000
	10 NCAC 41S .0102		None	July 1, 2000
	Licensing Process			• /
	10 NCAC 41S .0201 Definitions	General provisions	None	July 1, 2000
	10 NCAC 41S .0202		None	July 1, 2000
	Responsibility to Division of Social Services 10 NCAC 41S .0203		None	July 1, 2000
	Substantiations of Neglect Against Facility		Tione	July 1, 2000
	10 NCAC 41S .0204 Licensure Procedures		None	July 1, 2000
	10 NCAC 41S .0301 Governance	Child care facility	None	July 1, 2000
	10 NCAC 41S .0302 Responsibilities of the Governing Body		None	July 1, 2000
	10 NCAC 41S .0303 Finances		None	July 1, 2000
	10 NCAC 41S .0304 Internal Operating Procedures		None	July 1, 2000
	10 NCAC 41S .0305 Recordkeeping and Reporting		None	July 1, 2000
	10 NCAC 41S .0306 Client Rights		None	July 1, 2000
	10 NCAC 41S .0307 Grievance Procedures		None	July 1, 2000
	10 NCAC 41S .0401 Personnel Policies	Personnel	None	July 1, 2000
	10 NCAC 41S .0402 Personnel Deployment	·	None	July 1, 2000
	10 NCAC 41S .0403 Personnel File		None	July 1, 2000

AGENCY	RULE#/TITLE	Subject Subject	Fiscal Impact	Proposed Effective Date
SOCIAL SERVICES COMMISSION	10 NCAC 41S .0404 Personnel Qualifications		None	July 1, 2000
	Personnel Qualifications 10 NCAC 41S .0405 Personnel Positions		None	July 1, 2000
	10 NCAC 41S .0406 Auxiliary Services Personnel		None	July 1, 2000
	10 NCAC 41S .0407 Volunteers		None	July 1, 2000
	10 NCAC 41S .0501 Admission Policies	Admission	None	July 1, 2000
	10 NCAC 41S .0502 Admission Procedures		None	July 1, 2000
	10 NCAC 41S .0503 Admission Agreement		None	July 1, 2000
	10 NCAC 41S .0504 Orientation		None	July 1, 2000
	10 NCAC 41S .0505 Discharge Policies and Procedures		None	July 1, 2000
	10 NCAC 41S .0506 Client Records		None	July 1, 2000
	10 NCAC 41S .0601 Program Policies and Practices	Policies and procedures	None	July 1, 2000
	10 NCAC 41S .0602 Family Involvement		None	July 1, 2000
	10 NCAC 41S .0603 Visiting Resources		None	July 1, 2000
; ; ;	10 NCAC 41S .0604 Health Services		None	July 1, 2000
	10 NCAC 41S .0605 Routine Aspects of Health		None	July 1, 2000
	10 NCAC 41S .0606 Nutrition		None	July 1, 2000
	10 NCAC 41S .0607 Health Education		None	July 1, 2000

AGENCY	RULE#/rilitLE	Subject	Fiscal Impact	Proposed Effective Date
SOCIAL SERVICES COMMISSION	10 NCAC 41S .0608 Educational and Vocational Services		None	July 1, 2000
	10 NCAC 41S .0609 Recreation and Leisure Activities		None	July 1, 2000
:	10 NCAC 41S .0610 Religion and Spiritual		None	July 1, 2000
	10 NCAC 41S .0611 Personal Possessions and Money		None	July 1, 2000
	10 NCAC 41S .0612 Work		None	July 1, 2000
	10 NCAC 41S .0613 Discipline and Behavior Management		None	July 1, 2000
:	10 NCAC 41S .0614 Incident Reports		None	July 1, 2000
	10 NCAC 41S .0615 Searches		None	July 1, 2000
	10 NCAC 41S .0701 Requirements for Approval	Buildings	None	July 1, 2000
	10 NCAC 41S .0702 Construction and Renovation		None	July 1, 2000
	10 NCAC 41S .0703 Applicable Building Codes		None	July 1, 2000
	10 NCAC 41S .0704 Fire and Building Safety		None	July 1, 2000
	10 NCAC 41S .0705 General Sanitation		None	July 1, 2000
	10 -NCAC 41S .0706 Bathing and Toilet Areas		None	July 1, 2000
	10 NCAC 41S 0707 Sleeping Areas		None	July 1, 2000
	10 NCAC 41S .0708 Living/Activity Areas		None	July 1, 2000
	10 NCAC 41S .0709 Dining Areas		None	July 1, 2000

AGENCY	RULE#ITITLE	Subject Subject	Fiscal Impact	Proposed Effective Date
SOCIAL SERVICES COMMISSION	10 NCAC 41S .0710 Heat, Light and Ventilation		None	July 1, 2000
	10 NCAC 41S .0711 Exterior Space		None	July 1, 2000
	10 NCAC 41S .0712 Inspections		None	July 1, 2000
	10 NCAC 41S .0713 Vehicles Used for Transportation of Children		None	July 1, 2000
	10 NCAC 41T .0101 Applicability	Children's foster care camps	None	July 1, 2000
	10 NCAC 41T .0102 Admission Criteria		None	July 1, 2000
	10 NCAC 41T .0103 Personnel		None	July 1, 2000
	10 NCAC 41T .0104 Service Planning		None	July 1, 2000
	10 NCAC 41T .0105 Service Delivery		None	July 1, 2000
	10 NCAC 41T .0106 Buildings, Grounds & Equipment		None	July 1, 2000
	10 NCAC 41T .0201 Applicability	Emergency shelter care program	None	July 1, 2000
:	10 NCAC 41T .0202 Admission Procedures		None	July 1, 2000
	10 NCAC 41T .0203 Admission Criteria		None	July 1, 2000
	10 NCAC 41T 0204 Recordkeeping		None	July 1, 2000
:	10 NCAC 41T .0205 Service Planning		None	July 1, 2000
	10 NCAC 41T .0206 Discharge Services		None	July 1, 2000
	10 NCAC 42B .1201 Personnel Requirements	Personnel	None	July 1, 2000

AGENCY	RULE#ITITLE ** ** ** ** **	Subject	Fiscal Impact	Proposed Effective Date
	10 NCAC 42B .1213 Qualifications of Medication Aides		State	July 1, 2000
	10 NCAC 42B .1214 Medication Administration Competency Evaluation		None	July 1, 2000
	10 NCAC 42B .1407 Storage Areas	Storage	None	July 1, 2000
	10 NCAC 42B .1707 Procedures for Discharge	Discharge	None	July 1, 2000
	10 NCAC 42B .1803 Medications	Medication	None	July 1, 2000
	10 NCAC 42B .2406 Health Care Personnel Registry	Health care	None	July 1, 2000
	10 NCAC 42B .2501 Definitions	General	None	July 1, 2000
	10 NCAC 42B .2502 Persons Not Eligible for New Adult Care Home		None	July 1, 2000
	10 NCAC 42B .2503 Adult Care Homes not Eligible		None	July 1, 2000
i i ·	10 NCAC 42C .2005 Other Personnel Requirements	Personnel	None	July 1, 2000
	10 NCAC 42C .2011 Staff Training and Competency		None	July 1, 2000
:	10 NCAC 42C .2012 Training Program and Competency Evaluation		None	July 1, 2000
	10 NCAC 42C .2013 Qualifications of Medication Aides		State	July 1, 2000
	10 NCAC 42C .2014 Medication Administration Competency Evaluation		None	July 1, 2000
	10 NCAC 42C .2015 Health Care Personnel Registry		None	July 1, 2000
	10 NCAC 42C .2207 Storage Areas	Storage	None	July 1, 2000
	10 NCAC 42C .2214 Building Service Equipment		None	July 1, 2000

AGENCY	RULE#TITLE	Subject	Fiscal Impact	Proposed Effective Date
	10 NCAC 42C .2302 Health Care	Medical care	None	July 1, 2000
	10 NCAC 42C .2501 Other Living Arrangements	Residents	None	July 1, 2000
	10 NCAC 42C .2505 Requirements for Discharge or Transfer		None	July 1, 2000
	10 NCAC 42C .2703 Management of Drugs	Drugs	None	July 1, 2000
	10 NCAC 42C .3401 The License	Licenses	State	July 1, 2000
 	10 NCAC 42C .3402 Renewal of License		None	July 1, 2000
	10 NCAC 42C .3701 Resident Assessment	Facilities	None	July 1, 2000
	10 NCAC 42C .3703 Licensed Health Professional Support		None	July 1, 2000
	10 NCAC 42C .3801 Medication Administration Policies and Procedures	Medication	None	July 1, 2000
	10 NCAC 42C .3802 Medication Orders		None	July 1, 2000
	10 NCAC 42C .3803 Medication Labels		None	July 1, 2000
	10 NCAC 42C .3804 Medication Administration		None	July 1, 2000
	10 NCAC 42C .3805 Self-Administration of Medications		None	July 1, 2000
:	10 NCAC 42C .3806 Medication Storage		None	July 1, 2000
	10 NCAC 42C .3807 Medication Disposition		None	July 1, 2000
	10 NCAC 42C .3808 Controlled Substances		None	July 1, 2000
:	10 NCAC 42C .3809 Pharmaceutical Care		None	July 1, 2000

AGENCY	RULE#MITLE	Subject	Fiscal Impact	Proposed Effective Date
	10 NCAC 42C .3810		None	July 1, 2000
	Pharmaceutical Services			I 1 1 2000
	10 NCAC 42C .3901 Definitions	General	None	July 1, 2000
	10 NCAC 42C .3902 Persons Not Eligible for New Adult Care Home	·	None	July 1, 2000
	10 NCAC 42C .3903 Adult Care Homes		None	July 1, 2000
	10 NCAC 42D .1301 Management of Facilities	Facilities management	None	July 1, 2000
	10 NCAC 42D .1302 The Co-Administrator		None	July 1, 2000
	10 NCAC 42D .1303 Management and Personal Care Aide Supervision		None	July 1, 2000
	10 NCAC 42D .1304 Management of Facilities		State	July 1, 2000
:	10 NCAC 42D .1401 Qualifications of Administrator	Qualifications	None	July 1, 2000
;	10 NCAC 42D .1402 Qualifications of Supervisor-in-Charge		None	July 1, 2000
	10 NCAC 42D .1407 Staffing		State, substantial	July 1, 2000
	10 NCAC 42D .1410 Staff Competency and Training		None	July 1, 2000
	10 NCAC 42D .1411 Training Program and Competency Evaluation		None	July 1, 2000
	10 NCAC 42D .1412 Certification of administrator		None	July 1, 2000
	10 NCAC 42D .1413 Responsibilities and Qualifications	-	State, substantial	July 1, 2000
	10 NCAC 42D .1414 Qualifications of Medication Staff		State	July 1, 2000
	10 NCAC 42D .1415 Medication Administration Competency Evaluation		None	July 1, 2000

AGENCY	RULE#/TITLE	Subject	Fiscal Impact	Proposed Effective Date
	10 NCAC 42D .1416 Staffing Chart		None	July 1, 2000
	10 NCAC 42D .1503 Physical Environment	Living arrangements	None	July 1, 2000
	10 NCAC 42D .1605 Other Requirements	Building	None	July 1, 2000
	10 NCAC 42D .1804 Management of Drugs	Medications	None	July 1, 2000
	10 NCAC 42D .1821 Renewal of License		None	July 1, 2000
	10 NCAC 42D .1831 Health Care Personnel Registry		None	July 1, 2000
	10 NCAC 42D .1901 Definitions Applicable to Special Care Units	Special care	None	July 1, 2000
	10 NCAC 42D .1902 Special Care Unit Disclosure		None	July 1, 2000
	10 NCAC 42D .1903 Licensure of Facilities with Special Care Units		None	July 1, 2000
	10 NCAC 42D .1904 Special Care Unit Building Requirements		None	July 1, 2000
	10 NCAC 42D .1905 Special Care Unit Policies and Proce4dures		None	July 1, 2000
	10 NCAC 42D .1906 Admission to the Special Care Unit		None	July 1, 2000
	10 NCAC 42D .1907 Special Care Unit Resident Profile		None	July 1, 2000
	10 NCAC 42D .1908 Special Care Unit Staffing		None	July 1, 2000
·	10 NCAC 42D .1909 Special Care Unit Staff Orientation		None	July 1, 2000
	10 NCAC 42D .1910 Other Applicable Rules for Special Care Units		None	July 1, 2000
	10 NCAC 42D .2001 Definitions Applicable to Special Care Units	General	None	July 1, 2000

AGENCY	RULE#/TITLE	Subject.	Fiscal Impact	Proposed Effective Date
	10 NCAC 42D .2002 Special Care Unit Disclosure		None	July 1, 2000
	10 NCAC 42D 2003 Licensure of Facilities with Special Care Units		None	July 1, 2000
	10 NCAC 42D .2004 Special Care Unit Building Requirements		None	July 1, 2000
	10 NCAC 42D .2005 Special Care Unit Policies and Procedures		None	July 1, 2000
	10 NCAC 42D .2006 Admission to the Special Care Unit		None	July 1, 2000
:	10 NCAC 42D .2007 Special Care Unit Resident Profile		None	July 1, 2000
	10 NCAC 42D .2008 Special Care Unit Staffing		None	July 1, 2000
	10 NCAC 42D .2009 Special Care Unit Staff Orientation		None	July 1, 2000
	10 NCAC 42D .2010 Residents' Rights		None	July 1, 2000
	10 NCAC 42D .2011 Other Applicable Rules for Special Care Units		None	July 1, 2000
	10 NCAC 42D .2201 Definitions	Adult care homes	None	July 1, 2000
	10 NCAC 42D .2202 Persons Not Eligible for New Adult Care Home Licen		None	July 1, 2000
	10 NCAC 42D .2203 Adult Care Homes		None	July 1, 2000
	10 NCAC 42E .0801 Definitions	Definitions	None	July 17, 2000
	10 NCAC 42E .1501 Disclosure	Special care	None	July 17, 2000
	10 NCAC 42E .1502 Policies and Procedures		None	July 17, 2000
	10 NCAC 42E .1503 The Facility0-Special Care Services		None	July 17, 2000

AGENCY AGENCY	RULE#/TITLE	Subject	Fiscal Impact	Proposed Effective Date
	10 NCAC 42E .1504		None	July 17, 2000
	Enrollment-Special Care Services 10 NCAC 42E .1505 Individual Service Plans		None	July 17, 2000
	10 NCAC 42E .1506 Program Plan-Special Care Services		None	July 17, 2000
-	10 NCAC 42E .1507 Staff Orientation and Training		None	July 17, 2000
	10 NCAC 42E .1508 Requirements for Special Care Services Unit		None	July 17, 2000
	10 NCAC 42V .0108 Definitions		None	July 17, 2000
	10 NCAC 42Z .1001 Disclosure	Special care	None	July 17, 2000
	10 NCAC 42Z 1002 The Facility-Special Care Services	Special care services	None	July 17, 2000
	10 NCAC 42Z .1003 Enrollment-Special Care Services		None	July 17, 2000
	10 NCAC 42Z .1004 Individual Service Plans-Special Care Services		None	July 17, 2000
	10 NCAC 42Z .1005 Program Plan-Special Care Services		None	July 17, 2000
	10 NCAC 42Z .1006 Staff Orientation and Training		None	July 17, 2000
	10 NCAC 42Z .1007 Requirements for Special Care Services Unit		None	July 17, 2000
SOCIAL SERVICES COMMISSION	10 NCAC 43L .0401 Fiscal Management	Fiscal requirements	None	July 17, 2000
COMMISSION FOR MH/DD/SAS	10 NCAC 45H .0205 Schedule IV	Drugs	None	August 1, 2000
SOCIAL SERVICES COMMISSION	10 NCAC 47B .0103 Eligibility Determination Process	Eligibility determination	None	July 17, 2000
	10 NCAC 47B .0204 Re-evaluation	Adult care homes	None	July 17, 2000

AGENCY	RULE#ATALES -	Subject	Fiscal Impact	Proposed Effective Date
SOCIAL SERVICES COMMISSION	10 NCAC 47B .0407 Evaluation	Special assistance for adults	None	July 17, 2000
DIVISION OF MEDICAL ASSISTANCE	10 NCAC 50B .0102 Optional	Medicaid	State, local	August 1, 2000
	10 NCAC 50B .0302 United States Citizen	Medicaid covered services	None	August 1, 2000
	10 NCAC 50B .0313 Income	Financial eligibility	State, local	August 1, 2000
	10 NCAC 50B .0313 Income	Family and children's cases	None	August 1, 2000
DEPARTMENT OF INSURANCE				
	11 NCAC 6B .0201 Contents of Full Application	Applications	None	July 1, 2000
	11 NCAC 6B .0202 Modification of Application		None	July 1, 2000
	11 NCAC 6B .0203 Duration of Initial Certificate		None	July 1, 2000
	11 NCAC 6B .0204 Application for Renewal of Certificate		None	July 1, 2000
!	11 NCAC 6B .0205 Renewal Certificate of Registration		None	July 1, 2000
	11 NCAC 6B .0301 Financial Statements	Financial	None	July 1, 2000
	11 NCAC 6B .0302 Determination of Financial Responsibility		None	July 1, 2000
	11 NCAC 6B .0303 Financial Information Public Records		None	July 1, 2000
	11 NCAC 6B .0304 Surety Bond Issued by Licensed Company		None	July 1, 2000
	11 NCAC 6B .0401 Service Contracts with Insurance Companies	Contracts	None	July 1, 2000
; 	11 NCAC 6B .0402 Adjusting Claims by Administrators		None	July 1, 2000

AGENCY	RULE#ATITUE	Subject	Fiscal Impact	Proposed Effective Date
	11 NCAC 6B .0403		None	July 1, 2000
	Violations: Penalties 11 NCAC 6B .0404 Definitions		None	July 1, 2000
	11 NCAC 6B .0405 Payment of Claims		None	July 1, 2000
HOME INSPECTOR LICENSURE BOARD	11 NCAC 8 .1103 Purpose and Scope	Inspections	None	July 1, 2000
	11 NCAC 8 .1106 Structural Components		None	July 1, 2000
	11 NCAC 8 .1107 Exterior		None	July 1, 2000
	11 NCAC 8 .1116 Code of Ethics		None	July 1, 2000
	11 NCAC 10 .0105 Manuscript or Individual Risk Filings	Filings	None	July 1, 2000
	11 NCAC 10 .1110 Workers' Compensation Loss Costs Questionnaire		None	July 1, 2000
	11 NCAC 11F .0401 Applicability	General	None	July 1, 2000
	11 NCAC 11F .0402 Definitions		None	July 1, 2000
	11 NCAC 11F .0403 Basic and Premium Deficiency Reserves		None	July 1, 2000
	11 NCAC 11F .0404 Calculation of .0401(b)		None	July 1, 2000
	11 NCAC 11F .0405 Calculation of .0401(c)	·	None	July 1, 2000
	11 NCAC 11F 0501 Definitions	Actuaries	None	July 1, 2000
	11 NCAC 11F .0502 Individual Annuity or Pure Endowment Contracts		None	July 1, 2000
	11 NCAC 11F .0503 Group Annuity or Pure Endowment Contracts		None	July 1, 2000

AGENCY .	RÜLE#/TITLE	Z Subject	Fiscal Impact	Proposed Effective Date
	11 NCAC 11F .0504 Application of the 1994 GAR Table		None	July 1, 2000
:	11 NCAC 12 .0327 Y2K Interim Claim Payments	Claims	None	July 1, 2000
	11 NCAC 12 .1701 Definitions	General	None	July 1, 2000
**	11 NCAC 12 .1702 Viatical Settlement Providers		None	July 1, 2000
	11 NCAC 12 .1702 Viatical Settlement Providers	Providers	None	July 1, 2000
!	11 NCAC 12 .1703 Viatical Settlement Brokers and Representatives		None	July 1, 2000
	11 NCAC 12 .1707 Solicitation		None	July 1, 2000
	11 NCAC 12 .1709 Disclosure		None	July 1, 2000
	11 NCAC 13 .0317 Ten-Day Notice	Finance company	None	July 1, 2000
	11 NCAC 13 .0318 Notice of Cancellation		None	July 1, 2000
# !	11 NCAC 13 .0324 Dishonored Checks		None	July 1, 2000
	11 NCAC 13 .0326 Premium Finance Agreement Type Standard		None	July 1, 2000
	11 NCAC 13 .0514 Collateral Security Returned	Liability	None	July 1, 2000
	11 NCAC 13 .0518 Continuing Education		None	July 1, 1999
JUSTICE				
N C PRIVATE PROTECTIVE SERVICES BOARD	12 NCAC 7D .0807 Training Requirements for Armed Security Guards	Firearm registration	None	August 1, 2000
CRIMINAL JUSTICE EDUCATION & TRAINING STANDARDS	12 NCAC 9A .0103 Definitions		None	August 1, 2000

Proposed Effective Date	August 1, 2000	August 1, 2000	August 1, 1999	August 1, 1999	August 1, 1999	August 1, 1999	July 1, 1999	August 1, 1999	August 1, 1999	August 1, 1999	August 1, 1999	August 1, 1999	August 1, 1999	August 1, 1999	August 1, 1999	August 1, 1999	August 1, 1999	
Fiscal	None	None	None	None	None	None	None	None	None	None	None	State, local	None	None	None	None	None	
Subject	General	Requirements						Administrative										
FULE#MITLE	12 NCAC 9B .0106 Documentation of Educational Requirements	12 NCAC 9B .0107 Minimum Standards for Correctional Officers	12 NCAC 9B .0109 Minimum Standards for Probation/Parole Officers	12 NCAC 9B .0110 Minimum Standards for Probation/Parole Intake Off	12 NCAC 9B .0112 Minimum Standards for Parole Case Analysts	12 NCAC 9B .0113 Minimum Standards Probation/Parole Officers	12 NCAC 9B .0115 Minimum Standards Probation/parole Intensive Off	12 NCAC 9B .0201 Administration of Criminal Justice Schools	12 NCAC 9B0202 Responsibilities of the School Director	12 NCAC 9B .0203 Admission of Trainees	12 NCAC 9B .0204 Training Course Enrollment	12 NCAC 9B .0205 Basic Training-Law Enforcement Officers	12 NCAC 9B .0206 Basic Training-Correctional Officers	12 NCAC 9B .0207 Basic Training-State Youth Services Officers	12 NCAC 9B .0208 Rasic Training-Probation/Parole Officers	12 NCAC 9B .0226	Specialized Instructor Training-Firearms 12 NCAC 9B 0227	Specialized Instructor Training-Driving
AGENCY	CRIMINAL JUSTICE EDUCATION & TRAINING STANDARDS																	

AGENCY	RULE #/TITLE	Subject	Fiscal Impact	Proposed Effective Date
CRIMINAL JUSTICE EDUCATION & TRAINING STANDARDS	12 NCAC 9B .0228 Basic Training-Wildlife Enforcement Officers		State	August 1, 1999
	12 NCAC 9B .0232 Specialized Instructor Training		State	August 1, 1999
	12 NCAC 9B .0233 Specialized Instructor Training		State	August 1, 1999
	12 NCAC 9B .0302 General Instructor Certification	Certification	None	August 1, 1999
	12 NCAC 9B .0303 Terms and Conditions		None	August 1, 1999
	12 NCAC 9B .0304 Specific Instructor Certification		None	August 1, 1999
i .	12 NCAC 9B .0305 Terms and Conditions		None	August 1, 1999
	12 NCAC 9B .0312 Instructor Certification Renewal		None	August 1, 1999
	12 NCAC 9B .0403 Evaluation of Training Waiver	Training and experience	None	August 1, 1999
	12 NCAC 9B .0404 Trainee Attendance		H	August 1, 1999
	12 NCAC 9B .0405 Completion of Basic Training		None	August 1, 1999
	12 NCAC 9B .0406 Comprehensive Written Examination		State	August 1, 1999
	12 NCAC 9B .0407 Satisfaction of Minimum Training Requirements		None	August 1, 1999
	12 NCAC 9B .0414 Comprehensive Written Exam		None	August 1, 1999
	12 NCAC 9B .0415 Satisfaction of Minimum Training		None	August 1, 1999
	12 NCAC 9C .0211 Pre-Delivery Training Course Report	Training	None	August 1, 1999
	12 NCAC 9C .0212 Post-Delivery Training Course Report		None	August 1, 1999

AGENCY	RULE#TITLE	Subject*	Fiscal Impact	Proposed Effective Date
CRIMINAL JUSTICE EDUCATION & TRAINING STANDARDS	12 NCAC 9C .0213 Report of Training Course Completion		None	August 1, 1999
	12 NCAC 9C .0403 Reports of Training Course Presentation	Training courses	None	August 1, 1999
	12 NCAC 9E .0107 Failure to Qualify	Firearms training	None	August 1, 1999
N C SHERIFFS' EDUCATION AND TRAINING STANDARDS	12 NCAC 10B .0103 Definitions	Administrative	State	August 1, 1999
	12 NCAC 10B .0302 Documentation of Educational Requirement	Educational requirements	None	August 1, 2000
	12 NCAC 10B .0303 Fingerprint Records Check		None	August 1, 2000
	12 NCAC 10B .0502 Basic Law Enforcement Training Course for Deputy	Training	State	August 1, 1999
	12 NCAC 10B .0505 Evaluation for Training Waiver		None	August 1, 1999
	12 NCAC 10B .0506 Trainee Attendance		None	August 1, 1999
	12 NCAC 10B .0507 Completion of Basic Law Enforcement Course		None	August 1, 1999
	12 NCAC 10B .0508 Written Exam		None	August 1, 1999
	12 NCAC 10B .0509 Satisfaction of Minimum Training Requir4ments		None	August 1, 1999
	12 NCAC 10B .0601 Detention Officer Certification Course	Standards	State, local	August 1, 1999
	12 NCAC 10B .0703 Administration of Detention Officer Cert. Course	Administrative	State, local	August 1, 1999
	12 NCAC 10B .0908 Limited Lecturer Certification	Certification	State, local	August 1, 1999
	12 NCAC 10B .0909 Terms and Coinditions of a Limited Lecturer Cert	Certification	None	August 1, 2000
	12 NCAC 10B .1002 General Provisions	General	None	August 1, 1999

AGENCY		Subject _{s 2} 2	Fiscal Impact	Proposed Effective Date
N C SHERIFFS' EDUCATION AND TRAINING STANDARDS	12 NCAC 10B .1401 Purpose	Professional certification program	State	August 1, 1999
	12 NCAC 10B .1402 General Provisions	•	State	August 1, 1999
	12 NCAC 10B .1403 Basic Reserve Deputy Sheriff Professional Cert		State	August 1, 1999
	12 NCAC 10B .1404 Intermediate Reserve Deputy Sheriff Cert	-	State	August 1, 1999
	12 NCAC 10B .1405 Advanced Reserve Deputy Sheriff Cert		State	August 1, 1999
: :	12 NCAC 10B .1406 How to Apply		State	August 1, 1999
DEPARTMENT OF LABOR			.t	
.i	13 NCAC 7F .0201 Construction	Construction	None	September 1, 2000
DENR			1	
	15A NCAC 1J .0101 Purpose	Wastewater treatment centers	None	August 1, 2000
	15A NCAC 1J .0102 Definitions		None	August 1, 2000
	15A NCAC 1J .0202 Grants from the High-Unit Cost Accounts	Grants	State, local	August 1, 2000
	15A NCAC 1J .0303 Filing of Required Supplemental Information	Environmental assessment	State, local	August 1, 2000
; ;	15A NCAC 1J .0402 Criteria for Planning and Water Conservation	Water conservation	State, local	August 1, 2000
	15A NCAC 1J .0502 Applicable Conditions	Projects	State, local	August 1, 2000
	15A NCAC 1J .0504 Fiscal Responsibility of the Applicant		None	August 1, 2000
	15A NCAC 1J .0601 Public Need	Public need	None	August 1, 2000
	15A NCAC 1J .0604 Fiscal Responsibility of the Applicant		None	August 1, 2000

AGENCY	RULE #/IITLE	Subject	Fiscal Impact	Proposed Effective Date
	15A NCAC 1J .0701 Public Necessity: Health: Safety	Service area needs	State, local	August 1, 2000
	15A NCAC 1J .0703 Financial Considerations		State, local	August 1, 2000
	15A NCAC 1J .0803 Assignment of Category to Wastewater Applications	Applications	State, local	August 1, 2000
	15A NCAC 1J .0903 Criteria for Loan Adjustments	Loan commitment	State, local	August 1, 2000
	15A NCAC 1J .0904 Disbursement of Loans and Grants		None	August 1, 2000
· · · · · · · · · · · · · · · · · · ·	15A NCAC 1L .0101 Purpose	Loans	None	August 1, 2000
i	15A NCAC 1L .0102 Definitions		State, local	August 1, 2000
\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	15A NCAC 1L .0203 Limitation of Loans	Loans	State, local	August 1, 2000
; ; ;	15A NCAC 1L .0303 Filing of Required Supplemental Information	Environmental assessment	State, local	August 1, 2000
	15A NCAC 1L .0501 Applicable Conditions	Proposed projects	State, local	August 1, 2000
	15A NCAC 1L .0503 Fiscal Responsibility of the Applicant		None	August 1, 2000
	15A NCAC 1L .0601 Public Need	Projects	None	August 1, 2000
	15A NCAC 1L .0604 Fiscal Responsibility of the Applicant		None	August 1, 2000
	15A NCAC 1L .0701 Public Necessity	Water source	State, local	August 1, 2000
:	15A NCAC 1L .0801 Planning and Water Conservation	Water conservation	State, local	August 1, 2000
	15A NCAC 1L .0902 Assignment of Category to Wastewater Applications	Wastewater projects	State, local	August 1, 2000
	15A NCAC 1L .1003 Criteria for Loan Adjustments	Water supply projects	State, local	August 1, 2000

AGENCY	RULE#/TITLE	Subject 2	Fiscal Impact	Proposed Effective Date
	15A NCAC 1L .1004 Disbursement of Loans		None	August 1, 2000
ENVIRONMENTAL MANAGEMENT COMMISSION	15A NCAC 2B .0110 Consideration for Federally-Listed Threatened Spec	Aquatic species	None	August 1, 2000
	15A NCAC 2B .0211 Fresh Water Quality Standards		None	August 1, 2000
; 	15A NCAC 2B .0220 Tidal Salt Water Quality Standards		None	August 1, 2000
	15A NCAC 2B .0223 Nutrient Sensitive Waters		None	August 1, 2000
	15A NCAC 2B .0225 Outstanding Resource Waters		None	August 1, 2000
	15A NCAC 2B .0233 Neuse River Basin		Local, substantial	August 1, 2000
	15A NCAC 2B .0241 Neuse River Basin		None	August 1, 2000
	15A NCAC 2B .0242 Neuse River Basin		None	August 1, 2000
	15A NCAC 2B .0259 Tar-Pamlico River Basin		Local, substantial	August 1, 2000
	15A NCAC 2B .0260 Tar-Pamlico River Basin		Local, substantial	August 1, 2000
	15A NCAC 2B .0261 Tar-Pamlico River Basin		Local, substantial	August 1, 2000
	15A NCAC 2B .0303 Little Tennessee River Basin	Schedules	None	August 1, 2000
	15A NCAC 2B .0304 French Broad River Basin		None	August 1, 2000
	15A NCAC 2B 0306 Broad River Basin		None	August 1, 2000
	15A NCAC 2B .0306 Broad River Basin	Schedules	None	August 1, 2000
	15A NCAC 2B .0308 Catawba River Basin		None	August 1, 2000

AGENCY	RULE #/TITLE	Subject	Fiscal Impact	Proposed Effective Date
ENVIRONMENTAL MANAGEMENT COMMISSION	15A NCAC 2B .0310 Lumber River Basin		None	August 1, 2000
	15A NCAC 2B .0317 Pasquotank River Basin		None	August 1, 2000
	15A NCAC 2D .0518 Miscellaneous Volatile Organic Compound Emissions	Emissions	None	July 1, 2000
	15A NCAC 2D .0523 Control of Conical Incinerators	Incinerators	None	July 1, 2000
	15A NCAC 2D .0524 New Source Performance Standards	Standards	None	July 1, 1999
	15A NCAC 2D .0541 Control of Emissions from Abrasive Blasting		None	July 1, 1999
	15A NCAC 2D .0902 Applicability	General	None	July 1, 2000
	15A NCAC 2D .0909 Compliance Schedules for Sources		None	July 1, 2000
	15A NCAC 2D .0948 VOC Emissions from Transfer Operations		None	July 1, 2000
:	15A NCAC 2D .0949 Storage of Miscellaneous Volatile Organic Compoun		None	July 1, 2000
	15A NCAC 2D .0950 Interim Standards		None	July 1, 2000
	15A NCAC 2D .0951 Miscellaneous Volatile Organic Compound		None	July 1, 2000
	15A NCAC 2D .0958 Work Practices for Sources		None	July 1, 2000
	15A NCAC 2D .1201 Purpose and Scope	General	None	July 1, 2000
	15A NCAC 2D .1202 Definitions	Definitions	None	July 1, 2000
	15A NCAC 2D .1203 Hazardous Waste Incinerators		None	July 1, 2000
	15A NCAC 2D .1204 Sewage Sludge and Sludge Incinerators	,	None	July 1, 2000

AGENCY	RULE#/TITLE	Subject	Fiscal Impact	Proposed Effective Date
ENVIRONMENTAL MANAGEMENT COMMISSION	15A NCAC 2D .1205 Municipal Waste Combustors		None	July 1, 2000
	15A NCAC 2D .1206 Hospital, Medical, & Infectious Waste Incinerators		None	July 1, 2000
	15A NCAC 2D .1207 Conical Incinerators		None	July 1, 2000
	15A NCAC 2D .1208 Other Incinerators		None	July 1, 2000
	15A NCAC 2D .1209 Compliance Schedules		None	July 1, 2000
:	15A NCAC 2D 1703 Emission Standards	Landfills	State	July 1, 2000
1	15A NCAC 2D .1708 Reporting Requirements		State	July 1, 2000
· i	15A NCAC 2D .1709 Recordkeeping Requirements		State	July 1, 2000
	15A NCAC 2D .1801 Definitions	Control of Odors	None	July 1, 1999
	15A NCAC 2D .1802 Control of Odors from Animal Operations		Substantial	July 1, 1999
	15A NCAC 2D .1803 Best Management Plans for Animal Operations		None	July 1, 1999
	15A NCAC 2D .1804 Reporting Requirements for Animal Operations		None	July 1, 1999
	15A NCAC 2D .2101 Applicability	Regulated substances	State, local, substantial	July 1, 2000
	15A NCAC 2D 2102 Definitions		State, local, substantial	July 1, 2000
	15A NCAC 2D .2103 Requirements		State, local, substantial	July 1, 2000
	15A NCAC 2D .2104 Implementation		State, local, substantial	July 1, 2000
	15A NCAC 2P .0402 Cleanup Costs		State, local	August 1, 2000

AGENCY	RULE #/TITLE	Subject:	Fiscal Impact	Proposed Effective Date**
ENVIRONMENTAL MANAGEMENT COMMISSION	15A NCAC 2Q .0102 Activities Exempted from Permit Requirements	Permit requirements	None	July 1, 1999
	15A NCAC 2Q .0102 Activities Exempted from Permit Requirements		None	July 1, 2000
	15A NCAC 2Q .0103 Definitions		None	July 1, 2000
:	15A NCAC 2Q .0202 Definitions	Definitions	None	July 1, 2000
	15A NCAC 2Q .0306 Permits Requiring Public Participation	Public notice	None	July 1, 2000
	15A NCAC 2Q .0502 Applicability	Permits	None	July 1, 2000
	15A NCAC 2Q .0503 Definitions		None	July 1, 2000
:	15A NCAC 2Q .0507 Application		None	July 1, 2000
- - - - - - -	15A NCAC 2Q .0508 Permit Content		None	July 1, 2000
-	15A NCAC 2Q .0702 Exemptions	Toxic air pollutants	None	July 1, 2000
	15A NCAC 2Q .0702 Exemptions	Exemptions	None	July 1, 2000
	15A NCAC 2S .0101 General	Rules and criteria	None	August 1, 2000
	15A NCAC 2S .0102 Definitions		None	August 1, 2000
	15A NCAC 2S .0201 Applicability	Minimum management practices	None	August 1, 2000
:	15A NCAC 2S .0202 Required Minimum Management Practices		None	August 1, 2000
MARINE FISHERIES COMMISSION	15A NCAC 3H .0101 Identification Information	General	None	August 1, 2000
	15A NCAC 3H .0103 Proclamation Authority		None	August 1, 2000

AGENCY	RULE#/TITLE:	Subject	Fiscal Himpact	Proposed Effective Date
MARINE FISHERIES COMMISSION	15A NCAC 3I .0101 Definitions	General	None	August 1, 2000
:	15A NCAC 3I .0105 Leaving Devices Unattended		None	August 1, 2000
	15A NCAC 3I .0106 Scientific, Educational, or Official Collecting		None	August 1, 2000
	15A NCAC 3I .0114 Recordkeeping Requirements		None	August 1, 2000
	15A NCAC 3I .0117 Fishery Resource Grant Program	General rules	None	August 1, 2000
	15A NCAC 3I .0120 Possession or Transportation		None	August 1, 2000
	15A NCAC 3J .0104 Trawl Nets	Finfish	None	August 1, 2000
	15A NCAC 3J .0110 Seines		None	August 1, 2000
	15A NCAC 3J .0301 Pots	Pots	None	August 1, 2000
	15A NCAC 3J .0302 Recreational Use of Pots		None	August 1, 2000
	15A NCAC 3J .0305 Trotlines (Multiple Hook or Multiple Bait)		None	August 1, 2000
	15A NCAC 3K .0101 Prohibited Shellfish	Shellfish	None	August 1, 2000
	15A NCAC 3K .0105 Harvest of Crabs and Shellfish		None	August 1, 2000
	15A NCAC 3K .0106 Taking or Unloading Oysters		None	August 1, 2000
	15A NCAC 3K .0202 Size Limit and Culling Tolerance	Size	None	August 1, 2000
	15A NCAC 3K .0502 Taking Bay Scallops at Night	Scallops	None	August 1, 2000
	15A NCAC 3L .0201 Size Limit and Culling Tolerance	Size	None	August 1, 2000

AGENCY	RULE#/TITLE	Subject	Fiscal Impact	Proposed Effective Date
MARINE FISHERIES COMMISSION	15A NCAC 3L .0206 Peeler Crabs		None	August 1, 2000
	15A NCAC 3M .0202 Season, Size and Harvest Limit	Internal coastal waters	None	August 1, 2000
:	15A NCAC 3M .0503 Flounder	Fish	None	August 1, 2000
	15A NCAC 3M .0504 Trout		None	August 1, 2000
	15A NCAC 3M .0507 Billfish		None	August 1, 2000
	15A NCAC 3M .0511 Bluefish		None	August 1, 2000
	ISA NCAC 3M .0516 Cobia		None	August 1, 2000
* * * * * * * * * * * * * * * * * * * *	15A NCAC 3O .0101 Procedure and Requirements	Procedures	None	August 1, 2000
	15A NCAC 3O .0102 Recreational Fishing Tournament License		None	August 1, 2000
	15A NCAC 3O .0103 Auxiliary Vessels		None	August 1, 2000
	15A NCAC 3O .0104 Commercial Unloading of Fish		None	August 1, 2000
	15A NCAC 3O .0105 Bait Dealers		None	August 1, 2000
	15A NCAC 3O .0106 Display of Licenses and Registrations		None	August 1, 2000
	15A NCAC 3O .0107 Lost License Replacement		None	August 1, 2000
; ; ;	15A NCAC 3O .0108 License Transfers		None	August 1, 2000
	15A NCAC 3O .0109 Assignment of SCFL		None	August 1, 2000
	15A NCAC 3O .0110 License Refunds		None	August 1, 2000

AGENCY	* RULE #/TITLE .	Subject	Fiscal * Impact	Proposed Effective Date
MARINE FISHERIES COMMISSION	15A NCAC 30 .0111 Surrender of Licenses		None	August 1, 2000
	15A NCAC 30 .0201 Standards for Shellfish Bottom	Public bottom waters	None	August 1, 2000
:	15A NCAC 3O .0301 Eligibility for Recreational Commercial Gear Lic.	Licenses	None	August 1, 2000
	15A NCAC 3O .0302 Authorized Gear		None	August 1, 2000
	15A NCAC 3O .0303 Recreational Commercial Gear License		None	August 1, 2000
	15A NCAC 3O .0304 Consideration of Appeal Petitions		None	August 1, 2000
	15A NCAC 3O .0305 Emergency Licenses		None	August 1, 2000
	15A NCAC 3O .0306 Hardship Licenses		None	August 1, 2000
:	15A NCAC 3O .0307 Appeals Panel Final Decision		None	August 1, 2000
	15A NCAC 3O .0308 Official Record		None	August 1, 2000
	15A NCAC 3O .0309 Reasons for Revocation		None	August 1, 2000
	15A NCAC 3O .0310 Temporary Emergency Vessel Crab Licenses		None	August 1, 2000
	15A NCAC 3O .0401 Eligibility Board	Board	None	August 1, 2000
	15A NCAC 3O .0402 Application Process		None	August 1, 2000
	15A NCAC 3O .0403 Eligibility Board Review		None	August 1, 2000
	15A NCAC 3O .0404 Eligibility Criteria		None	August 1, 2000
	15A NCAC 30 .0405 Application Documentation		None	August 1, 2000

AGENCY	RULE#/TIPLE	Subject >	Fiscal Impact	Proposed Effective Date
MARINE FISHERIES COMMISSION	15A NCAC 3O .0406 Standard Commercial Fishing License		None	August 1, 2000
	15A NCAC 3Q .0107 Special Rules, Joint Waters	Joint waters	None	August 1, 2000
SEDIMENTATION CONTROL COMMISSION	15A NCAC 4B .0106 Basic Control Objectives	Erosion	None	July 1, 2000
	15A NCAC 4B .0107 Mandatory Standards		None	July 1, 2000
	15A NCAC 4B .0126 Plan Approval Fees	Fees	State, local	July 1, 2000
	15A NCAC 4B .0127 Plan Approval Certificate		None	July 1, 2000
	15A NCAC 4C .0107 Procedures: Notices	Notices	None	August 1, 2000
COASTAL RESOURCES COMMISSION	15A NCAC 7H .0201 AECs	General	State, local	August 1, 2000
i i	15A NCAC 7H .0203 Management Objective		State, local	August 1, 2000
	15A NCAC 7H .0209 Coastal Shorelines		State, local	August 1, 2000
	15A NCAC 7H .0309 Use Standards	Hazard areas	State	August 1, 2000
:	15A NCAC 7H .1103 Permit Fee	Permit fees	State, local	August 1, 2000
	15A NCAC 7H .1203 Permit Fee	Permit fees	State, local	August 1, 2000
	15A NCAC 7H .1301 Purpose	Permits	State, local	August 1, 2000
	15A NCAC 7H .1303 Permit Fee	Permit fees	State, local	August 1, 2000
	15A NCAC 7H .1403 Permit Fee	Permit fees	State, local	August 1, 2000
	15A NCAC 7H .1503 Application Fee	Fees	State, local	August 1, 2000

AGENCY	RULE #/TITLE	Subject	Fiscal Impact	Proposed Effective Date
COASTAL RESOURCES COMMISSION	15A NCAC 7H .1601 Purpose	Permits	State, local	August 1, 2000
	15A NCAC 7H .1603 Permit Fee	Fees	State, local	August 1, 2000
	15A NCAC 7H .1803 Permit Fee	Fees	State, local	August 1, 2000
	15A NCAC 7H .1805 Specific Conditions	Activities	None	August 1, 2000
	15A NCAC 7H .1901 Purpose		State, local	August 1, 2000
	15A NCAC 7H .1903 Permit Fee	Fees	State, local	August 1, 2000
	15A NCAC 7H .2003 Permit Fee	Fees	State, local	August 1, 2000
	15A NCAC 7H .2101 Purpose	General	State	August 1, 2000
	15A NCAC 7H .2102 Approval Procedures		State	August 1, 2000
	15A NCAC 7H .2103 Permit Fee	Fees	State, local	August 1, 2000
	15A NCAC 7H .2105 Specific Conditions		State	August 1, 2000
	15A NCAC 7H .2203 Permit Fee	Fees	State, local	August 1, 2000
	15A NCAC 7H .2301 Purpose	Permits	State, local	August 1, 2000
	15A NCAC 7H .2303 Permit Fee	Fees	State, local	August 1, 2000
:	15A NCAC 7H .2401 Purpose	General permit	State	August 1, 2000
	15A NCAC 7H .2402 Approval Procedures		State	August 1, 2000
	15A NCAC 7H .2403 Permit Fee		State	August 1, 2000

AGENCY	RULE#/TIMBE	/ Subject	Fiscal Impact	Proposed Effective Date
COASTAL RESOURCES COMMISSION	15A NCAC 7H .2404 General Conditions		State	August 1, 2000
COMMISSION	15A NCAC 7H .2405 Specific Conditions		State	August 1, 2000
	15A NCAC 7J .0204 Processing the Application	Applications	State, local	August 1, 2000
	15A NCAC 7J .0404 Development Period Extension	Development periods	State, local	August 1, 2000
	15A NCAC 7J .0405 Permit Modification		State, local	August 1, 2000
	15A NCAC 7J .0406 Permit Issuance and Transfer		State, local	August 1, 2000
	15A NCAC 7K .0203 Private Bulkheads	Exemptions	State, local	August 1, 2000
	15A NCAC 7K .0209 Exemption		State, local	August 1, 2000
	15A NCAC 7M .0307 Eligible Applicants/Grant Selection criteria	Access funds	State, local	August 1, 2000
	15A NCAC 7M .0401 Declaration of General Policy	General	State, local	August 1, 2000
	15A NCAC 7M .0402 Definitions		State, local	August 1, 2000
	15A NCAC 7M .0403 Policy Statements		State, local	August 1, 2000
WILDLIFE RESOURCES COMMISSION	15A NCAC 10B .0105 Migratory Game Birds	State rules	None	July 1, 2000
	15A NCAC 10B .0113 Big Game Kill Reports		None	July ⁻ 1, 2000
	15A NCAC 10B .0116 Permitted Archery Equipment	Equipment	None	July 1, 2000
	15A NCAC 10B .0202 Bear	Open Seasons	None	July 1, 2000
	15A NCAC 10B .0203 Deer (White Tailed)	Closed season	None	July 1, 2000

AGENCY:	RULE #/TITLE	Subject	Fiscal Impact	Proposed Effective Date
WILDLIFE RESOURCES COMMISSION	15A NCAC 10B .0203 Deer (White-Tailed)		None	July 1, 2000
	15A NCAC 10B .0205 Raccoon and Opossum		None	July 1, 2000
:	15A NCAC 10B .0209 Wild Turkey (Bearded Turkeys Only)		None	July 1, 2000
	15A NCAC 10B .0209 Wild Turkey (Bearded Turkeys Only)		None	July 1, 2000
	15A NCAC 10B .0212 Foxes (Gray and Red)		None	July 1, 2000
	15A NCAC 10B .0302 Open Seasons	General	None .	July 1, 2000
	15A NCAC 10C .0107 Special Regulations Joint Waters	Joint waters	None	July 1, 2000
:	15A NCAC 10C .0205 Public Mountain Trout Waters	Trout waters	None	July 1, 2000
	15A NCAC 10C .0205 Public Mountain Trout Waters	Designations	None	July 1, 2000
	15A NCAC 10C .0305 Open Seasons: Creel and Size Limits	Open seasons	None	July 1, 2000
	15A NCAC 10C .0305 Open Seasons: Creel and Size Limits	General	None	July 1, 2000
	15A NCAC 10C .0401 Manner of Taking Nongame Fishes	Nongame fish	None	July 1, 2000
	15A NCAC 10C .0401 Manner of Taking Nongame Fishes: Purchase & Sale	Nongame fishes	None	July 1, 2000
	15A NCAC 10C .0402 Taking Nongame Fishes for Bait		None	July 1, 2000
	15A NCAC 10C .0407 Permitted Special Devices and Open Seasons		None	July 1, 2000
	15A NCAC 10C .0501 Scope and Purpose	Inland waters	None	July 1, 2000
	15A NCAC 10C .0502 Primary Nursery Areas Defined		None	July 1, 2000

AGENCY	RULE#/TITLE	1 Subject	Fiscal Impact	Proposed Effective Date
WILDLIFE RESOURCES COMMISSION	15A NCAC 10C .0503 Descriptive Boundaries	· .	None	July 1, 2000
	15A NCAC 10C .0503 Descriptive Boundaries	Boundaries	None	July 1, 2000
	15A NCAC 10D .0102 General Regulations Regarding Use	Usage	None	July 1, 2000
	15A NCAC 10D .0102 General Regulations Regarding Use	Game lands	None	July 1, 2000
	15A NCAC 10D .0103 Hunting on Game Lands		None	July 1, 2000
	15A NCAC 10D .0103 Hunting on Game Lands		None	July 1, 2000
:	15A NCAC 10D .0104 Fishing on Game Lands		None	July 1, 2000
	15A NCAC 10F .0202 Accident Reports	Reports	None	November 1, 1999
	15A NCAC 10F .0310 Dare County	Regulated areas	Local	July 1, 2000
	15A NCAC 10F .0317 Stanly County	Regulated areas	None	July 1, 2000
	15A NCAC 10F .0321 Pender County	Regulated Areas	Local	July 1, 2000
	15A NCAC 10F .0323 Burke County		Local	July 1, 2000
	15A NCAC 10F .0330 Carteret County		State, local	July 1, 2000
	15A NCAC 10F .0332 Alexander County	Regulated areas	Local	July 1, 2000
	15A NCAC 10F .0333 Mecklenburg and Gaston Counties	Regulated areas	Local	July 1, 2000
	15A NCAC 10F .0339 McDowell County		Local	July 1, 2000
	15A NCAC 10F .0339 McDowell County		Local	July 1, 2000

AGENCY	RULE #/TITLE	Subject Subject	Fiscal I Impact	Proposed Effective Date
WILDLIFE RESOURCES COMMISSION	15A NCAC 10F .0342 Catawba County		Local	July 1, 2000
	15A NCAC 10F .0353 Mountain Island Lake		Local	July 1, 2000
	15A NCAC 10F .0355 Perquimans County		Local	July 1, 2000
	15A NCAC 10F .0367 Hoke County		Local	July 1, 2000
COMMISSION FOR HEALTH SERVICES	15A NCAC 13A .0101 General	General	State	August 1, 2000
	15A NCAC 13A .0102 Definitions		None	August 1, 2000
	15A NCAC 13A .0103 Petitions-Part 260		None	August 1, 2000
	15A NCAC 13A .0104 Public Information - Part 2		None	August 1, 2000
	15A NCAC 13A .0106 Identification and Listing of Hazardous Wastes		None	August 1, 2000
	15A NCAC 13A .0108 Stds Applicable to Transporters of Hazardous Waste		None	August 1, 2000
	15A NCAC 13A .0110 Interim Status Stds for Owners		None	August 1, 2000
	15A NCAC 13A .0112 Land Disposal Restrictions - Part 268		None	August 1, 2000
	15A NCAC 13A .0113 The Hazardous Waste Permit Program		None	August 1, 2000
	15A NCAC 13A .0118 Standards for the Management of Used Oil		None	August 1, 2000
	15A NCAC 16A .1104 Covered Services	Services	None	August 1, 2000
	15A NCAC 16A .1106 Medical Eligibility		None	August 1, 2000
	15A NCAC 18A .0134 Definitions	General	None	August 1, 2000

AGENCY	RULE#TITLE	Silbject	Fiscal Impact	Proposed Effective A Date
:	15A NCAC 18A .0188 Hazard Analysis		None	August 1, 2000
. :	15A NCAC 18A .0189 HACCP Plan		None	August 1, 2000
	15A NCAC 18A .0190 Sanitation Monitoring Requirements		None	August 1, 2000
	15A NCAC 18A .0191 Monitoring Records		None	August 1, 2000
:	15A NCAC 18A .0301 Definitions	Definitions	None	August 1, 2000
	15A NCAC 18A .0433 Hazard Analysis	Hazards	None	August 1, 2000
	15A NCAC 18A .0434 HACCP Plan		None	August 1, 2000
	15A NCAC 18A .0435 Sanitation Monitoring Requirements		None	August 1, 2000
·	15A NCAC 18A .1611 Water Supply	Supplies	None	August 1, 2000
	15A NCAC 18A .1808 Lobby: Halls:Stairs: and Vending Areas	Regulations	None	August 1, 2000
	15A NCAC 18A .1810 Water Supply		None	August 1, 2000
	15A NCAC 18A .1812 Guest Rooms		None	August 1, 2000
	15A NCAC 18A 1952 Septic Tank, Effluent Filter Dosing Tank		None	August 1, 2000
	15A NCAC 18A .1953 Prefabricated Septic Tanks and Pump Tanks		None	August 1, 2000
	15A NCAC 18A .1954 Minimum Standards for Precast Reinforced Concrete		None	August 1, 2000
	15A NCAC 18A .1955 Design and Installation Criteria		None	August 1, 2000
	15A NCAC 18A .2515 Design Details	Pools	None	August 1, 2000

AGENCY	RULE##HILE	Subject	Fiscal Impact	Proposed Effective Date
	15A NCAC 18C .0301 Applicability	General	State, local	August 1, 2000
:	15A NCAC 18C .0302 Submittals		State, local	August 1, 2000
:	15A NCAC 18C .0303 Submissions Required by Engineer		State, local	August 1, 2000
	15A NCAC 18C .0304 Application for Approval		State, local	August 1, 2000
:	15A NCAC 18C .0305 Approvals Necessary Before Contracting		State, local	August 1, 2000
	15A NCAC 18C .0306 Changes in Engineering Plans		State, local	August 1, 2000
	15A NCAC 18C .0307 Engineer's Water System Plan		State, local	August 1, 2000
	15A NCAC 18C .0308 Engineering Plans and Specifications		State, local	August 1, 2000
	15A NCAC 18C .0309 Final Approval		State, local	August 1, 2000
	15A NCAC 18C .1304 Water System Operation and Maintenance	Water systems	State, local	August 1, 2000
	15A NCAC 18C .1513 Total Trihalomethanes Sampling and Analysis	Water systems	None	August 1, 2000
	15A NCAC 18C .1538 Consumer Confidence Report		State, local	August 1, 2000
	15A NCAC 18C .2007 Enhanced Filtration and Disinfection	Public water systems	State, local, substantial	August 1, 2000
	15A NCAC 18C .2008 Disinfectants and Disinfection ByProducts		State, local	
	15A NCAC 18D .0201 Grades of Certification	Certification	State, local	August 1, 2000
	15A NCAC 18D .0203 Determination of Various Classes of Ce3rtification		State, local	August 1, 2000
	15A NCAC 18D .0205 Classification of Water Treatment Facilities		State, local	August 1, 2000

AGENCY	RULE #TITLE	Subject	Fiscal Limpact	Proposed Effective Date
	15A NCAC 18D .0206 Certified Operator Required		State, local	August 1, 2000
	15A NCAC 18D .0304 Fee Schedules	Fee schedules	State, local	August 1, 2000
:	15A NCAC 18D .0305 Waiting Period		State, local	August 1, 2000
	15A NCAC 18D .0307 Revocation of Certificate		State, local	August 1, 2000
	15A NCAC 18D .0308 Professional Growth Hours		State, local	August 1, 2000
	15A NCAC 18D .0309 Certification Reinstatement		State, local	August 1, 2000
:	15A NCAC 18D .0403 Issuance of Grade Certificate	Certification	State, local	August 1, 2000
:	15A NCAC 18D .0701 Operator in Responsible Charge	Operators	State, local	August 1, 2000
DHHS	15A NCAC 19A .0401 Dosage and Age Requirements for Immunization	Immunizations	None	August 1, 2000
	15A NCAC 19A .0404 Medical Exemptions from Immunization		None	August 1, 2000
	15A NCAC 19A .0406 Access to Immunization Information		None	August 1, 2000
	15A NCAC 19A .0502 Vaccine for Providers		None	August 1, 2000
DHHS/COMMISSION FOR HEALTH SERVICES	15A NCAC 21F .1201 General	Screening program	None	August 1, 2000
	15A NCAC 21F .1202 Definitions		None	August 1, 2000
	15A NCAC 21F .1203 Screening Requirements		None	August 1, 2000
	15A NCAC 21F .1204 Reporting Requirements		None	August 1, 2000
	15A NCAC 21H .0111 Medical Services Provided		State	April 1, 1999

AGENCY	RULE #/TITLE	Subject	Fiscal Impact	Proposed Effective Date
DHHS/COMMISSION FOR HEALTH SERVICES	15A NCAC 21H .0113 Procedure for Requesting Services		State	April 1, 1999
	15A NCAC 21H .0314 Submission of Blook Specimens for Screening	Blood specimen	None	August 1, 2000
/DHHS/COMMISSION FOR HEALTH SERVICES	15A NCAC 23 .0201 Medical Examiner Fee	Reports	None	August 1, 2000
	15A NCAC 23 .0202 Pathology Fee		State, local	August 1, 2000
	15A NCAC 23 .0204 Hospital Fee		None	August 1, 2000
:	15A NCAC 23 .0501 Investigation Before Cremation or Burial at Sea	Deaths	None	August 1, 2000
COMMISSION FOR HEALTH SERVICES	15A NCAC 24A .0402 Reimbursement for Patient Hospitalization	Inpatient hospitalization	None	August 1, 2000
	15A NCAC 24A .0403 Reimbursement for Patient Hospitalization		None	August 1, 2000
	15A NCAC 26C .0101 General	General	None	August 1, 2000
	15A NCAC 26C .0102 Definitions		None	August 1, 2000
	15A NCAC 26C .0103 Birth Defects Advisory Committee		None	August 1, 2000
	15A NCAC 26C .0104 Surveillance of Birth Defects		None	August 1, 2000
	15A NCAC 26C .0105 Confidentiality		None	August 1, 2000
	15A NCAC 26C .0106 Release of Monitoring Program Information		None	August 1, 2000
WELL CONTRACTORS CERTIFICATION COMMISSION	15A NCAC 27 .0101 Duties of a Certified Well Contractor	Certification rules	None	August 1, 2000
	15A NCAC 27 .0110 Definitions		None	August 1, 2000
	15A NCAC 27 .0201 Schedule of Certification Fees	Well Contractor Fees	None	August 1, 2000

AGENCY	RULE#/rhibe	Subject .	Fiscal Impaci	Proposed Effective Date
WELL CONTRACTORS CERTIFICATION COMMISSION	15A NCAC 27 .0301 Application Requirements for Certification	Certification	None	August 1, 2000
	15A NCAC 27 .0401 Submittal and Processing of Applications	Certification by Exam	None	August 1, 2000
	15A NCAC 27 .0410 Well Contractor Examinations		None	August 1, 2000
	15A NCAC 27 .0420 Time and Place of Examination		None	August 1, 2000
	15A NCAC 27 .0430 Conducting and Grading Examination		None	August 1, 2000
	15A NCAC 27 .0440 Examination Results and Issuance of Cert.		None	August 1, 2000
	15A NCAC 27 .0501 Certification by Legislative Exemption	Certification without Exam	None	August 1, 2000
	15A NCAC 27 .0510 Reciprocal Waiver of Exam for Certification		None	August 1, 2000
	15A NCAC 27 .0520 Temporary Certification		None	August 1, 2000
	15A NCAC 27 .0601 Conditions and Limitations for Renewal of Cert.	Certification Renewal	None	August 1, 2000
	15A NCAC 27 .0801 Requirements	Continuing Education Requirements	None	August 1, 2000
	15A NCAC 27 .0810 Units		None	August 1, 2000
	15A NCAC 27 .0820 Determination of Credit		None	August 1, 2000
	15A NCAC 27 .0830 Recordkeeping		None	August 1, 2000
	15A NCAC 27 .0840 Exemptions		None	August 1, 2000
	15A NCAC 27 .0901 Revocation, Relinquishment or Expiration	Procedures for Disciplinary Action	None	August 1, 2000
	15A NCAC 27 .0910 Recertification Following Revocation or Relinquish		None	August 1, 2000

AGENCY	RULE #/TITLE	Subject	Fiscal Impact	Proposed Effective Date
WELL CONTRACTORS CERTIFICATION COMMISSION	15A NCAC 27 .0920 Notification to the Department		None	August 1, 2000
CERTIFICATION COMMISSION	15A NCAC 27 .0930 Civil Penalties		None	August 1, 2000
EDUCATION, STATE BOARD OF	F		<u> </u>	
	16 NCAC 6B .0108 Purchasing Flexibility Exemption	Transportation	None	July 1, 1999
	16 NCAC 6C .0102 Nature of Licensure	General	None	August 1, 2000
	16 NCAC 6C .0103 State Board of Education Action		None	August 1, 2000
	16 NCAC 6C .0202 Application for Approval; Criteria	Teacher education	None	August 1, 2000
	16 NCAC 6C 0205 State Board Review Standards and Approval Actions		None	August 1, 2000
	16 NCAC 6C .0206 Consortium-Based Programs and Innovative Progra		None	August 1, 2000
	16 NCAC 6C .0207 Prospective Teacher Scholarship Loans		None	August 1, 2000
	16 NCAC 6C .0301 General Information	General	None	August 1, 2000
	16 NCAC 6C .0302 Credit		None	August 1, 2000
	16 NCAC 6C .0303 Program Requirements for Licensure		None	August 1, 2000
	16 NCAC 6C .0304 License Patterns		None	August 1, 2000
	16 NCAC 6C .0305 Licenses for Non-Teacher Education Graduates		None	August 1, 2000
	16 NCAC 6C .0306 License Endorsement		None	August 1, 2000
	16 NCAC 6C .0307 License Renewal		None	August 1, 2000

AGENOY	RULE#/TITLE	Subject	Fiscal Impact	Proposed Effective Date
	16 NCAC 6C .0308 Expired Licenses		None	August 1, 2000
	16 NCAC 6C .0309 Reciprocity in Licensure		None	August 1, 2000
	16 NCAC 6C .0311 Temporary Permit		None	August 1, 2000
	16 NCAC 6C .0312 License Suspension and Revocation		None	August 1, 2000
	16 NCAC 6C .0313 Criminal History Checks		None	August 1, 2000
	16 NCAC 6E .0202 Interscholastic Athletics	Athletic competition	None	August 1, 2000
; !	16 NCAC 6E .0301 Driver Training	Operation of motor vehicles	None	July 1, 1999
	16 NCAC 6G .0502 Charter School Advisory Committee	Charter schools	None	August 1, 2000
:	16 NCAC 6H .0103 Complaint Procedures for Federal Programs		None	August 1, 2000
:	16 NCAC 6H .0106 Non-Instructional Special Education Services		None	August 1, 2000
REVENUE, DEPARTMENT OF			<u> </u>	
	17 NCAC 1C .0502 Method of Payment	Payments	None	July 1, 2000
	17 NCAC 1C .0504 EFT General Requirements		None	July 1, 2000
	17 NCAC 1C .0506 Voluntary EFT Program Participation		None	July 1, 2000
	17 NCAC 1C .0509 EFT Payment Procedures-General Provisions		None	July 1, 2000
,	17 NCAC 1C .0601 Substitute Forms	Forms	None	July 1, 2000
	17 NCAC 3B .0302 Step-Grandchildren		None	July 1, 2000

AGENCY	RULE#AITLE	Subject	Fiscal Impact	Proposed Effective Date
	17 NCAC 3C .0008 Extensions	Applications	None	July 1, 2000
:	17 NCAC 4B .0102 Transfer Fee	Fees	None	July 1, 2000
:	17 NCAC 4B .0104 Refunds		None	July 1, 2000
	17 NCAC 4B .0105 Churches or Charitable Organizations		None	July 1, 2000
	17 NCAC 4B .0106 Ceded Areas	,	None	July 1, 2000
	17 NCAC 4B .0107 Blind Persons		None	July 1, 2000
	17 NCAC 4B .0301 Not Prorated		None	July 1, 2000
	17 NCAC 4B .0302 Computation and Payment of Amusement Gross Recei	Computation	None	July 1, 2000
:	17 NCAC 4B .0306 Civic Organization Amusements		None	July 1, 2000
	17 NCAC 4B .0308 Drag Strips and Go-Cart Races		None	July 1, 2000
:	17 NCAC 4B .0309 Go-Cart Races		None	July 1, 2000
	17 NCAC 4B .0310 Fishing Piers		None	July 1, 2000
	17 NCAC 4B .0311 Horse and Dog Shows		None	July 1, 2000
	17 NCAC 4B .0312 Rattlesnake Milkings		None	July 1, 2000
:	17 NCAC 4B .0403 Report of Gross Receipts Tax		None	July 1, 2000
	17 NCAC 4B .0405 Expected Contribution		None	July 1, 2000
	17 NCAC 4B .2902 Liability for Direct Loans		None	July 1, 2000

AGENCY	RULE#/TITLE	Subject	Fiscal Impact	Proposed Effective Date
	17 NCAC 4B .2903 Quarterly Return		None	July 1, 2000
	17 NCAC 4B .4301 Application for Privilege License	Licenses	None	July 1, 2000
	17 NCAC 4B .4301 Application for Privilege License		None	July 1, 2000
	17 NCAC 4B .4302 Privilege License		None	July 1, 2000
	17 NCAC 4B .4302 Privilege License		None	July 1, 2000
	17 NCAC 4B .4401 Penalty Provisions	Penalties	None	July 1, 2000
	17 NCAC 4B .4402 Rate or Penalty; Interest		None	July 1, 2000
	17 NCAC 4C .0603 Tax on Complimentary Packs	Cigarettes	None	July 1, 2000
	17 NCAC 4C .1101 Dealers on Trains	Cigarettes on railroads	None	July 1, 2000
	17 NCAC 4C .1801 Monthly Return Requirements	Reports	None	July 1, 2000
	17 NCAC 4E .0102 Application for License		None	July 1, 2000
÷	17 NCAC 4E .0103 Penalty		None	July 1, 2000
:	17 NCAC 4E .0201 Filing of Monthly Reports	Reports	None	July 1, 2000
	17 NCAC 4E .0201 Filing of Monthly Reports	Forms	None	July 1, 2000
	17 NCAC 4E .0202 Examiner's Report		None	July 1, 2000
:	17 NCAC 4E .0203 Separate Reports for Wines		None	July 1, 2000
	17 NCAC 4E .0302 Spoilage of Taxpaid Beer or Wine		None	July 1, 2000

17 NCAC 4E .0703 Counties None July 1, 2000	AGENCY	RULE#/III/ILE	Subject	Fiscal Impact	Proposed Effective
Questionnaires 17 NCAC 4F .0001 Issanae of Stamps 17 NCAC 4F .0002 None July 1, 2000			Courties	None	30 30 30 30 30 30 30 30 30 30 30 30 30 3
17 NCAC 4F .0001 Statemore of Stamps None July 1, 2000		!	Counties	None	July 1, 2000
Issuance of Stamps 17 NCAC 4F .0002 Denomination of Stamps 17 NCAC 4F .0003 None July 1, 2000		· · · · · · · · · · · · · · · · · · ·		None	July 1, 2000
Denomination of Stamps 17 NCAC 4F .0003 None July 1, 2000					- '
17 NCAC 4F .0003		17 NCAC 4F .0002		None	July 1, 2000
Cost of Stamps 17 NCAC 4F .0004 None July 1, 2000					
17 NCAC 4F .0004				None	July 1, 2000
Postage Paid 17 NCAC 4F .0005		_		None	July 1, 2000
17 NCAC 4F .0005 Conveyance Tax Report 17 NCAC 5B .0603 Forms None July 1, 2000 17 NCAC 5B .0603 Forms None July 1, 2000 17 NCAC 5B .0803 Corporations None July 1, 2000 17 NCAC 5B .0903 None July 1, 2000 18 None Suly 1, 2000 19 None Suly 1, 2000 10 None Suly 1, 2000 10 None Suly 1, 2000 11 NCAC 5B .1112 None July 1, 2000 12 Borrowed Capital Defined If NCAC 5C .0702 Business and Nonbusiness Income 17 NCAC 5C .0703 None July 1, 2000 18 Business and Nonbusiness Income If NCAC 5C .0003 None July 1, 2000 17 NCAC 5B .0105 None July 1, 2000 18 Reproduced Copies None July 1, 2000 19 Reproduced Copies None July 1, 2000 10 None Suly 1, 2000 None July 1, 2000 10 None Suly 1, 2000 None July 1, 2000 10 None Suly 1, 2000 None July 1, 2000 10 None Suly 1, 2000 Solar Energy Equipment Tax Credit				TAOHE	July 1, 2000
Conveyance Tax Report 17 NCAC 5B .0603				None	July 1, 2000
Form to be Used for Filing 17 NCAC 5B .0803 Corporations None July 1, 2000					
17 NCAC 5B .0803 Corporations None July 1, 2000	:	l l	Forms	None	July 1, 2000
Corporation Billed for the Tax 17 NCAC 5B .0903 None July 1, 2000					
17 NCAC 5B .0903 None July 1, 2000			Corporations	None	July 1, 2000
Corporation Billed for the Tax 17 NCAC 5B .1112 None July 1, 2000		1 -		None	July 1, 2000
Borrowed Capital Defined 17 NCAC 5C .0702 Business and Nonbusiness Income Defined 17 NCAC 5C .0703 None July 1, 2000					,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
17 NCAC 5C .0702 Business and Nonbusiness Income Defined 17 NCAC 5C .0703 None July 1, 2000		17 NCAC 5B .1112		None	July 1, 2000
Business and Nonbusiness Income Defined 17 NCAC 5C .0703 Business and Nonbusiness Income 17 NCAC 5C .2003 Interest and Penalties 17 NCAC 6B .0105 Reproduced Copies 17 NCAC 6B .0118 Electronic Filing of Individual Income Tax Returns 17 NCAC 6B .0605 Solar Energy Equipment Tax Credit None None July 1, 2000 None July 1, 2000 None July 1, 1999 Solar energy None July 1, 2000		Borrowed Capital Defined			
17 NCAC 5C .0703 None July 1, 2000			Business income	None	July 1, 2000
Business and Nonbusiness Income 17 NCAC 5C .2003 Interest and Penalties 17 NCAC 6B .0105 Reproduced Copies 17 NCAC 6B .0118 Electronic Filing of Individual Income Tax Returns 17 NCAC 6B .0605 Solar Energy Equipment Tax Credit None July 1, 2000 None July 1, 1999 None July 1, 1999 None July 1, 2000				None	Tuly 1, 2000
17 NCAC 5C .2003 None July 1, 2000	:			None	July 1, 2000
Interest and Penalties 17 NCAC 6B .0105 Reproduced Copies 17 NCAC 6B .0118 Interest and Penalties None Solar energy Equipment Tax Credit None None July 1, 2000 None July 1, 1999 Solar energy None July 1, 2000				None	July 1, 2000
Reproduced Copies 17 NCAC 6B .0118 Electronic Filing of Individual Income Tax Returns 17 NCAC 6B .0605 Solar Energy Equipment Tax Credit Solar Energy Equipment Tax Credit					
17 NCAC 6B .0118 Electronic Filing of Individual Income Tax Returns 17 NCAC 6B .0605 Solar Energy Equipment Tax Credit None July 1, 1999 None July 1, 2000		17 NCAC 6B .0105	Copies	None	July 1, 2000
Electronic Filing of Individual Income Tax Returns 17 NCAC 6B .0605 Solar energy None July 1, 2000 Solar Energy Equipment Tax Credit		į			
17 NCAC 6B .0605 Solar energy Solar energy Equipment Tax Credit		,		None	July 1, 1999
Solar Energy Equipment Tax Credit			9.1	None	July 1, 2000
			Solar energy	none	July 1, 2000
1 / IYUNU UD JTUU IYUIYUI IYUIYUI		17 NCAC 6B .3408	Waiver	None	July 1, 2000
Waiver of Time Limitation		1			

AGENCY	RULE#/IIIILE	Subject Subject	Fiscal Impact	Proposed Effective Date
	17 NCAC 6B .3503 partnership Returns	Partnerships	None	July 1, 2000
	17 NCAC 7B .0104 Returns	General	None	July 1, 2000
	17 NCAC 7B .0118		None	July 1, 2000
	Change in Ownership 17 NCAC 7B .0123 Commercial Fishermen		None	July 1, 2000
	17 NCAC 7B .0124 Erroneous Information		None	July 1, 2000
	17 NCAC 7B .0125 Flea Markets		None	July 1, 2000
	17 NCAC 7B .0207 Purchases by Manufacturers	Manufacturers	None	July 1, 2000
	17 NCAC 7B .1401 Prescription Medicine and Drugs	Drugs	None	July 1, 2000
::	17 NCAC 7B .1402 Medicines: Sales to Physicians	Medicine	None	July 1, 2000
	17 NCAC 7B .1404 Medical Supplies and Equipment		None	July 1, 2000
	17 NCAC 7B .1601 Institutions: Etc.	Nonprofit entities	None	July 1, 2000
	17 NCAC 7B .1602 Refunds to Nonprofit Entities		None	July 1, 2000
	17 NCAC 7B .1702 Refunds to Counties, Cities, Etc.	Refunds	None	July 1, 2000
	17 NCAC 7B .1801 Sales to and by Hospitals	Hospitals	None	July 1, 2000
	17 NCAC 7B .1802 Refunds to Hospitals and Similar Institutions		None	July 1, 2000
	17 NCAC 7B .1902 Secondhand Tires	Tires	None	July 1, 2000
·	17 NCAC 7B .2101 Electricity, Piped Natural Gas, and Other Fuel	Fuels	None	July 1, 2000

AGENCY	RULE#/TITLE	Subject	Fiscal Impact	Proposed Effective Date
	17 NCAC 7B .2213 Service Charge	Service charges	None	July 1, 2000
	17 NCAC 7B .2401 Sales to Veterinarians	Veterinarians	None	July 1, 2000
	17 NCAC 7B .2402 Sales by Veterinarians		None	July 1, 2000
	17 NCAC 7B .2801 Florist: Nurserymen: Greenhouse Operators	Retail sales	None	July 1, 2000
	17 NCAC 7B .2901 Sales through Vending Machines	Vending machines	None	July 1, 2000
	17 NCAC 7B .2903 Exclusion of Tax from Receipts		None	July 1, 2000
	17 NCAC 7B .3001 Trade-Ins	Trade-ins	None	July 1, 2000
	17 NCAC 7B .3004 Secondhand Property		None	July 1, 2000
·	17 NCAC 7B .3009 Trade-Ins: Transfer to New Business		None	July 1, 2000
	17 NCAC 7B .3010 Trade-Ins on Exempt Sales		None	July 1, 2000
	17 NCAC 7B .3013 Used Parts from Junked Property		None	July 1, 2000
	17 NCAC 7B .3204 Cellular Telephone Companies	Telephones	None	July 1, 2000
	17 NCAC 7B .4301 Refunds to Interstate Carriers	Interstate carriers	None	July 1, 2000
	17 NCAC 7B .4303 Refund Claims: Limitations		None	July 1, 2000
	17 NCAC 9I .0302 Refunds	Refunds	None	July 1, 2000
	17 NCAC 9K .0601 Records of Exempt Sales		None	July 1, 2000
	17 NCAC 9K .0602 Record-Keeping Requirements	Bulk-end users	None	July 1, 2000

AGENCY	RULE#/TITLE	Subject	Fiscal Impact	Proposed Effective Date
	17 NCAC 9L .0403 Reporting Sales and Deliveries	Propane gas suppliers	None	July 1, 2000
	17 NCAC 10 .0101 Location	General	None	July 1, 2000
; !	17 NCAC 10 .0405 Procedure for Claiming Exclusion	Procedures	None	July 1, 2000
	17 NCAC 10 .0504 Certification Requirements for County Assessors	Assessors	None	July 1, 2000
	17 NCAC 10 .0505 Continuing Education Requirements		None	July 1, 2000
SECRETARY OF STATE				
: .	18 NCAC 6 .1212 Notice Filing Procedures for Offerings	Notices	None	August 1, 2000
	18 NCAC 6 .1304 Securities Registration and Filing Fees	Fees	None	August 1, 2000
	18 NCAC 6 .1502 Application to Exchange Securities	Securities	None	August 1, 2000
TRANSPORTATION, DEPARTM	ENT OF			
DIVISION OF HIGHWAYS	19A NCAC 2D .0415 General Regulations for Drawbridges	Drawbridges	None	August 1, 2000
	19A NCAC 2E .0201 Definitions for Outdoor Advertising Control	Advertising control	None	August 1, 2000
:	19A NCAC 2E .0202 Agreement		None	August 1, 2000
	19A NCAC 2E .0203 Outdoor Advertising on Interstate and Federal High		Local	August 1, 2000
# :	19A NCAC 2E .0206 Applications		None	August 1, 2000
:	19A NCAC 2E .0207 Fees and Renewals		None	August 1, 2000
	19A NCAC 2E .0208 Permit and Permit Emblem		None	August 1, 2000
	19A NCAC 2E .0209 Transfer of Permit/Change of Address		None	August 1, 2000

AGENCY	RULÉ#/TIŢĹE	Subject	Fiscal Impact	Proposed Effective Date
DIVISION OF HIGHWAYS	19A NCAC 2E .0210		None	August 1, 2000
	Revocation of Permit 19A NCAC 2E .0211 Notice Given for Refusing to Issue Permit		None	August 1, 2000
	19A NCAC 2E .0212 Notice Given for Revoking Permit		None	August 1, 2000
	19A NCAC 2E .0213 Appeal of Decision of District Engineer		None	August 1, 2000
:	19A NCAC 2E .0214 Standards for Directional Signs		None	August 1, 2000
	19A NCAC 2E .0215 Permits for Directional Signs		State	August 1, 2000
:	19A NCAC 2E .0221 Fees	Initial fees	None	August 1, 2000
	19A NCAC 2E .0222 Contracts with the Department		None	August 1, 2000
	19A NCAC 2E .0224 Scenic Byways		None	August 1, 2000
	19A NCAC 2E .0225 Repair/Maintenance/Alteration of Signs		None	August 1, 2000
; ; ;	19A NCAC 2E .0226 Order to Stop Work		None	August 1, 2000
	19A NCAC 2E .0602 Requests for Permits	Applications	None	August 1, 2000
	19A NCAC 2E .0603 Issuance or Denial of Permit	Applications	None	August 1, 2000
,	19A NCAC 2E .0604 Conditions of Permit		None	August 1, 2000
DIVISION OF MOTOR VEHICLES	19A NCAC 3G .0203 School Bus Driver Certificates	Scope of rules	None	August 1, 2000
	19A NCAC 3G 0205 Issuing of Original Certificate		None	August 1, 2000
-	19A NCAC 3G .0206 Period of Certification		None	August 1, 2000

AGENCY	RULE#/TITLE	Subject	Fiscal Impact	Proposed Effective Date
DIVISION OF MOTOR VEHICLES	19A NCAC 3G .0207 Renewal of Certification		None	August 1, 2000
	19A NCAC 3G .0209 Cancellation of Certification		None	August 1, 2000
	19A NCAC 3G .0213 Renewal of Certification After Cancellation		None	August 1, 2000
	19A NCAC 3I .0207 Branch Offices	Applications	None	August 1, 2000
	19A NCAC 3I .0301 General Provisions	Provisions	None	August 1, 2000
	19A NCAC 3I .0302 Office		None	August 1, 2000
	19A NCAC 3I .0307 Courses of Instruction		None	August 1, 2000
	19A NCAC 3I .0401 Vehicle Equipment	Equipment	None	August 1, 2000
	19A NCAC 3I .0402 Registration: Insurance: Inspection		None	August 1, 2000
	19A NCAC 3I .0501 Requirements	Driver training school	None	August 1, 2000
	19A NCAC 3I .0601 Requirements	Contracts	None	August 1, 2000
	19A NCAC 3I .0701 Bonds	Schools	None	August 1, 2000
	19A NCAC 3I .0804 Probationary Period	Schools and instructors	None	August 1, 2000
STATE BOARDS			-3	
N C ACUPUNCTURE LICENSING BOARD	21 NCAC 1 .0101 Qualifications for Licensure	Licensure	None	July 1, 2000
N C BOARD OF ARCHITECTURE	21 NCAC 2 .0302 Written Examination	Examinations	None	July 1, 2000
	21 NCAC 2 .0303 Registration by Reciprocity without Written Exam		None	July 1, 2000

AGENCY	RULE#ITHLE	Subject	Fiscal Impact	Proposed Effective Date
N C AUCTIONEERS COMMISSION	21 NCAC 4B .0102 Board Office	General	None	June 1, 1999
N C STATE BOARD OF CHIROPRACTIC EXAMINERS	21 NCAC 10 .0203 Examinations	Examinations	None	January 1, 2000
N C BOARD OF EMPLOYEE ASSISTANCE PROFESSIONALS	21 NCAC. 11 .0101 Scope	Administration	State, local	August 1, 2000
	21 NCAC 11 .0104 License Application		State, local	August 1, 2000
	21 NCAC 11 .0105 Transcripts and Other Supporting Documents		State, local	August 1, 2000
	21 NCAC 11 .0106 Review of Applications		State, local	August 1, 2000
	21 NCAC 11 0107 Notice of Denial of Initial Application		State, local	August 1, 2000
	21 NCAC 11 .0108 Disciplinary Action/Hearing		State, local	August 1, 2000
	21 NCAC 11 .0109 Curricula and Minimum Standards for Training		State, local	August 1, 2000
	21 NCAC 11 .0110 Ethical Standards		State, local	August 1, 2000
	21 NCAC 11 .0111 Fees		State, local	August 1, 2000
:	21 NCAC 11 .0112 Penalties	·	State, local	August 1, 2000
N C LICENSING BOARD FOR GENERAL CONTRACTORS	21 NCAC 12 .0202 Classification	Classifications	None	August 1, 2000
	21 NCAC 12 0204 Eligibility	Licenses	None	August 1, 2000
	21 NCAC 12 .0205 Filing Deadline/App Seeking Qual		None	August 1, 2000
	21 NCAC 12 .0209 Application		None	August 1, 2000
	21 NCAC 12 .0307 Notice of Approval	Examination	None	August 1, 2000

AGENCY	RULE #/TITLE	Subject	Fiscal Impact	Proposed Effective Date
N C LICENSING BOARD FOR GENERAL CONTRACTORS	21 NCAC 12 .0402 Subject Matter	Examinations	None	August 1, 2000
	21 NCAC 12 .0405 Examination Schedule		None	August 1, 2000
:	21 NCAC 12 .0410 Failing Examination		None	August 1, 2000
	21 NCAC 12 .0504 Increase in Limitation	General	None	August 1, 2000
	21 NCAC 12 .0901 Definitions	Definitions	None	August 1, 2000
:	21 NCAC 12 .0907 Homeowners Recovery Fund Hearing		None	August 1, 2000
COSMETIC ART EXAMINERS, STATE BOARD OF	21 NCAC 14A .0101 Definitions	General	None	August 1, 2000
	21 NCAC 14A .0103 Office Hours		None	August 1, 2000
	21 NCAC 14A .0105 Purpose and Responsibility		None	August 1, 2000
	21 NCAC 14C 0202 Prerequisites	Hearings	None	August 1, 2000
	21 NCAC 14F .0101 Applications for Salon License	Salons	None	August 1, 2000
	21 NCAC 14F .0105 Newly Established Residential Salons		None	August 1, 2000
	21 NCAC 14G .0103 Space Requirements	Schools	None	August 1, 2000
	21 NCAC 14H .0112 Cleanliness of Clinic Area	Clinic area	None	August 1, 2000
:	21 NCAC 14H 0118 Systems of Grading Beauty Establishment		None	August 1, 2000
	21 NCAC 14I .0104 Withdrawals	General	None	August 1, 2000
	21 NCAC 14I .0107 Report of Enrollment		None	August 1, 2000

AGENCY	RULE #TITLE	Subject Subject	Fiscal Impact	Proposed Effective Date
COSMETIC ART EXAMINERS, STATE BOARD OF	21 NCAC 14I .0109 Summary of Cosmetic Art Education		None	August 1, 2000
	21 NCAC 14J .0103 Time Requirements According to Hours	Requirements	None	August 1, 2000
	21 NCAC 14J .0208 Internships	Internship program	None	August 1, 2000
	21 NCAC 14J .0501 Approval of Credit for Cosmetology Instructor	Instruction	None	August 1, 2000
	21 NCAC 14K .0102 Course of Study	Manicurists	None	August 1, 2000
	21 NCAC 14K .0107 Live Model Performances		None	August 1, 2000
	21 NCAC 14L 0101 Qualifications-Cosmetologists Teachers	Qualifications	None	August 1, 2000
	21 NCAC 14L .0105 Qualifications-Manicurists Teachers		None	August 1, 2000
	21 NCAC 14L .0216 Teacher Training Curriculum	Training	None	August 1, 2000
	21 NCAC 14N .0101 Time and Place of Examinations	Examinations	None	August 1, 2000
	21 NCAC 14N .0102 Initial Applications and Fees		None	August 1, 2000
	21 NCAC 14N .0103 General Examination Instructions		None	August 1, 2000
	21 NCAC 14N .0104 Live Model Requirements		None	August 1, 2000
	21 NCAC 14N .0105 Mannequin Requirements		None	August 1, 2000
	21 NCAC 14N .0108 Failure to Appear for Examination		None	August 1, 2000
·	21 NCAC 14N .0110 Passing Grade for Examination		None	August 1, 2000
	21 NCAC 14N .0112 Review of Examination		None	August 1, 2000

AGENCY	RULE#TITLE	Subject	Fiscal Impact	Proposed Effective Date
COSMETIC ART EXAMINERS, STATE BOARD OF	21 NCAC 14N .0113 Re-Examination		None	August 1, 2000
:	21 NCAC 14N .0601 Examination Theory Section	Examinations	None	August 1, 2000
;	21 NCAC 14N .0602 Examination Practical Section		None	August 1, 2000
	21 NCAC 14N .0701 Examination Theory Section	Examinations	None	August 1, 2000
	21 NCAC 14N .0702 Examination Practical Section		None	August 1, 2000
,	21 NCAC 140 .0101 Uniforms	Requirements	None	August 1, 2000
	21 NCAC 140 .0102 Course of Study		None	August 1, 2000
	21 NCAC 140 .0103 Equipment and Instruments		None	August 1, 2000
	21 NCAC 14O .0104 Services Performed		None	August 1, 2000
	21 NCAC 14O .0106 Live Model Performances		None	August 1, 2000
	21 NCAC 140 .0107 Sanitation		None	August 1, 2000
	21 NCAC 14P .0101 Schedule of Civil Penalties	Civil penalties	None	August 1, 2000
	21 NCAC 14P .0102 Qualifications for Licensing Teachers		None	August 1, 2000
	21 NCAC 14P .0103 Temporary Employment Permit		None	August 1, 2000
	21 NCAC 14P .0104 Licensing of Cosmetic Art Shops		None	August 1, 2000
	21 NCAC 14P .0105 Renewals: Expired Licenses		None	August 1, 2000
:	21 NCAC 14P .0106 Licenses Required		None	August 1, 2000

AGENCY	RULE WITHUE	Subjecti	ifiseal Impaet	Proposed Effective Date
COSMETIC ART EXAMINERS, STATE BOARD OF	21 NCAC 14P .0107 Licenses to be Posted		None	August 1, 2000
STATE BOARD OF	21 NCAC 14P .0108 Revocation of Licenses and Other Disciplinary Meas		None	August 1, 2000
	21 NCAC 14P .0109 Inspections		None	August 1, 2000
:	21 NCAC 14P .0110 Licensing of Beauty Salons		None	August 1, 2000
	21 NCAC 14P .0111 Establishment of Cosmetic Art Schools		None	August 1, 2000
	21 NCAC 14P .0112 Sanitary Ratings		None	August 1, 2000
	21 NCAC 14P .0113 Operations of Schools of Cosmetic Art		None	August 1, 2000
	21 NCAC 14P .0114 Cosmetology Curriculum		None	August 1, 2000
	21 NCAC 14P .0115 Sanitary Ratings		None	August 1, 2000
	21 NCAC 14P .0116 Civil Penalty Procedures		None	August 1, 2000
DENTAL EXAMINERS, BOARD OF	21 NCAC 16G .0101 Functions Which May Be Delegated	Delegations	None	August 1, 2000
	21 NCAC 16G .0102 Functions Which Shall not be Delegated		None	August 1, 2000
	21 NCAC 16G .0103 Procedures Prohibited		None	August 1, 2000
	21 NCAC 16H .0103 Dental Assistant II	Assistants	None	August 1, 2000
:	21 NCAC 16H .0104 Approved Education & Training Programs		None	August 1, 2000
:	21 NCAC 16H .0201 General Permitted Functions of Dental Assistant I	Functions	None	August 1, 2000
	21 NCAC 16H .0202 Specific Permitted Functions		None	August 1, 2000

AGENCY	RULE #/TITLE	Subject	Fiscal Impact	Proposed Effective Date
DENTAL EXAMINERS, BOARD OF	21 NCAC 16H .0203 Permitted Functions of Dental Assistant II		None	August 1, 2000
:	21 NCAC 16H .0204 General Prohibited Functions of Dental Assistants		None	August 1, 2000
:	21 NCAC 16H .0205 Specific Prohibited Functions of Dental Assistants		None	August 1, 2000
:	21 NCAC 16M .0101 Dentists	Fees	None	August 1, 2000
	21 NCAC 16Q .0201 Credentials and Permit	Permits	None	August 1, 2000
: 1	21 NCAC 16Q .0202 Equipment		None	August 1, 2000
	21 NCAC 16Q .0301 Sedation Credentials and Permit	Sedation	None	August 1, 2000
	21 NCAC 16Q .0302 Equipment		None	August 1, 2000
	21 NCAC 16V .0101 Definition	Definitions	None	August 1, 2000
÷	21 NCAC 16V .0102 Definition		None	August 1, 2000
N C BOARD OF REGISTRATION FOR FORESTERS	21 NCAC 20 .0101 Purpose of Program	Registration	None	August 1, 2000
:	21 NCAC 20 .0103 Qualifications for Registration		None	August 1, 2000
:	21 NCAC 20 .0104 Examinations		None	August 1, 2000
	21 NCAC 20 .0105 References		None	August 1, 2000
	21 NCAC 20 .0106 Registration Fees		None	August 1, 2000
	21 NCAC 20 .0117 Reciprocity		None	August 1, 2000
	21 NCAC 20 .0120 Certification of Consulting Foresters		None	August 1, 2000

AGENCY	RULE#/TITLE	Subject:	Fiscal Impact	Proposed Effective Date
N C BOARD OF REGISTRATION FOR FORESTERS	21 NCAC 20 .0122 Handling of Complaints		None	August 1, 2000
	21 NCAC 20 .0123 Continuing Education		None	August 1, 2000
N C BOARD FOR LICENSING OF GEOLOGISTS	21 NCAC 21 .0501 Filing of Charges	Disciplinary action and procedures	None	August 1, 2000
!	21 NCAC 21 .0502 Reprimand		None	August 1, 2000
	21 NCAC 21 .0514 Investigation		None	August 1, 2000
	21 NCAC 21 .0515 Disciplinary Procedure		None	August 1, 2000
	21 NCAC 21 .1101 Rules of Professional Conduct	Professional conduct	None	August 1, 2000
	21 NCAC 21 .1102 Rules of Conduct of Advertising		None	August 1, 2000
N C BOARD OF LANDSCAPE ARCHITECTS	21 NCAC 26 .0101 Authority: Name and Location of Board	Authority	Local	August 1, 2000
	21 NCAC 26 .0104 Forms		Local	August 1, 2000
	21 NCAC 26 .0105 Fees		Local	August 1, 2000
	21 NCAC 26 .0302 Temporary Permit	Permits	Local	August 1, 2000
N C MEDICAL BOARD	21 NCAC 32R .0101 Continuing Medical Education Required	CME requirements	None	January 1, 2001
	21 NCAC 32R .0102 Approved Categories of CME		None	January 1, 2001
	21 NCAC 32R .0103 Exceptions		None	January 1, 2001
	21 NCAC 32R 0104 Reporting		None	January 1, 2001
MIDWIFERY JOINT COMMITTEE	21 NCAC 33 .0101 Administrative Body and Definitions	Administration	None	July 1, 2000

AGENCY	RULE#/TIRLE	Subject	Fiscal Impact	Proposed Effective Date
MIDWIFERY JOINT COMMITTEE	21 NCAC 33 .0102 Fees		None	July 1, 2000
	21 NCAC 33 .0104 Physician Supervision		None	July 1, 2000
N C BOARD OF NURSING	21 NCAC 36 .0213 Reexamination	Examinations	None	August 1, 2000
	21 NCAC 36 .0220 Refresher Course	Licensure	None	July 1, 2000
	21 NCAC 36 .0221 Licensure Required		None	July 1, 2000
	21 NCAC 36 .0227 Approval and Practice Parameters for Nurse Prac.		None	July 1, 2000
:	21 NCAC 36 .0318 Faculty	Faculty members	None	July 1, 2000
	21 NCAC 36 .0404 Listing and Renewal	Nurse aides	None	July 1, 2000
i	21 NCAC 36 .0405 Approval of Nurse Aide Education Programs		None	July 1, 2000
	21 NCAC 36 .0701 Definitions of Terms in the Compact	Nurse licensure compact	None	July 1, 2000
	21 NCAC 36 .0702 Issuance of a License by a Compact Party State		None	July 1, 2000
-	21 NCAC 36 .0703 Limitations on Multistate Licensure Privilege		None	July 1, 2000
	21 NCAC 36 .0704 Information System		None	July 1, 2000
	21 NCAC 36 .0705 Party State Licensure Requirements		None	July 1, 2000
N C STATE BOARD OF EXAMINERS FOR NURSING HOME	21 NCAC 37D .0202 Initial Licensure Fee	Licensure	None	July 1, 2000
	21 NCAC 37D .0302 Combination of Education and Experience	Requirements	None	July 1, 2000
	21 NCAC 37D .0303 Required Course		None	July 1, 2000

AGENCY	RULE#TITLE	Sübjact	Fiscal Impact	Proposed Effective Date
N C STATE BOARD OF EXAMINERS FOR NURSING HOME	21 NCAC 37D .0403 Training Permit	AIT programs	None	July 1, 2000
	21 NCAC 37D .0405 Administrator-in-Training Program		None	July 1, 2000
	21 NCAC 37D .0407 AIT Time on the Job		None	July 1, 2000
	21 NCAC 37D .0502 Preceptor Qualifications	Preceptors	None	July 1, 2000
	21 NCAC 37D .0504 Preceptor Compliance		None	July 1, 2000
	21 NCAC 37D .0601 Eligibility	Eligibility	None	July 1, 2000
	21 NCAC 37D .0603 National Examination Administration		None	July 1, 2000
	21 NCAC 37D .0605 Subject Areas		None	July 1, 2000
	21 NCAC 37D .0701 Eligibility	Examinations	None	July 1, 2000
	21 NCAC 37D .0704 Grade Required		None	July 1, 2000
	21 NCAC 37E .0101 Application Process	Application	None	July 1, 2000
	21 NCAC 37E .0102 Application Contents		None	July 1, 2000
	21 NCAC 37E .0102 Application Contents		None	July 1, 2000
	21 NCAC 37F .0101 Prerequisites for Temporary License	Licenses	None	July 1, 2000
	21 NCAC 37F .0102 Issuance and Renewal of Temporary License		None	July 1, 2000
	21 NCAC 37F .0102 Issuance and Renewal of Temporary License		None	July 1, 2000
	21 NCAC 37G .0102 Renewal Fee	Applications	None	July 1, 2000

AGENCY	RULE#/TITLE	Subject	Fiscal Impact	Proposed Effective Date
N C STATE BOARD OF EXAMINERS FOR NURSING HOME	21 NCAC 37G .0201 Inactive Status Requirements	Requirements	None	July 1, 2000
	21 NCAC 37G .0201 Inactive Status Requirements	Administrators	None	July 1, 2000
:	21 NCAC 37H 0102 Continuing Education Programs of Study		None	July 1, 2000
	21 NCAC 37H .0102 Continuing Education Programs of Study	Programs of study	None	July 1, 2000
	21 NCAC 37H .0104 Preceptor Credit		None	July 1, 2000
1	21 NCAC 37I .0101 Investigation: Discipline: and Contested Case Proc	Investigations	None	July 1, 2000
N C BOARD OF PHARMACY	21 NCAC 46 .1413 Absence of Pharmacist		None	August 1, 2000
	21 NCAC 46 .1508 Prerequisites for Disease State Management Exam	Examinations	None	August 1, 2000
	21 NCAC 46 .1804 Prescription: Receiving and Dispensing	Prescriptions	None	April 1, 1999
:	21 NCAC 46 .1814 Automated Dispensing or Drug Supply Devices	Dispensing	None	August 1, 2000
	21 NCAC 46 .1815 Emergency Prescription Refill	Refills	None	August 1, 2000
	21 NCAC 46 .1816 Procedures for Centralized Processing		None	August 1, 2000
N C BOARD OF PHYSICAL THERAPY EXAMINERS	21 NCAC 48F .0102 Fees	Fees	None	August 1, 2000
STATE BOARD OF EXAMINERS OF PLUMBING, HEATING, AND FIRE	21 NCAC 50 .0304 Special Examinations	Examinations	None	August 1, 2000
	21 NCAC 50 .0310 Applications for Licensure by Reciprocity		None	August 1, 2000
	21 NCAC 50 .0402 Permits	Permits	None	August 1, 2000
	21 NCAC 50 .0404 Active Employment		None	August 1, 2000

AGENCY	RULE#/IIITLE	Subject	Fiscal Impact	Proposed Effective Date
STATE BOARD OF EXAMINERS OF PLUMBING, HEATING, AND FIRE	21 NCAC 50 .0406 Responsibility of Licensed Person		None	August 1, 2000
	21 NCAC 50 .0412 Guidelines on Disciplinary Actions		None	August 1, 2000
	21 NCAC 50 .0501 Air Conditioning Further Defined	General	None	August 1, 2000
	21 NCAC 50 .0508 Heating: License Required Alterations		None	August 1, 2000
	21 NCAC 50 .0512 Employees Exempted from Licensure		None	August 1, 2000
	21 NCAC 50 .1001 Right to Hearing	Hearings	None	August 1, 2000
	21 NCAC 50 .1004 Notice of Hearing		None	August 1, 2000
	21 NCAC 50 .1006 Informal Procedures		None	August 1, 2000
	21 NCAC 50 .1007 Petition for Intervention		None	August 1, 2000
	21 NCAC 50 .1008 Types of Intervention		None	August 1, 2000
	21 NCAC 50 .1009 Disqualification of Board Members		None	August 1, 2000
	21 NCAC 50 .1010 Subpoenas		None	August 1, 2000
	21 NCAC 50 .1011 Witnesses		None	August 1, 2000
	21 NCAC 50 .1013 Proposals for Decision		None	August 1, 2000
	21 NCAC 50 .1014 Administrative Hearing Procedures		None	August 1, 2000
	21 NCAC 50 .1101 Examination Fees	Fees	None	August 1, 2000
	21 NCAC 50 .1204 Notice of Rulemaking Hearings	Rulemaking	None	August 1, 2000

AGENCY	RULE #/TITLE ************************************	Subject	Fiscal Impact	Proposed Effective Date
STATE BOARD OF EXAMINERS OF PLUMBING, HEATING, AND FIRE	21 NCAC 50 .1205 Notice of Mailing List		None	August 1, 2000
Thomasing, married, married	21 NCAC 50 .1206		None	August 1, 2000
:	Additional Information			4 41 2000
	21 NCAC 50 .1210 Written Submissions		None	August 1, 2000
	21 NCAC 50 .1212		None	August 1, 2000
:	Statement of Reasons for Decision 21 NCAC 50 .1213		None	August 1, 2000
	Record of Proceedings		1,0110	
	21 NCAC 50 .1214 Temporary Rules		None	August 1, 2000
N C PSYCHOLOGY BOARD	21 NCAC 54 .2704 HSP-P Requirements	Requirements	None	August 1, 2000
	21 NCAC 54 .2706 HSP-PA Requirements		None	August 1, 2000
N C BOARD OFEXAMINERS OF ENGINEERS AND SURVEYORS	21 NCAC 56 .0101 Identification	Organization of board	None	August 1, 2000
	21 NCAC 56 .0103 Organization		None	August 1, 2000
:	21 NCAC 56 .0104 Description of Seal		None	August 1, 2000
!	21 NCAC 56 .0402 Records of Applications	Registration	None	August 1, 2000
	21 NCAC 56 .0501 Requirements for Licensing	Licensing	None	August 1, 2000
,	21 NCAC 56 .0502 Application Procedure: Individual		None	August 1, 2000
	21 NCAC 56 .0505 Expirations and Renewals of Certificates		None	August 1, 2000
	21 NCAC 56 .0601 Requirements for Licensing	Education requirements	None	August 1, 2000
	21 NCAC 56 .0602 Application Procedure: Individual		None	August 1, 2000

AGENCY	RULE#/TITLE	Subject	Fiscal Impact	Proposed Effective Date
N C BOARD OFEXAMINERS OF ENGINEERS AND SURVEYORS	21 NCAC 56 .0606 Expirations and Renewals of Certificates		None	August 1, 2000
	21 NCAC 56 .0701 Rules of Professional Conduct	Standards	None	August 1, 2000
	21 NCAC 56 .0702 Rules of Conduct of Advertising		None	August 1, 2000
	21 NCAC 56 .0802 Procedure		None	August 1, 2000
	21 NCAC 56 .0902 Titles of Business Entities		None	August 1, 2000
:	21 NCAC 56 .1001 General	Temporary permit	None	August 1, 2000
	21 NCAC 56 .1003 Seal		None	August 1, 2000
	21 NCAC 56 .1101 General	Seal	None	August 1, 2000
:	21 NCAC 56 .1102 Design		None	August 1, 2000
:	21 NCAC 56 1103 Standard Certification Requirements		None	August 1, 2000
:	21 NCAC 56 1104 Certification with Temporary Permit		None	August 1, 2000
	21 NCAC 56 .1105 Firm Seal		None	August 1, 2000
	21 NCAC 56 .1106 Certification of Standard Design		None	August 1, 2000
	21 NCAC 56 .1201 Petitions	Rulemaking procedures	None	August 1, 2000
	21 NCAC 56 .1203 Hearings		None	August 1, 2000
	21 NCAC 56 .1301 Improper Practice by a Licensee	General	None	August 1, 2000
	21 NCAC 56 .1302 Unlawful Practice by an Unlicensed Person		None	August 1, 2000

AGENCY	RULE #/TITLE	Subject,	Fiscal. Impact	Proposed Effective Date
N C BOARD OFEXAMINERS OF ENGINEERS AND SURVEYORS	21 NCAC 56 .1409 Conduct of Contested Case		None	August 1, 2000
:	21 NCAC 56 .1501 General	Fees	None	August 1, 2000
	21 NCAC 56 .1601 General	Standards	None	August 1, 2000
:	21 NCAC 56 .1602 Surveying Procedures		None	August 1, 2000
	21 NCAC 56 .1603 Classification of Boundary Surveys		None	August 1, 2000
	21 NCAC 56 .1604 Mapping Requirements		None	August 1, 2000
	21 NCAC 56 .1605 Classification of Topographic		None	August 1, 2000
	21 NCAC 56 .1606 Specifications for Topographic Surveys		None	August 1, 2000
	21 NCAC 56 .1607 Global Positioning System Surveys		None	August 1, 2000
	21 NCAC 56 .1608 Classification/Land Information System		None	August 1, 2000
	21 NCAC 56 .1609 Minimum Photogrammetric Production Standards		None	August 1, 2000
	21 NCAC 56 .1701 Introduction	Renewal	None	August 1, 2000
	21 NCAC 56 .1702 Definitions		None	August 1, 2000
	21 NCAC 56 .1703 Requirements		None	August 1, 2000
	21 NCAC 56 .1704 Units		None	August 1, 2000
	21 NCAC 56 .1705 Determiantion of Credit	·	None	August 1, 2000
	21 NCAC 56 .1706 Recordkeeping		None	August 1, 2000

AGENCY	RULE #/TITLE	Subject **	Fiscal Impact	Proposed Effective Date
N C BOARD OFEXAMINERS OF ENGINEERS AND SURVEYORS	21 NCAC 56 .1707 Exemptions		None	August 1, 2000
į	21 NCAC 56 .1708 Reinstatement		None	August 1, 2000
	21 NCAC 56 .1709 Comity-Out-of-Jurisdiction Resident		None	August 1, 2000
APPRAISAL BOARD	21 NCAC 57A .0305 Confidentiality of Examinations		None	April 1, 1999
REAL ESTATE COMMISSION, BOARD OF	21 NCAC 58A .0101 Proof of Licensure	General Brokerage	None	October 1, 2000
	21 NCAC 58A .0104 Agency Agreements and Disclosures		None	October 1, 2000
	21 NCAC 58A .0105 Advertising		None	October 1, 2000
	21 NCAC 58A .0106 Delivery of Instruments		None	October 1, 2000
	21 NCAC 58A .0107 Handling and Accounting of Funds		None	July 1, 2000
	21 NCAC 58A .0109 Brokerage Fees and Compensation		None	July 1, 2000
	21 NCAC 58A .0110 Broker-in-Charge		None	October 1, 2000
	21 NCAC 58A .0111 Drafting Legal Instruments		None	October 1, 2000
	21 NCAC 58A .0112 Offers and Sales Contracts		None	October 1, 2000
	21 NCAC 58A .0113 Reporting Criminal Convictions		None	July 1, 2000
	21 NCAC 58A .0114 Residential Pro[pretty Disclosure Statement		None	July 1, 2000
	21 NCAC 58A .0301 Form	Forms	None	July 1, 2000
	21 NCAC 58A .0302 Filing and Fees		None	October 1, 2000

AGENCY	RULE #/TITLE	Subject.	Fiscal Impact	Proposed Effective Date
REAL ESTATE COMMISSION, BOARD OF	21 NCAC 58A .0303		None	July 1, 2000
BOARD OF	Payment of Application Fees 21 NCAC 58A .0304 Experience Qualifications for Applicants		None	October 1, 2000
	21 NCAC 58A .0401 Time and Place for Examinations	Examinations	None	October 1, 2000
!	21 NCAC 58A .0402 Subject Matter and Passing Scores		None	July 1, 2000
	21 NCAC 58A .0403 Re-Applying for Examination		None	October 1, 2000
	21 NCAC 58A .0404 Cheating and Related Misconduct		None	July 1, 2000
	21 NCAC 58A .0406 Examination Review		None	October 1, 2000
; ;	21 NCAC 58A .0501 Character	Licensure	None	October 1, 2000
	21 NCAC 58A .0502 Business Entities		None	October 1, 2000
	21 NCAC 58A .0503 License Renewal		None	July 1, 2000
	21 NCAC 58A .0504 Active and Inactive License Status		None	October 1, 2000
	21 NCAC 58A 0505 Reinstatement of Expired License		None	July 1, 2000
	21 NCAC 58A .0506 Salesperson to be Supervised by Broker		None	October 1, 2000
	21 NCAC 58A .0510 Cancellation of Salesperson License		None	October 1, 2000
	21 NCAC 58A 0601 Complaints/Inquiries/Motions	Complaints	None	July 1, 2000
±	21 NCAC 58A .0610 Subpoenas		None	October 1, 2000
	21 NCAC 58A .0612 Presiding Officer		None	October 1, 2000

AGENCY	RULE#/IIILE	Subject	Fiscal Impact	Proposed Effective Date
REAL ESTATE COMMISSION, BOARD OF	21 NCAC 58A .0615		None	July 1, 2000
BOARD OF	Settlements 21 NCAC 58A .0902		None	October 1, 2000
	Requests for Rulings		None	October 1, 2000
:	21 NCAC 58A .1402 Multiple Claims	Claims	None	July 1, 2000
	21 NCAC 58A .1701 Purpose and Applicability	Continuing Education	None	October 1, 2000
	21 NCAC 58A .1702 Continuing Education Requirement		None	October 1, 2000
	21 NCAC 58A .1703 Continuing Education for License Activation		None	July 1, 2000
	21 NCAC 58A .1708 Equivalent Credit		None	July 1, 2000
	21 NCAC 58A .1709 Extension of Time		None	October 1, 2000
1	21 NCAC 58A .1711 Continuing Education Required		None	October 1, 2000
	21 NCAC 58B .0101 Application for Registration	Registration	None	July 1, 2000
	21 NCAC 58B .0102 Registration Fee		None	July 1, 2000
	21 NCAC 58B .0104 Amendments to Time Share		None	October 1, 2000
	21 NCAC 58B .0201 General Provisions	General	None	October 1, 2000
	21 NCAC 58B .0202 Public Offering Statement		None	October 1, 2000
3	21 NCAC 58B .0203 Receipt for Public Offering Statement		None	October 1, 2000
	21 NCAC 58B .0301 Proof of Cancellation	Cancellation	None	October 1, 2000
	21 NCAC 58B .0401 Retention of Time Share Records		None	October 1, 2000

AGENCY	RULE #ITILE	Subject	Fiscal Impact	Proposed Effective Date
REAL ESTATE COMMISSION, BOARD OF	21 NCAC 58B .0501		None	October 1, 2000
BOARD OF	Time Share Trust Funds			0.1.1.2000
	21 NCAC 58B .0602 Duties of the Project Broker		None	October 1, 2000
	21 NCAC 58C .0105		None	July 1, 2000
	Withdrawal or Denial of Approval		Tvolle	July 1, 2000
	21 NCAC 58C .0106		None	July 1, 2000
	Program Changes			
	21 NCAC 58C .0107 Use of Examination Performance Data		None	July 1, 2000
	21 NCAC 58C .0108 Student Evaluations of Instructor Performance		None	July 1, 2000
:	21 NCAC 58C .0207 Facilities and Equipment	Schools	None	July 1, 2000
:	21 NCAC 58C .0213 Performance Bond		None	July 1, 2000
	21 NCAC 58C .0214 Advertising and Recruitment Activities		None	July 1, 2000
	21 NCAC 58C .0217 License Renewal and Fees		None	July 1, 2000
:	21 NCAC 58C .0218 License Exam Confidentiality		None	July 1, 2000
	21 NCAC 58C 0220 Student Evaluations		None	July 1, 2000
	21 NCAC 58C .0302 Program Structuring	Programs	None	October 1, 2000
	21 NCAC 58C .0304 Course Completion Standards		None	July 1, 2000
:	21 NCAC 58C .0305 Course Scheduling		None	October 1, 2000
	21 NCAC 58C .0306 Textbooks		None	October 1, 2000
	21 NCAC 58C .0307 Real Estate Instructors		None	October 1, 2000

AGENCY	RULE #/TITLE	Subject -	Fiscal Impact	Proposed Effective Date
REAL ESTATE COMMISSION,	21 NCAC 58C .0310		None	October 1, 2000
BOARD OF	Course Records 21 NCAC 58C .0312 Exception for Persons with Disabilities		None	July 1, 2000
	21 NCAC 58C .0601 Purpose and Applicability	Pre-Licensing Instructors	None	October 1, 2000
	21 NCAC 58C .0602 Nature and Scope of Instructor Approval		None	October 1, 2000
	21 NCAC 58C .0603 Application and Criteria		None	October 1, 2000
	21 NCAC 58C .0604 Instructor Performance		None	October 1, 2000
:	21 NCAC 58C .0605 Requests for Examinations and Videotapes		None	October 1, 2000
	21 NCAC 58C .0606 Broker Course Reports		None	October 1, 2000
	21 NCAC 58C .0607 Expiration and Renewal		None	October 1, 2000
	21 NCAC 58C .0608 Denial or Withdrawal of Approval		None	October 1, 2000
	21 NCAC 58E .0102 Update Course Component	Requirements	None	July 1, 2000
	21 NCAC 58E .0202 Nature and Scope of Approval	Update courses	None	July 1, 2000
4	21 NCAC 58E .0204 Renewal of Approval		None	July 1, 2000
	21 NCAC 58E .0205 Denial or Withdrawal of Approval		None	July 1, 2000
	21 NCAC 58E .0302 Elective Course Component	Electives	None	October 1, 2000
	21 NCAC 58E .0304 Criteria for Elective Course Approval		None	July 1, 2000
	21 NCAC 58E .0310 Distance Education Courses		None	July 1, 2000

AGENCY	RULE#/TITLE	Subject.	Fiscal Impact	Proposed Effective Date
REAL ESTATE COMMISSION, BOARD OF	21 NCAC 58E .0406 Course Completion Reporting	Course sponsors	None	October 1, 2000
	21 NCAC 58E .0412 Denial or Withdrawal of Approval		None	July 1, 2000
	21 NCAC 58E .0511 Student Participation Standards		None	October 1, 2000
	21 NCAC 58E .0515 Accommodations for Persons with Disabilities		None	July 1, 2000
N C BOARD OF REFRIGERATION EXAMINERS	21 NCAC 60 .0102 Office of Board	General	None	July 1, 2000
	21 NCAC 60 .0207 Requirements for Examination Applicants	Exams	None	July 1, 2000
	21 NCAC 60 .0311 Permits	Permits	None	July 1, 2000
:	21 NCAC 60 .0316 Change of Address		None	July 1, 2000
: : :	21 NCAC 60 .1102 Preferring Charges	Refrigeration contractors	None	July 1, 2000
N C SOCIAL WORK CERTIFICATION AND LICENSURE	21 NCAC 63 .0102 Definitions		None	July 1, 2000
	21 NCAC 63 .0103 Prohibitions		None	July 1, 2000
	21 NCAC 63 .0201 Definitions	Certification	None	July 1, 2000
	21 NCAC 63 .0202 Application Process		None	July 1, 2000
	21 NCAC 63 .0205 Academic Qualifications		None	July 1, 2000
1	21 NCAC 63 .0206 Academic Exemptions		None	July 1, 2000
	21 NCAC 63 .0207 Comity		None	July 1, 2000
	21 NCAC 63 .0208 Application Fee		None	July 1, 2000

AGENCY III	RULE##THLE	Subject	Fiscal Impact	Proposed Effective Date
N C SOCIAL WORK	21 NCAC 63 .0209		None	July 1, 2000
CERTIFICATION AND LICENSURE	Eligibility 21 NCAC 63 .0211 Work Experience		None	July 1, 2000
	21 NCAC 63 .0212 Duplicate License or Certificates		None	July 1, 2000
	21 NCAC 63 .0213 Temporary Licenses		None	July 1, 2000
i	21 NCAC 63 .0302 Reporting of Scores		None	July 1, 2000
	21 NCAC 63 .0303 Retaking of Examination		None	July 1, 2000
	21 NCAC 63 .0304 Cancellation		None	July 1, 2000
	21 NCAC 63 .0305 Review of Examinations		None	July 1, 2000
:	21 NCAC 63 .0306 Examination Fees		None	July 1, 2000
	21 NCAC 63 .0402 Forms		None	July 1, 2000
	21 NCAC 63 .0403 Renewal Fees		None	July 1, 2000
	21 NCAC 63 .0404 Reinstatement		None	July 1, 2000
:	21 NCAC 63 .0501 Purpose and Scope	Ethical guidelines	None	July 1, 2000
	21 NCAC 63 .0509 Public Statements		None	July 1, 2000
	21 NCAC 63 .0602 Investigation		None	July 1, 2000
	21 NCAC 63 .0603 Notice of Charges and Hearings		None	July 1, 2000
	21 NCAC 63 .0604 Location of Hearing		None	July 1, 2000

AGENCY	* RULE#/TITLE	Subject	Fiscal Impact	Proposed Effective Date
N C SOCIAL WORK CERTIFICATION AND LICENSURE	21 NCAC 63 .0607		None	July 1, 2000
CERTIFICATION AND DICENSORE	Conduct of Hearing 21 NCAC 63 .0609		N I	T.1. 1. 2000
	Reporting of Disciplinary Actions		None	July 1, 2000
	21 NCAC 63 .0701 Petitions for Adoption of Rules	Adoption of rules	None	July 1, 2000
	21 NCAC 63 .0703 Temporary Rules		None	July 1, 2000
: : :	21 NCAC 63 .0704 Declaratory Rulings		None	July 1, 2000
	21 NCAC 63 .0801 Reporting Complaints	Disciplinary procedures	None	July 1, 2000
:	21 NCAC 63 .0802 Confidentiality		None	July 1, 2000
:	21 NCAC 63 .0803 Investigation		None	July 1, 2000
: :	21 NCAC 63 .0804 Notice of Hearing and Charges		None	July 1, 2000
:	21 NCAC 63 .0805 Informal Conference		None	July 1, 2000
	21 NCAC 63 .0806 Hearings		None	July 1, 2000
	21 NCAC 63 .0807 Decision of the Board		None	July 1, 2000
	21 NCAC 63 .0808 Summary Suspension		None	July 1, 2000
	21 NCAC 63 .0809 The Public Record		None	July 1, 2000
	21 NCAC 63 .0820 Disciplinary Actions		None	July 1, 2000
N C SUBSTANCE ABUSE PROFESSIONAL CERTIFICATION	21 NCAC 68 .0101 Definitions	General	None	August 1, 2000
	21 NCAC 68 .0203 Certification as Substance Abuse Counselor Intern	Certification	None	August 1, 2000

AGENCY	RULE#TITLE	Subject	Fiscal Impact	Proposed Effective Date
N C SUBSTANCE ABUSE PROFESSIONAL CERTIFICATION	21 NCAC 68 .0205 Certified Substance Abuse Counselor		None	August 1, 2000
	21 NCAC 68 .0206 Process for Prevention Consultant Certification		None	August 1, 2000
	21 NCAC 68 .0208 Continuing Education Required for Counselor		None	August 1, 2000
: :	21 NCAC 68 .0209 Reciprocity		None	August 1, 2000
·	21 NCAC 68 .0211 Process for Cinical Supervisor Certification		None	August 1, 2000
; ;	21 NCAC 68 .0212 Process for Residential Facility Director		None	August 1, 2000
	21 NCAC 68 .0213 Continuing Education Approval Policy		None	August 1, 2000
	21 NCAC 68 .0503 Competence	Ethical principles of conduct	None	August 1, 2000
i	21 NCAC 68 .0503 Competence	Competence	None	August 1, 2000
	21 NCAC 68 .0507 Client Welfare		None	August 1, 2000
	21 NCAC 68 .0509 Client Relationships		None	August 1, 2000
:	21 NCAC 68 .0511 Remuneration		None	August 1, 2000
	21 NCAC 68 .0601 Grounds for Professional Discipline	Grounds for discipline	None	August 1, 2000
:	21 NCAC 68 .0701 Hearing Before Board	Appeals process	None	August 1, 2000
:	21 NCAC 68 .0706 Who Shall Hear Contested Cases	Administrative hearings	None	August 1, 2000
N C STATE BOARD OF COMMU	NITY COLLEGES		L.,	
	23 NCAC 2B .0104 Mission of the Community College System	Mission	None	August 1, 2000

AGENCY	RULE#/IITLE / .	'Subject +	Fiscal Impact	Proposed F Effective Date
:	23 NCAC 2C .0503 Donated Property	Property	None	August 1, 2000
	23 NCAC 2C .0504 Acquisition of Equipment		None	August 1, 2000
:	23 NCAC 2C .0505 Noncertified Source Purchases		None	August 1, 2000
	23 NCAC 2D .0323 Reporting of Student Hours	Reporting	None	August 1, 2000
	23 NCAC 2D .0324 Reporting of Student tHours	Regularly scheduled classes	None	August 1, 2000
N C HOUSING FINANCE AGENC	Y			
	24 NCAC 1H .0103 Persons and Families of Moderate Income	General information	None	August 1, 2000
DEPARTMENT OF ADMINISTRA	ATION			• • • • • • • • • • • • • • • • • • • •
STATE PERSONNEL COMMISSION	25 NCAC 1B .0414 Situations in Which Attorney's Fees Awarded	Regulations	None	August 1, 2000
	25 NCAC 1B .0434 Discrimination		None	August 1, 2000
!	25 NCAC 1B .0437 Appeal to the State Personnel Commission		None	August 1, 2000
	25 NCAC 1C 0214 Unlawful Workplace Harassment	Harassment	None	August 1, 2000
	25 NCAC 1H .0602 Posting and Announcement of Vacancies	Vacancies	None	August 1, 2000
:	25 NCAC 1H .0605 Special Applicant Considerations		None	August 1, 2000
: :	25 NCAC 1H .0606 Selection of Applicants		None	August 1, 2000
1	25 NCAC 1J .0503 Minimum Procedural Requirements	Requirements	None	August 1, 2000
:	25 NCAC 1J .0506 Discrimination		None	August 1, 2000
	25 NCAC 1J .0603 Appeals	Appeals	None	August 1, 2000

AGENCY	RULE#/TITLE	s Subject	Fiscal Impact	Proposed Effective Date
STATE PERSONNEL COMMISSION	25 NCAC 1J .0603 Appeals		None	August 1, 2000
OFFICE OF ADMINISTRATIVE H	IEARINGS			
	26 NCAC 1 .0101 Location	General	None	August 1, 2000
	26 NCAC 1 .0103 Cost for Copies	Copies	State	August 1, 2000
	26 NCAC 2C .0103 Original and Duplicate Copy	General	None	August 1, 2000
	26 NCAC 2C .0105 Electronic Version		None	August 1, 2000
	26 NCAC 2C .0108 General Format Instructions	General	Local	August 1, 2000
	26 NCAC 2C .0303 Availability of the North Carolina Register	N C Register	State	August 1, 2000
	26 NCAC 2C .0306 Publication of Notice of Text		None	August 1, 2000
	26 NCAC 2C .0403 Submission for Permanent Rule Form	N C Administrative Code	None	August 1, 2000
	26 NCAC 3 .0101 General	Hearings division	None	August 1, 2000
	26 NCAC 3 .0119 Secure Leave Periods for Attorneys		None	August 1, 2000

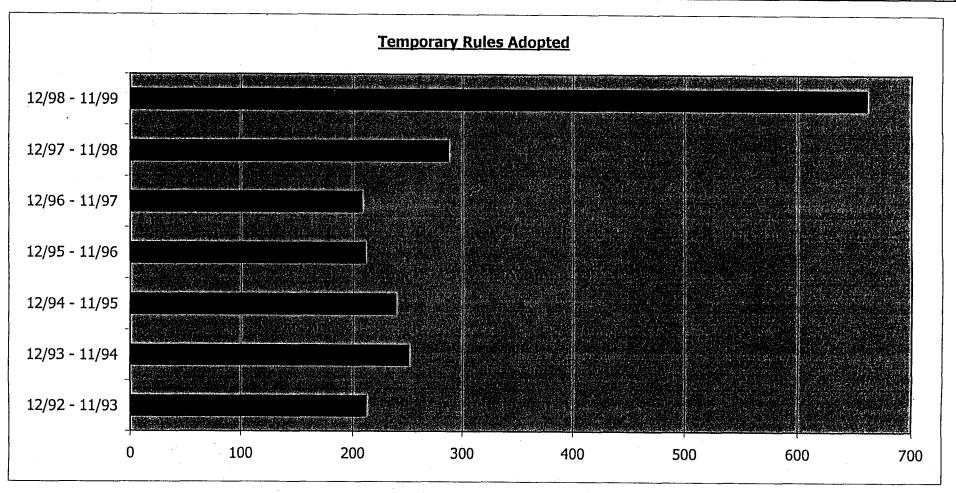
EXHIBIT D

- Temporary Rules Chart 12/92 11/99
- Temporary Rule-making Provisions by Agency
- Types of Temporary Rule-making Exceptions

SUMMARY OF ADOPTED TEMPORARY RULES PUBLISHED IN THE NC ADMINISTRATIVE CODE

December 1, 1992 - November 30, 1999

Year 12//9	2 111/93 12/9	8 - 11/94 12/	94 - 11/95 12/9	5 - 11/96 12/9	6 - 11/97 12/9	7 - 11/98 12/9	8 - (11/99
Temporaliy Rules Adopted	212	251	239	211	208	287	661



•

TEMPORARY RULE-MAKING PROVISIONS LIST (Bold type indicates the provision is still in effect.)

AGENCY	SUBJECT	DACE
i Isaguramajajadikleitateto toje ilitavar Iddeitaritetavisitejetavisi	(GENTCHY (CANNAMORTH A Tringero); LNRSY (Kampin -	PAGE
DENR/Environmental Management Commission	Dry-cleaning solvent contamination clean-up	10
DENR/Environmental Management Commission	Leaking underground storage tank clean-up	10
DENR/Well Contractors Certification Commission	Rules governing the certification of well contractors	12
DENR/Environmental Management Commission	Closure of low-risk leaking underground storage tanks	13
DENR/Environmental Management Commission	Neuse River nutrient sensitive waters management strategy	14-15
DENR/Sedimentation Control Commission	Neuse River nutrient sensitive waters management strategy	14-15
DHHS/Commission for Health Services	Rules regarding coffee nots and ice buckets in lodging establishments	19
Understand Period (o) Pump Daring Weige and	VGENOY CAN ADJOPT TEMPORARY ROLLES	17
Department of Administration	Driver's education certificates - non-public schools	Q
DENR/Marine Fisheries Commission	Fisheries Reform Act	10
DENR/Marine Fisheries Commission	Marine fisheries law revisions	16
DHHS/Secretary	Long-term care	20
DPI/State Board of Education	Driver's education certificates	20
Various agencies	Hurricane Floyd	21
THEMPORARY ROTHES MAY RIBMAIN INTERRECT LONG	PER THAN 270 DAYS	21
DENR/Environmental Management Commission	Underground storage tanks	8
Various agencies	Hurricane Floyd	21
AND DIGITION ALL BASES FOR ADOPTING TEMPORARY RO	JUES:	21
DENR/Marine Fisheries Commission	Crab pots for noncommercial purposes	9
DENR/Marine Fisheries Commission	Recreational bag and size limits for certain fish	9
DENR/Marine Fisheries Commission	Fishery management plans - Atlantic States Marine Fisheries Commission	10
DENR/Wildlife Resources Commission	Rules responding to rabies outbreaks	11
DENR/Marine Fisheries Appeals Panel	Operation of the Panel	14
DENR/Environmental Management Commission	Establishment of maximum loads or concentration limits for nitrogen and	14
	phosphorous discharges	
DENR/Coastal Resources Commission	Coastal energy facilities (until July 1, 2005)	16
DENR Environmental Management Commission	Basinwide water quality management plans for Cape Fear, Catawba, and	17
	Tar-Pamlico river basins (until July 1, 2001)	

AGENCY	SUBJECT	PAGE
DHHS/Medicaid	Medicaid estate recovery	171
DHHS/Medicaid	Maximization of federal funds, reduce expenditures, reduce fraud and abuse	17
DHHS	Changes to the Work First Program (if required by federal law)	18
DHHS/Health Services Commission	Newborn hearing screening	18
DHHS	Provider sponsored organization licensing for Medicare	19
Various agencies	Hurricane Floyd	21

TYPES OF TEMPORARY RULE-MAKING EXCEPTIONS

Extended Period of Time During Which an Agency Can Adopt a Temporary Rule to Implement a "Recent Act of the General Assembly"

The term "recent act" is not defined in the APA. The Office of Administrative Hearings has adopted an administrative rule (25 NCAC 2C .0102) defining "recent act" as 180 days from the effective date of the act."

- Gives agencies longer than 180 days to adopt a temporary rule based on a recent act of the General Assembly.
- Contains a sunset date.

Example: The "agency" may adopt temporary rules to implement this act until July 1, 2001. This act is effective July 1, 2000.

• # of Provisions Enacted 1995 – 1999:

7

• # of Provisions Still in Effect:

0

Indefinite Period of Time During Which an Agency Can Adopt a Temporary Rule to Implement at Recent Act of the General Assembly?

• Gives the agency an indefinite period of time during which to adopt temporary rules to implement a recent act of the General Assembly.

Example: The "agency" may adopt temporary rules to implement this act. This section shall continue in effect until all rules necessary to implement this act have become effective as either temporary or permanent rules.

of Provisions Enacted 1995 – 1999:

6

• # of Provisions Still in Effect:

6

Temporary Rules May Remain In Effect Longer Than 270 Days

Allows temporary rules adopted by agencies to remain in effect longer than 270 days.

Example: Notwithstanding G.S. 150B-21.1(d), temporary rules adopted to implement this act may remain in effect until the "agency" adopts permanent rules.

• # of Provisions Enacted 1995 – 1999:

2

of Provisions Still in Effect:

2

Additional Bases for Adopting Temporary Rules

Allows agencies to adopt temporary rules for reasons other than those in G.S. 150B-21.1(a).

Example: The "agency" may adopt temporary rules to implement G.S. XX-XXX.

• # of Provisions Enacted 1995 – 1999:

19

• # of Provisions Still in Effect:

16¹

¹ Five of these provisions are codified in G.S. 150B-21.1

		·
•		

EXHIBIT E

Agency Exemptions from the APA

AGENCY EXEMPTIONS FROM THE APA Chapter 150B of the General Statutes

		антариялий регултын Т);d(2)(0)(0);d(0);d(0);d(0);d(0);d(0);d(0);d(MC very gregory
A(C)D)N(C)Y near the second of	PURPOSE OF EXEMPTION I	RULE		(41(10)(6)(4)(b)
		MAKING		RIEWIEW
		Article.	Article 3 or 3A	Article 4
DEPT. OF ADMINISTRATION	Applies to issuances of easements for lands covered by water. (GS 146-12(i))	Exempt	Exempt	Exempt
Banking Commission	Applies to the supervisory acquisition of State association. (GS 53-17.1)	Exempt	Exempt	Exempt
BUILDING CODE COUNCIL ²	State Building Code	Exempt	Exempt	Exempt
DEPT. OF CORRECTION	Rules governing matters relating solely to	Exempt	Exempt	Not 1
	persons in its custody or under its			Exempt
	supervision, including prisoners,			73.45
	probationers, and parolees. (GS 150B-1(d)(6) & 150B-1(e)(7))			The second of th
EDUCATION, STATE BOARD				
ABC's Plan	Applies to rules directly implementing the	Partially	Not	Note:
	ABC's plan. (GS 115C-17)	Exempt ³	- Exempt	Exempt
Teacher Certification	Applies to policies establishing the minimum	Exempt	Not the	Not
	scores for the standard examinations and		Exempt.	Exempt :
	other measures necessary to assess the			SECTION SERVICES

Boldface type indicates the exemptions that are listed in the APA (GS 150B).

The Building Code Council is exempt from the APA because the State Building Code is not included in the definition of a "rule" in the APA. Specifically, rules are *not* "scientific, architectural, or engineering standards, forms, or procedures, including design criteria and construction standards used to construct or maintain highways, bridges, or ferries." Therefore, rather than having a specific exemption from the APA, the State Building Code is exempted by virtue of the APA definition of a rule.

³ For implementation of the ABC's plan, the State Board of Education is *exempt* from publishing the 60-day Notice of Rule-making Proceedings; preparation of a Substantial Economic Impact Statement; delayed effective dates; and opportunity for legislative review and disapproval. The text of rules proposed by the State Board of Education implementing the ABC's plan must still be published for at least 30 days, and the Board must accept public comment and hold a public hearing. The rules must also be approved by the Rules Review Commission, and upon approval by the Commission, may go into effect 5 days after the rule is delivered to the Office of Administrative Hearings.

REAL PROPERTY OF THE RESERVE OF THE PARTY OF		105/0B)(/12/0/0N)/0R(0)(()
AGENCY	PURPOSE OF EXPINIPTION	RUDE CONTESTED JUDICIAL
The party of the second	The state of the s	MAKING CASES REVIEW
CONTRACTOR AT LINE AT LANGE AT LANGE		Article Article 3 or Article 4
Committee of the commit	The state of the s	2A 3A

:	qualifications of professional personnel. (GS 115C-296)			Territoria
ELECTIONS, STATE BOARD	Applies to establishment of precinct boundaries. (GS 163-132.5B)	Exempt	Exempt	Exempt
EMPLOYMENT SECURITY COMMISSION	Applies to all rules. (GS 150B-1(c)(5))	Exempt	Exempt	Exempt
DEPT. OF ENVIRONMENT & NATURAL RESO	DURCES			
Education of Handicapped	Applies to rules for compliance with the procedural safeguards of the federal Education of the Handicapped Act. (GS 150B-1(e)(1)	Exempt	Exempt	Not Exempt
Marine Fisheries Commission	Applies to proclamations suspending or implementing any Commission rules that may be affected by variable conditions. (GS 113-221(a) – (e))	Exempt	Exempt	Exempt
Sea Grant Program	Applies to criteria adopted by the Sea Grant College Program to rank proposals for fishery resource grants (GS 113-200(e))	Exempt	Exempt 1	Exempt
• Secretary	Applies to warning tickets issued by forest rangers and forest law-enforcement in lieu of initiation of criminal violation for violations of forest laws. (GS 113-55.2(a))	Exempt	Not Exempt	Not Exempt
GLOBAL TRANSPARK AUTHORITY	Rules and hearings governing the acquisition, construction, operation, or use, including fees or charges, of any portion of a cargo airport complex. (GS 150B-1(d)(5))	Exempt	Exempt	Not Exempt
Hazardous Waste Mgmt. Comm.	Applies to rules implementing GS 130B-13, Facility construction and operation, and GS 130B-14, Technology, design capacity, and license application. (GS 150B-1(d)(3) & 150B-1(e)(4))	Exempt	Exempt	Not Exempt

		334300000000000000000000000000000000000
ALCOHOLOGICA CONCY OF THE PROPERTY OF THE PROP	PURPOSE OF EXAMPRICAN	RULE CONTESTED JUDICIAL
CONTROL OF THE PARTY OF THE PAR		MAKING CASES REVIEW
A CONTRACTOR OF THE PARTY OF TH	Suppose the state of the state	Article Article 3 or Article 4
	The second secon	2A 3A

DEPT. OF HEALTH & HUMAN SERVICES	. ~	*** ***		
• Dept.	Applies to hearings under federal Rehabilitation Act of 1973.	Not Exempt	Exempt	Not Exempt
• Dept.	Hearings regarding violent and assaultive children. (GS 150B-1(e)(11)	Not Exempt	Exempt	Not Exempt
Camp Butner	Applies to authority over Camp Butner. (GS 150B-1(c)(2))	Exempt	Exempt	Exempt
Education of Handicapped	Applies to rules for compliance with the procedural safeguards of the federal Education of the Handicapped Act. (GS 150B-1(e)(1)	Not Exempt	Exempt	Not Exempt
Commission for MH/DD/SAS	Applies to rules scheduling controlled substances that are also scheduled as controlled substances under federal regulation. (GS 90-88(d))	Exempt	Not Exempt	Not. Exempt
INDUSTRIAL COMMISSION	Applies to all rules. (GS 150B-1(c)(4))	Exempt	Exempt	Exempt
DEPARTMENT OF LABOR	Rules concerning an occupational safety and health standard that are identical to the federal regulation. (GS 150B-21.5(c))	Exempt	Not Exempt	Not Exempt
LOW-LEVEL RADIOACTIVE WASTE MGMT. AUTHORITY	Applies to rules adopted to administer GS 104G-10 and GS 104G-11. (NOTE: These statutes have been repealed eff. July 1, 2000 (SL 99-357)) (GS 150B-1(d)(3) & 150B-1(e)(3))	Exempt	Exempt	Not Exempt
NC NATIONAL GUARD	Rules exercising court-martial jurisdiction. (GS 150B-1(c)(1))	Exempt	Exempt	Exempt

A SECURITY OF THE SECURITY OF	ALC: 10 CONTROL OF THE PROPERTY OF THE PROPERT	EXEMPTION FROM
A SACRAMAN CONTRACTOR	The company of the Control of the Co	THE RESIDENCE OF LIGHT LIGHT AND THE PROPERTY OF THE PERSON OF THE PERSO
A Track of the Control of the Contro		The second control of
ACTMOV	PURPOSE OF EXTEMPTION	RULE CONTESTIBLE UUD (CIAL
AGENCY THE	FUNCTORD OF EARLY LEGA	COLL COLL COLLEGE
	The second secon	
110,000,000,000,000,000,000		MAKING CASES REVIEW
A CONTRACTOR OF THE PROPERTY O		Article Article 3 or Article 4
A CONTRACT OF THE PROPERTY OF		Article Article 3 or Article 4
A Province of the Control of the Con	1 (1 (1 (1 (1 (1 (1 (1 (1 (1 (1 (1 (1 (1	A CONTRACTOR OF THE PROPERTY O
A CONTRACTOR OF THE PROPERTY O	and the property of the second	
AND THE PROPERTY OF THE PROPER	Approximate the control of the contr	-1 $2A$ $3A$
A STATE OF THE STA		A CONTRACTOR OF THE PROPERTY O

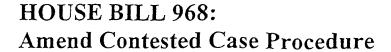
OCCUPATIONAL SAFETY & HEALTH REVIEW BOARD	All hearings.	Not Exempt	Exempt	Not Exempt
DEPT. OF REVENUE	Applies to all rules. (GS 150B-1(d)(4))	Partially Exempt ⁴	Exempt	Not Exempt
RULES REVIEW COMMISSION	Applies to all rules. (GS 150B-(d)(1))	Exempt	Not Exempt	Exempt
STATE AGENCIES SWORN LAW ENFORCEMENT OFFICERS	Rules and procedures established by the head of each principal State department governing the selection and retention of sworn law enforcement officers. (GS 143-166.40(b))	Exempt	Exempt	Exempt
TOBACCO RESEARCH COMM.	All rules/policies adopted by the Commission. (GS 106-568.8)	Exempt	Exempt	Exempt
TEACHERS & STATE EMPLOYEES HEALTH PLAN	Rules administering the Comprehensive Major Medical Plan. (GS 150B-1(d)(7))	Exempt	Not Exempt	Not Exempt
DEPT. OF TRANSPORTATION	Applies to all hearings, except those involving adjustment and resolution of highway construction contract claims. (GS 150B-1(e)(8))	Not Exempt	Exempt	Not Exempt
University of North Carolina	All rules and hearings.	Exempt	Exempt	Not Exempt
UTILITIES COMMISSION	Applies to all rules. (GS 150B-1(c)(3))	Exempt	Exempt	Exempt
WILDLIFE RESOURCES COMM.	Rules increasing nonresident license fees if the Commission finds that the other state has a nonresident wildlife resources fee that exceeds the fee for a comparable nonresident license in NC. (GS 113-275 (a1))	Exempt	Notal Carlotte	Not Exempt

⁴ The Department of Revenue is exempt from the notice and hearing requirements. The Department's rules must still be reviewed and approved by the Rules Review Commission and are subject to legislative disapproval.

EXHIBIT F

- HB 968, 2nd ed., Bill & Summary
- Contested Case Statistics



BILL ANALYSIS

Committee: House Ways and Means

Date: Version: April 20, 1999

Proposed Committee Substitute

(H968-CSRO-003)

Introduced by: Reps. Nesbitt, Wilson,

Redwine

Summary by:

Karen Cochrane Brown

Staff Attorney

SUMMARY:

The proposed committee substitute for House Bill 968 amends the Administrative Procedure Act to give administrative law judges within the Office of Administrative Hearings, final decision-making authority in contested cases, and to give both parties to a contested case the right to seek judicial review of the final administrative decision in Superior Court.

CURRENT LAW:

Under current law, administrative actions may be challenged by means of a contested case hearing. The Administrative Procedure Act (APA) sets forth the process for commencing and conducting a hearing and authorizes the Office of Administrative Hearings to assign an administrative law judge (ALJ) to hear each case. After hearing evidence, in accordance with the Rules of Evidence as applied in the trial division of the General Court of Justice, the ALJ makes a recommended decision including findings of fact and conclusions of law.

The recommended decision is then returned with the official record to the agency that made the initial decision for a final decision. The agency may adopt or reject the recommended decision. If the agency rejects the recommended decision, it must include in its final decision the specific reasons why it did not adopt the ALJ's recommended decision and the final decision must be supported by substantial evidence. Substantial evidence means relevant evidence a reasonable mind might accept as adequate to support a conclusion.

Once a final decision is made, an aggrieved person (which, by definition cannot be an agency in most cases) may seek judicial review of the final decision in Superior Court.

BILL ANALYSIS:

This bill simplifies the administrative review process by making the ALJ the final decision-maker. Contested cases will continue to be commenced and conducted as they are under present law. However, once the ALJ makes a decision, it will be the final administrative decision, rather than merely a recommendation. The bill also amends the APA to allow agencies to seek judicial review of administrative decisions by which they are aggrieved, in the same manner as now applies to petitioners.

The bill make one significant exception for cases involving Certificate of Need, under Chapter 131E. These cases will continue to be finally decided by the agency. However, the agency will be required to cite specific reasons based on evidence in the record, for not adopting the findings of fact made by the

This act would become effective January 1, 2000, and apply to contested cases commenced on or after that date

•			
		·	

GENERAL ASSEMBLY OF NORTH CAROLINA

SESSION 1999

H

HOUSE BILL 968 Committee Substitute Favorable 4/22/99

	Short Title: Amend Contested Case Proc.	(Publi
	Sponsors:	
	Referred to:	
	Артіl 12, 1999	
	A BILL TO BE ENTITLED 2 AN ACT TO MODIFY THE PROCEDURES CONCERNING 3 ADMINISTRATIVE DECISIONS IN CONTESTED CASES HEARD 4 OFFICE OF ADMINISTRATIVE HEARINGS. 5 The General Assembly of North Carolina enacts: 6 Section 1. G.S. 150B-2(5) reads as rewritten: 7 "(5) "Party" means any person or agency named or admitted a or properly seeking as of right to be admitted as a princludes the agency as appropriate. This subdivision of the complete of	s a party arty and
13 13 13	1 cmployee of the agency, to petition for initial judicial restance that decision." Section 2. G.S. 150B-29(a) reads as republicant	fficer or eview of
15 16 17 18 19 20 21 22	shall be excluded. Except as otherwise provided, the rules of evidence as ap the trial division of the General Court of Justice shall be followed; but evidence is not reasonably available under the rules to show relevant facts, to most reliable and substantial evidence available shall be admitted. On the	oplied in t, when then the judge's missible se must vidence.

```
1 administrative law judge in making a recommended decision, by the agency in
2 making a final decision, or by the court on judicial review."
             Section 3. G.S. 150B-34 reads as rewritten:
```

"§ 150B-34. Recommended Final decision or order of administrative law judge. order.

- (a) Except as provided in G.S. 150B-36(e), subsection (c) of this section, in each 6 contested case the administrative law judge shall make a recommended final decision 7 or order that contains findings of fact and conclusions of law.
 - (b) Repealed by Session Laws 1991, c. 35, s. 6.
- (c) Notwithstanding subsection (a) of this section, in cases arising under Article 9 10 of Chapter 131E of the General Statutes, the administrative law judge shall make a 11 recommended decision or order that contains findings of fact and conclusions of law. 12 A final decision shall be made by the agency after review of the official record as 13 defined in G.S. 150B-37(a) and shall include findings of fact and conclusions of law. 14 The final agency decision shall recite and address all of the facts set forth in the 15 recommended decision. For each finding of fact in the recommended decision not 16 adopted by the agency, the agency shall state the specific reason, based on the 17 evidence, for not adopting the findings of fact and the agency's findings shall be 18 supported by substantial evidence admissable under G.S. 150B-29(a), 150B-30, or 150B-31. 19
 - (d) Except for the exemptions contained in G.S. 150B-1, and subsection (c) of this section, the provisions of this section shall apply to all agencies subject to Article 3 of this Chapter, notwithstanding any other provisions to the contrary relating to recommended decisions by administrative law judges and final agency decisions."

Section 4. G.S. 150B-35 reads as rewritten:

25 "§ 150B-35. No ex parte communication; exceptions.

Unless required for disposition of an ex parte matter authorized by law, neither 27 the administrative law judge assigned to a contested case nor a member or employee 28 of the agency making a final decision in the case may not communicate, directly or 29 indirectly, in connection with any issue of fact, or question of law, with any person or 30 party or his representative, except on notice and opportunity for all parties to 31 participate." -

32

20

24

26

33

. 34

38

39

Section 5. G.S. 150B-36 is repealed.

Section 6. G.S. 150B-37 reads as rewritten:

"§ 150B-37. Official record.

- (a) In a contested case, the Office of Administrative Hearings shall prepare an 35 36 official record of the case that includes: 37
 - Notices, pleadings, motions, and intermediate rulings; (1)
 - Questions and offers of proof, objections, and rulings thereon; (2)

Evidence presented;

- 40 Matters officially noticed, except matters so obvious that a (4) statement of them would serve no useful purpose; and 41 42
 - Repealed by Session Laws 1987, c. 878, s. 25. (5)
- 43 The administrative law judge's recommended final decision, or (6) 44 order.

- (b) Proceedings at which oral evidence is presented shall be recorded, but need 2 not be transcribed unless requested by a party. Each party shall bear the cost of the 3 transcript or part thereof or copy of said transcript or part thereof which said party 4 requests, and said transcript or part thereof shall be added to the official record as an 5 exhibit.
- (c) The Office of Administrative Hearings shall forward a copy of the official 6 7 record to the agency making the final decision and shall forward a copy of the 8 recommended decision to each party."

Section 7. G.S. 150B-44 reads as rewritten:

10 "§ 150B-44: Right to judicial intervention when decision unreasonably delayed.

Unreasonable delay on the part of any agency or administrative law judge in taking 11 12 any required action shall be justification for any person whose rights, duties, or 13 privileges are adversely affected by such delay to seek a court order compelling 14 action by the agency or administrative law judge. An agency that is subject to 15 Article 3 of this Chapter and is not a board or commission has 90 days from the day 16 it receives the official record in a contested case from the Office of Administrative 17 Hearings to make a final decision in the case. This time limit may be extended by 18 the parties or, for good cause shown, by the agency for an additional period of up to 19 90 days. An agency that is subject to Article 3 of this Chapter and is a board or 20 commission has 90 days from the day it receives the official record in a contested case 21 from the Office of Administrative Hearings or 90 days after its next regularly 22 scheduled meeting, whichever is longer, to make a final decision in the case. This 23 time limit may be extended by the parties or, for good cause shown, by the agency for 24 an additional period of up to 90 days. If an agency subject to Article 3 of this 25 Chapter has not made a final decision within these time limits, the agency is 26 considered to have adopted the administrative law judge's recommended decision as 27 the agency's final decision. Failure of an administrative law judge subject to Article 28 3 of this Chapter or failure of an agency subject to Article 3A of this Chapter to 29 make a final decision within 180 days of the close of the contested case hearing is 30 justification for a person whose rights, duties, or privileges are adversely affected by 31 the delay to seek a court order compelling action by the agency or, if the ease was 32 heard by an administrative law judge, or by the administrative law judge."

Section 8. G.S. 150B-43 reads as rewritten:

34 "§ 150B-43. Right to judicial review.

Any person party who is aggrieved by the final decision in a contested case, and 36 who has exhausted all administrative remedies made available to him the party by 37 statute or agency rule, is entitled to judicial review of the decision under this Article, 38 unless adequate procedure for judicial review is provided by another statute, in which 39 case the review shall be under such other statute. Nothing in this Chapter shall 40 prevent any person party from invoking any judicial remedy available to him the 41 party under the law to test the validity of any administrative action not made 42 reviewable under this Article." 43

Section 9. G.S. 150B-47 reads as rewritten:

44 "§ 150B-47. Records filed with clerk of superior court; contents of records; costs.

Within 30 days after receipt of the copy of the petition for review, or within such 2 additional time as the court may allow, the agency that made the final decision in 3 the contested case Office of Administrative Hearings shall transmit to the reviewing 4 court the original or a certified copy of the official record in the contested case under 5 review together with: (i) any exceptions, proposed findings of fact, or written 6 arguments submitted to the agency in accordance with G.S. 150B-36(a); and (ii) the 7 agency's final decision or order, review. With the permission of the court, the record 8 may be shortened by stipulation of all parties to the review proceedings. Any party 9 unreasonably refusing to stipulate to limit the record may be taxed by the court for 10 such additional costs as may be occasioned by the refusal. The court may require or permit subsequent corrections or additions to the record when deemed desirable."

Section 10. G.S. 150B-49 reads as rewritten:

"§ 150B-49. New evidence.

12 13

31

33

35

36

.37

An aggrieved person A party who files a petition in the superior court may apply 15 to the court to present additional evidence. If the court is satisfied that the evidence 16 is material to the issues, is not merely cumulative, and could not reasonably have 17 been presented at the administrative hearing, the court may remand the case so that 18 additional evidence can be taken. If an administrative law judge did not make a 19 recommended final decision in the case, the court shall remand the case to the 20 agency that conducted the administrative hearing, hearing, under Article 3A of this 21 Chapter and under G.S. 150B-34(c) of this Chapter. After hearing the evidence, the 22 agency may affirm or modify its previous findings of fact and final decision. If an 23 administrative law judge made a recommended the final decision in the case, the 24 court shall remand the case to the administrative law judge. After hearing the 25 evidence, the administrative law judge may affirm or modify his previous findings of 26 fact and recommended final decision. The administrative law judge shall forward a 27 copy of his decision to the agency that made the final decision, which in turn may 28 affirm or modify its previous findings of fact and final decision. The additional evidence and any affirmation or modification of a recommended decision or final decision shall be made part of the official record."--

Section 11. G.S. 150B-50 reads as rewritten:

"§ 150B-50. Review by superior court without jury.

The review by a superior court of agency administrative decisions under this 34 Chapter shall be conducted by the court without a jury."

Section 12. G.S. 150B-51 reads as rewritten:

"§ 150B-51. Scope of review.

(a) Initial Determination in Certain Cases. In reviewing a final decision in a 38 contested case in which an administrative law judge made a recommended decision. 39 the court shall make two initial determinations. First, the court shall determine 40 whether the agency heard new evidence after receiving the recommended decision. 41 If the court determines that the agency heard new evidence, the court shall reverse 42 the decision or remand the case to the agency to enter a decision in accordance with 43 the evidence in the official record. Second, if the agency did not adopt the 44 recommended decision, the court shall determine whether the agency's decision states

the specific reasons why the agency did not adopt the recommended decision. If the court determines that the agency did not state specific reasons why it did not adopt a recommended decision, the court shall reverse the decision or remand the case to the agency to enter the specific reasons.

(b) Standard of Review. After making the determinations, if any, required by

6 subsection (a), the The court reviewing a final decision may affirm the decision of the agency or remand the case for further proceedings. It may also reverse or modify the agency's decision if the substantial rights of the petitioners may have been prejudiced because the agency's findings, inferences, conclusions, or decisions are:

(1) In violation of constitutional provisions;

(2) In excess of the statutory authority or jurisdiction of the agency;

(3) Made upon unlawful procedure;

(4) Affected by other error of law;

Unsupported by substantial evidence admissible under G.S. 150B-29(a), 150B-30, or 150B-31 in view of the entire record as submitted; or

(6) Arbitrary or capricious."

Section 13. This act becomes effective January 1, 2000, and applies to contested cases commenced on or after the effective date.

10

11

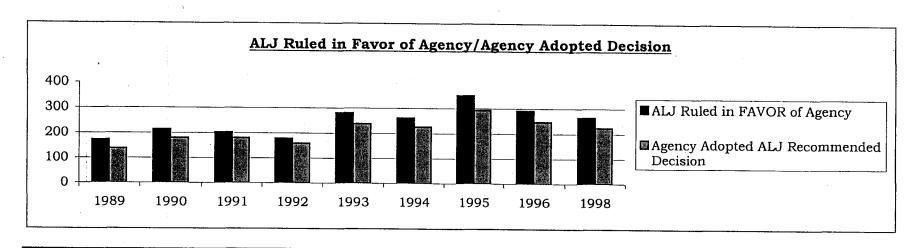
12

13

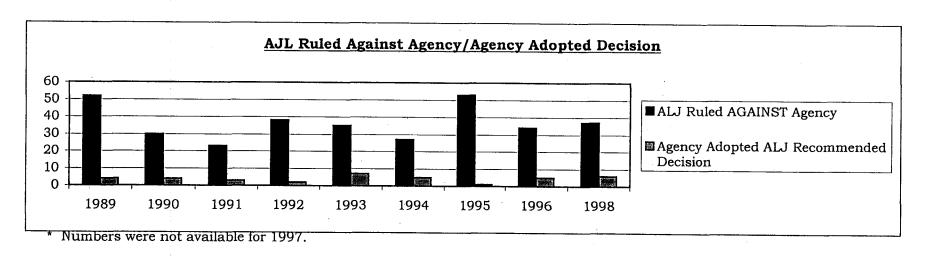
	*				
			,		
		•			
			,		
				·	
			,		

CONTESTED CASE STATISTICS 1989-1998*

(GHAVRIO)	#1989#	1990	1991	1992	1/9/9/6	الإفاوال	1005	1995	1998
ALJ Ruled in FAVOR of Agency	172	214	202	178	281	261	351	291	265
Agency Adopted ALJ Recommended Decision	137	180	180	159	238	226	293	247	224



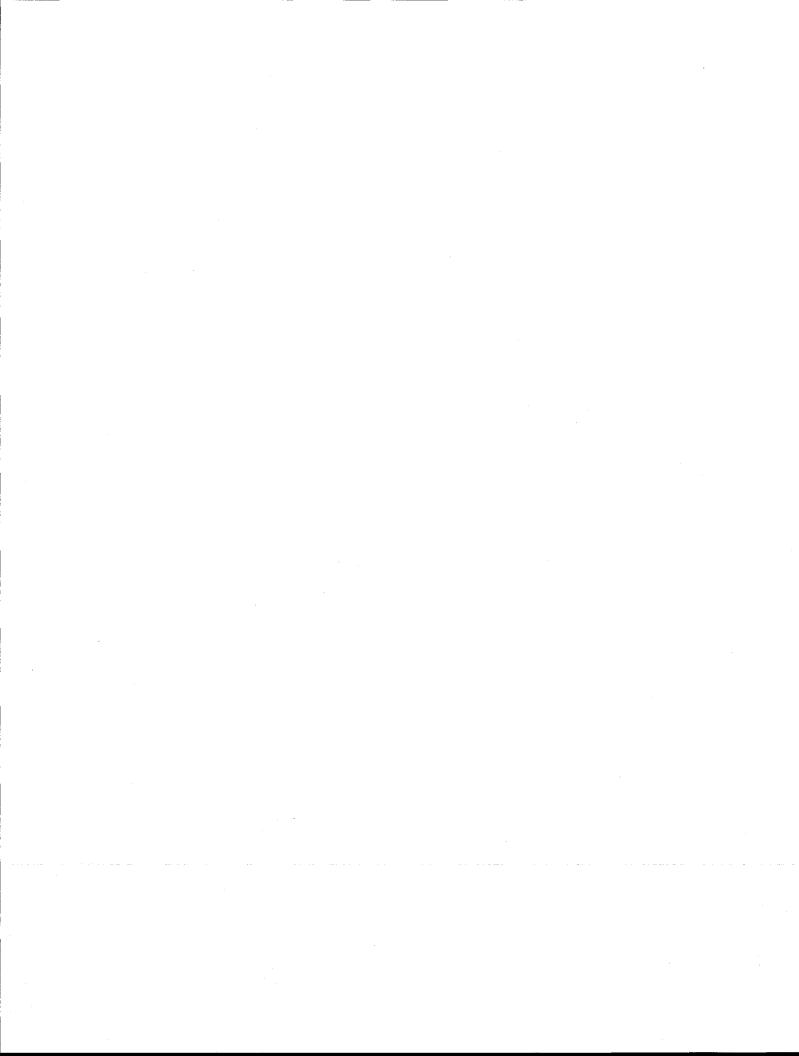
CHARLETT	1989	1990	19915	16992	ાંઉપરા	19949	E91005	1996	#X1998#
ALJ Ruled AGAINST Agency	52	. 30	23	38	35	27	53	34	37
Agency Adopted ALJ Recommended Decision	4	4	3	2	7	5	1	5	6



		•			
			•	,	
				• .	
	1881 81 1				
•					

EXHIBIT G

Constitutionality of HB 968





North Carolina General Assembly Legislative Services Office

George R. Hall, Legislative Services Officer (919) 733-7044

Elaine W. Robinson, Director Administrative Division Room 5, Legislative Building 16 W. Jones Street Raleigh, NC 27603-5925 (919) 733-7500 Gerry F. Cohen, Director Bill Drafting Division Suite 401, LOB 300 N. Salisbury St. Raleigh, NC 27603-5925 (919) 733-6660 James D. Johnson, Director Fiscal Research Division Suite 619, LOB 300 N. Salisbury St. Raleigh, NC 27603-5925 (919) 733-4910 Tony C. Goldman, Director Information Systems Division Suite 400, LOB 300 N. Salisbury St. Raleigh, NC 27603-5925 (919) 733-6834 Terrence D. Sullivan, Director Research Division Suite 545, LOB 300 N. Salisbury St. Raleigh, NC 27603-5925 (919) 733-2578

April 17, 2000

MEMORANDUM

TO:

Karen Cochrane-Brown

FROM:

Gerry Cohen, Director of Legislative Drafting

SUBJECT:

Final Decisions by ALJ's

I have read the July 6, 1999 AG opinion from Ann Reed, Lars Nance, and Tom Moffitt stating that House Bill 968 violates the separation of powers doctrine, as well as a later article by Tom and Mary Thompson expanding on the opinion.

Upon reviewing the matter at hand, it is my opinion that to grant final decision-making to Administrative Law Judges is reasonably necessary for the purpose for which they were created, and thus assigning that power would not be an unconstitutional grant of quasi-judicial powers and thus would not be the establishment of a court in contravention of the Constitution.

The two documents from the AG's office basically state that the power to decide these contested cases is a quasi-judicial power vested in the executive agency, under the power of Article IV, Section 3 of the Constitution, which states that "The General Assembly may vest in administrative agencies established pursuant to law such judicial powers as may be reasonably necessary as an incident to the accomplishment of the purposes for which the agencies were created. Appeals from administrative agencies shall be to the General Court of Justice.

My initial opinion had been that if the power was exercised by an executive agency (State Personnel), it could also be exercised by another administrative agency (the ALJ's). Upon re-reading the opinion and article, I am convinced that in fact the contested case procedure before State Personnel is quasi-judicial in nature, and a quasi-judicial proceeding can only be assigned by the General Assembly to the Executive Branch "as may be reasonably necessary as an incident to the accomplishment of the purposes for which the agencies were created", which in the case involved relates to personnel issues. Assigning the quasi-judicial power to OAH would not be permitted unless it was "incident to the accomplishment of the purposes for which the agencies were created", because if it was not then the assignment of the powers to ALJ's would be the unconstitutional creation of a new court.

In the case of EMPLOYMENT SEC. COMM'N OF NORTH CAROLINA V. PEACE, 128 N.C. App. 1, 493 S.E.2d (Ct. App. 1997)*, the Court of Appeals stated: "an ALJ's decision with respect to a deferred charge is not a judicial decision, but rather a final agency decision. This becomes apparent upon an AN EQUAL OPPORTUNITY/AFFIRMATIVE ACTION EMPLOYER

evaluation of the rationale for the creation of OAH. According to the Administrative Procedure Act as originally adopted, 1973 N.C. Sess. Laws ch. 1331, § 150-30(a), the presiding officers for administrative hearings were designated by either an agency itself or by statute. In an effort to obtain nonbiased hearing officers with specialized knowledge of the issues presented, the General Assembly created OAH, an independent, quasi-judicial agency in order to "provide a source of independent hearing officers to preside in administrative cases and thereby prevent the commingling of legislative, executive, and judicial functions in the administrative process." N.C. Gen. Stat. § 7A-750 (1985)." Thus, because OAH was established as part of the executive branch pursuant to N.C. Const. art. III, § 11, it is not a court, and does not function as such when making final agency decisions on charges deferred from EEOC. The holding in the Peace case essentially is that hearing and deciding cases is a purpose incident to the purpose for which OAH was created. Although this may be a classic case of "bootstrapping", it appears to be good law.

* appeal dismissed 349 N.C. 315, 507 S.E.2d 272 (1999). (On 6 January 1998, the ESC petitioned this Court for discretionary review seeking to have this Court determine whether the OAH acted ultra vires when it adjudicated petitioner's title VII claim. Contemporaneously with its petition for discretionary review, ESC {*321} filed with this Court a notice of appeal asserting a substantial constitutional question pursuant to N.C.G.S. § 7A-30(1) as to whether the provisions of N.C.G.S. § 7A-759(d) and (e) violate the provisions of Article IV, Sections 1 and 3 of the North Carolina Constitution. This Court entered an order allowing discretionary review and retaining ESC's notice of appeal; upon review, we conclude this petition was improvidently allowed, and such appeal should be dismissed)

EXHIBIT H

Temporary Rules Adopted Pursuant to SL 99-463

•		

TEMPORARY RULES ADOPTED PURSUANT TO THE HURRICANE FLOYD RELIEF ACT SL 99-463, Sec. 4 As of 4/19/00

RULE #	TIMES OF THE	SUBJECT	EFFECTIVE DATE	EXPIRATION DATE
DEPARTMENT OF AGRI	CULTURE			of a Constitution of the C
2 NCAC 55 .0101	Purpose	General information governing	02/01/00	12/31/00
2 NCAC 55 .0102	Availability of Funds	Hurricane Floyd Recovery Programs for	02/01/00	12/31/00
2 NCAC 55 .0103	Definitions	Agriculture rules.	02/01/00	12/31/00
2 NCAC 55, 0104	Expiration		02/01/00	12/31/00
2 NCAC 55 .0201	Eligibility for Assistance	Crop loss and livestock assistance	02/01/00	12/31/00
2 NCAC 55 .0202	Application Procedures		02/01/00	12/31/00
2 NCAC 55 .0203	Maximum Level of Assistance		02/01/00	12/31/00
2 NCAC 55 .0301	Eligibility for Assistance	Structure and equipment loss	02/01/00	12/31/00
2 NCAC 55 .0302	Application Procedures	assistance.	02/01/00	12/31/00
2 NCAC 55 .0303	Maximum Level of Assistance		02/01/00	12/31/00
2 NCAC 55 .0401	Eligibility for Assistance	Emergency Conservation Program cost	02/01/00	12/31/00
2 NCAC 55 .0402	Application Procedures	share buy-down.	02/01/00	12/31/00
2 NCAC 55 .0403	Maximum Level of Assistance		02/01/00	12/31/00
2 NCAC 55 .0501	Applications for Assistance	Commodity associations and	02/01/00	12/31/00
2 NCAC 55 .0502	Eligibility for Assistance	cooperatives assistance	02/01/00	12/31/00
2 NCAC 55 .0503	Level of Assistance		02/01/00	12/31/00
Total Dept. of Agric	culture	16		27 TO 100
DEPARTMENT OF COM	MERCE			
4 NCAC 1L .0101	Scope	Grants to Small Business Association	02/18/00	01/01/03
4 NCAC 1L .0102	Eligibility	(SBA) Disaster Home Loan applicants.	02/18/00	01/01/03
4 NCAC 1L. 0103	Benefits		02/18/00	01/01/03
4 NCAC 1L .0104	Procedures for Homeowners		02/18/00	01/01/03

RULE#	THE PARTIES	SUBJECT		EXPRATOOR DATE
Signification (New York, Name of Street, Name	The state of the s		UAUE	P WANTED
4 NCAC 1L .0105	Procedures for Counties		02/18/00	01/01/03
4 NCAC 1L .0106	Appeal		02/18/00	01/01/03
4 NCAC 1M .0101	Scope	Loans and interest rebates to small	02/18/00	01/01/03
4 NCAC 1M .0102	Eligibility	businesses damaged by Hurricane	02/18/00	01/01/03
4 NCAC 1M .0103	Benefits Under the Interest Rebate Program	Floyd.	02/18/00	01/01/03
4 NCAC 1M .0104	Benefits Under NC READE		02/18/00	01/01/03
4 NCAC 1M .0105	Procedures for Interest Rebate for SBA Borrowers		02/18/00	01/01/03
4 NCAC 1M .0106	Procedures for NC READE		02/18/00	01/01/03
4 NCAC 1M .0107	Appeal		02/18/00	01/01/03
Total Department of		13	4,89	The second second
15A NCAC 1C .0505	Non-Major Hurricane Relief Activity	Streamline the breadth and scope of existing State Environmental Policy Act	02/04/00	01/01/03
		(SEPA) rules to expedite review of activities funded from the Hurricane Floyd Reserve Fund.		
15A NCAC 1P .0101	General	Establishes the application procedures,	02/04/00	01/01/03
15A NCAC 1P .0102	Definitions	eligibility requirements, and process	02/04/00	01/01/03
15A NCAC 1P .0103	Application and Eligibility Review Process	for disbursement of funds to	02/04/00	01/01/03
15A NCAC 1P .0104	Eligibility Notification – Dispute Process	commercial fishermen for loss or for	02/04/00	01/01/03
15A NCAC 1P .0105	Appeals Process	damages or loss of equipment.	02/04/00	01/01/03
15A NCAC 1Q .0101	Remediation of High-Risk Junkyards & Solid Waste Sites	Establishes criteria for remediating high risk solid waste sites and junkyards in the 100 yr. flood plains in areas affected by Hurricane Floyd.	02/04/00	01/01/03
Total Dept. of Enviro	onment and Natural Resources (DENR)	7	100	100
DEPARTMENT OF HEALT	TH AND HUMAN SERVICES (DHHS)/SOCIAL SERVICES COM	MISSION		
10 NCAC 29C .0203	Benefit Levels	Benefit levels for the Crisis Intervention Program which provides assistance to low-income families with heating and cooling related needs as a	03/13/00	06/30/01
:		result of Hurricane Floyd.	_	

建数 RULE#。。	g Die Germanne (2001) angen TUTILE and angen Section (2004) (2	SUBJECT	EFFECTIVE EXPIRA	
The compliance of the state of			DATE	DATE
10 NCAC 29C .0204	Method of Payment	Designates methods of payment for Crisis Intervention Program.	03/23/00	06/30/01
Total Dept. of Healt	h & Human Services/Social Services Commission	2	Maria Maria da Santa de Caracterio de Caracterio de Caracterio de Caracterio de Caracterio de Caracterio de Ca	Company of the Company of the
DEPARTMENT OF REVEN			00/04/00	07/04/00
17 NCAC 10 .0204	Grants to Counties and Cities to Offset Hurricane Losses	Information counties & cities must provide to receive local support grants.	02/24/00	07/01/00
Total Dept. of Rever	nue	1	ALK TO LESS	
TOTAL ALL AGENCI	ES .	39	100 100 100 100 100 100 100 100 100 100	