



North Carolina Department of Public Safety


Prevent. Protect. Prepare.

Roy Cooper, Governor

Cassandra Skinner Hoekstra, Interim Secretary

MEMORANDUM

TO: Chairs of the Joint Legislative Oversight Committee on Justice and Public Safety
Chairs of the House Appropriations Committee on Justice and Public Safety
Chairs of the Senate Appropriations Committee on Justice and Public Safety

FROM: Cassandra Skinner Hoekstra, Interim Secretary 

RE: Lapsed Salary Report

DATE: August 1, 2021

Pursuant to S.L. 2017-57, Section 16.3, the Department of Public Safety shall report on February 1 and August 1 of each year to the chairs of the Joint Legislative Oversight Committee on Justice and Public Safety and the chairs of the House of Representatives Appropriations Committee on Justice and Public Safety and the Senate Appropriations Committee on Justice and Public Safety. The report shall include the following:

- (1) Amount of lapsed salary generated by fund code for the previous six months.*
- (2) An itemized accounting of the use of lapsed salary funds including:*
 - a. Fund code.*
 - b. Current certified budget.*
 - c. Annual projected expenditure.*
 - d. Annual projected shortfall.*
 - e. Amount of lapsed salary funds transferred to date.*

The August 1 report shall include an annual accounting of this information for the previous fiscal year.

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NC Department of Public Safety
Lapsed Salary Report for the General Assembly
July 1, 2020 - June 30, 2021

Account Category	Account Category Description	Certified Budget*	Actual FY21 Expenditures	Actual FY21 Surplus (Shortfall)	Actual FY21 Use of Lapsed Salary**	Actual FY21 Appropriated Lapsed Salary*** Generated by Program Area
DPS Administration, including GCC and Victim Services		\$ 74,219,047.00	\$ 89,431,744.30	\$ (15,212,697.30)	\$ -	\$ -
531XXX	PERSONAL SERVICES	44,028,475.00	47,836,132.38	(3,807,657.38)	(1,551,631.00)	
532XXX	PURCHASED SERVICES	28,288,811.00	38,559,979.97	(10,271,168.97)	1,497,796.00	
533XXX	SUPPLIES	944,591.00	779,884.87	164,706.13	31,545.00	
534XXX	PROPERTY, PLANT AND EQUIPMENT	897,253.00	2,146,159.48	(1,248,906.48)	18,030.00	
535XXX	OTHER EXPENSES & ADJUSTMENTS	59,917.00	109,587.60	(49,670.60)	4,260.00	
Juvenile Justice		\$ 162,064,986.00	\$ 147,010,804.92	\$ 15,054,181.08	\$ (556,500.00)	\$ 9,564,036.55
531XXX	PERSONAL SERVICES	107,227,364.00	97,422,109.95	9,805,254.05	(3,366,692.00)	
532XXX	PURCHASED SERVICES	50,910,630.00	44,286,724.50	6,623,905.50	2,810,192.00	
533XXX	SUPPLIES	3,206,125.00	2,931,874.12	274,250.88	-	
534XXX	PROPERTY, PLANT AND EQUIPMENT	616,851.00	2,274,521.89	(1,657,670.89)	-	
535XXX	OTHER EXPENSES & ADJUSTMENTS	104,016.00	95,574.46	8,441.54	-	
Prisons		\$ 1,500,052,690.00	\$ 1,500,888,619.08	\$ (835,929.08)	\$ (1,440,417.00)	\$ 49,142,031.83
531XXX	PERSONAL SERVICES	1,150,382,234.00	1,101,099,820.44	49,282,413.56	(26,486,904.00)	
532XXX	PURCHASED SERVICES	214,545,902.00	235,015,609.42	(20,469,707.42)	8,808,620.00	
533XXX	SUPPLIES	121,293,628.00	147,663,626.19	(26,369,998.19)	16,234,311.00	
534XXX	PROPERTY, PLANT AND EQUIPMENT	11,169,248.00	13,634,739.40	(2,465,491.40)	2,706.00	
535XXX	OTHER EXPENSES & ADJUSTMENTS	2,661,678.00	3,474,823.63	(813,145.63)	850.00	
Community Correction		\$ 223,621,135.00	\$ 218,588,149.61	\$ 5,032,985.39	\$ 1,115,297.00	\$ 6,583,869.95
531XXX	PERSONAL SERVICES	184,755,360.00	178,201,154.18	6,554,205.82	374,929.00	
532XXX	PURCHASED SERVICES	35,042,017.00	36,123,937.37	(1,081,920.37)	595,142.00	
533XXX	SUPPLIES	2,503,616.00	2,981,370.50	(477,754.50)	141,977.00	
534XXX	PROPERTY, PLANT AND EQUIPMENT	1,184,002.00	1,178,370.78	5,631.22	1,898.00	
535XXX	OTHER EXPENSES & ADJUSTMENTS	136,140.00	103,316.78	32,823.22	1,351.00	
ALE		\$ 14,674,181.00	\$ 14,610,014.76	\$ 64,166.24	\$ -	\$ 414,755.98
531XXX	PERSONAL SERVICES	12,085,725.00	11,882,427.88	203,297.12	(135,547.00)	
532XXX	PURCHASED SERVICES	639,455.00	501,971.68	137,483.32	10,921.00	
533XXX	SUPPLIES	627,663.00	432,787.99	194,875.01	-	
534XXX	PROPERTY, PLANT AND EQUIPMENT	726,574.00	1,077,695.08	(351,121.08)	2,507.00	
535XXX	OTHER EXPENSES & ADJUSTMENTS	594,764.00	715,132.13	(120,368.13)	122,119.00	
State Capitol Police		\$ 6,394,205.00	\$ 7,507,739.91	\$ (1,113,534.91)	\$ 112,829.00	\$ -
531XXX	PERSONAL SERVICES	6,044,789.00	6,727,485.86	(682,696.86)	(35,550.00)	
532XXX	PURCHASED SERVICES	76,136.00	306,290.02	(230,154.02)	72,853.00	
533XXX	SUPPLIES	140,296.00	233,705.88	(93,409.88)	10,638.00	
534XXX	PROPERTY, PLANT AND EQUIPMENT	28,422.00	83,873.95	(55,451.95)	18,285.00	
535XXX	OTHER EXPENSES & ADJUSTMENTS	104,562.00	156,384.20	(51,822.20)	46,603.00	
State Highway Patrol		\$ 286,045,297.00	\$ 284,509,169.32	\$ 1,536,127.68	\$ 8,605,841.00	\$ 11,265,943.22
531XXX	PERSONAL SERVICES	213,079,211.00	202,778,323.11	10,300,887.89	2,450,577.00	
532XXX	PURCHASED SERVICES	13,836,313.00	17,521,637.26	(3,685,324.26)	774,163.00	
533XXX	SUPPLIES	11,720,403.00	10,615,466.83	1,104,936.17	-	
534XXX	PROPERTY, PLANT AND EQUIPMENT	39,276,361.00	41,185,953.64	(1,909,592.64)	1,357,156.00	
535XXX	OTHER EXPENSES & ADJUSTMENTS	8,133,009.00	12,407,788.48	(4,274,779.48)	4,023,945.00	
State Bureau of Investigation		\$ 58,317,701.00	\$ 65,124,586.99	\$ (6,806,885.99)	\$ 1,977,935.00	\$ 89,934.66
531XXX	PERSONAL SERVICES	41,095,875.00	40,632,925.50	462,949.50	1,176,790.00	
532XXX	PURCHASED SERVICES	8,733,023.00	10,985,932.30	(2,252,909.30)	295,345.00	
533XXX	SUPPLIES	1,061,155.00	1,071,161.62	(10,006.62)	-	
534XXX	PROPERTY, PLANT AND EQUIPMENT	2,402,065.00	5,417,813.58	(3,015,748.58)	-	
535XXX	OTHER EXPENSES & ADJUSTMENTS	5,025,583.00	7,016,753.99	(1,991,170.99)	505,800.00	

Account Category	Account Category Description	Certified Budget*	Actual FY21 Expenditures	Actual FY21 Surplus (Shortfall)	Actual FY21 Use of Lapsed Salary**	Actual FY21 Appropriated Lapsed Salary*** Generated by Program Area
NC Emergency Management		\$ 21,309,972.00	\$ 33,766,707.83	\$ (12,456,735.83)	\$ 1,064,652.00	\$ -
531XXX	PERSONAL SERVICES	16,484,963.00	16,614,554.55	(129,591.55)	194,403.00	-
532XXX	PURCHASED SERVICES	4,204,638.00	10,960,533.84	(6,755,895.84)	870,220.00	-
533XXX	SUPPLIES	188,489.00	275,854.06	(87,365.06)	-	-
534XXX	PROPERTY, PLANT AND EQUIPMENT	239,297.00	2,713,781.93	(2,474,484.93)	-	-
535XXX	OTHER EXPENSES & ADJUSTMENTS	192,585.00	3,201,983.45	(3,009,398.45)	29.00	-
NC National Guard		\$ 37,049,874.00	\$ 60,351,425.03	\$ (23,301,551.03)	\$ 959,255.00	\$ 399,418.48
531XXX	PERSONAL SERVICES	17,812,847.00	15,858,535.67	1,954,311.33	(121,503.00)	-
532XXX	PURCHASED SERVICES	14,855,194.00	23,818,060.94	(8,962,866.94)	839,584.00	-
533XXX	SUPPLIES	2,334,256.00	1,978,239.20	356,016.80	-	-
534XXX	PROPERTY, PLANT AND EQUIPMENT	1,419,719.00	17,940,711.68	(16,520,992.68)	234,629.00	-
535XXX	OTHER EXPENSES & ADJUSTMENTS	627,858.00	755,877.54	(128,019.54)	6,545.00	-
Butner Public Safety		\$ -	\$ 90,189.00	\$ (90,189.00)	\$ 90,189.00	\$ -
531XXX	PERSONAL SERVICES	-	34,297.84	(34,297.84)	34,297.84	-
532XXX	PURCHASED SERVICES	-	650.00	(650.00)	650.00	-
533XXX	SUPPLIES	-	-	-	-	-
534XXX	PROPERTY, PLANT AND EQUIPMENT	-	-	-	-	-
535XXX	OTHER EXPENSES & ADJUSTMENTS	-	55,241.16	(55,241.16)	55,241.16	-
DPS Grand Total		\$ 2,383,749,088.00	\$ 2,421,879,150.75	\$ (38,130,062.75)	\$ 89,557,917.00	\$ 77,459,990.67
531XXX	PERSONAL SERVICES	1,792,996,843.00	1,719,087,767.36	73,909,075.64	49,698,625.00	-
532XXX	PURCHASED SERVICES	371,132,119.00	418,081,327.30	(46,949,208.30)	17,000,806.00	-
533XXX	SUPPLIES	144,020,222.00	168,963,971.26	(24,943,749.26)	16,456,531.00	-
534XXX	PROPERTY, PLANT AND EQUIPMENT	57,959,792.00	87,653,621.41	(29,693,829.41)	1,635,211.00	-
535XXX	OTHER EXPENSES & ADJUSTMENTS	17,640,112.00	28,092,463.42	(10,452,351.42)	4,766,744.00	-

* Certified budget amounts were adjusted in the noted division as follows to comply with Section 6.3 of S.L. 2018-5

Administration	\$ 3,479,454.00
Juvenile Justice	6,097,958.00
Prisons	109,377,586.00
Community Corrections	8,035,936.00
State Highway Patrol	4,366,348.00
State Bureau of Investigation	1,810,631.00
Total	\$ 133,167,913.00

** Use of Lapsed Salary is considered to be any 531XXX budget moved within personal services or out of the personal services account category. This includes receipts because the RK341 report does not isolate transactions that involved receipts versus appropriations. This categorization was not used consistently by DPS during FY21, and may represent an undercount of overall lapsed salary revisions/usage. However, the RK341 may overstate lapsed salary revisions/usage if other, non-salary accounts, were on the same budget revision.

*** Lapsed Salary Generated is defined as un-used funds available in all of the 531XXX accounts. This definition comports with OSBM's definition of lapsed salary for use in budget revisions. This represents a change from the methodology used to calculate this figure in prior year agency reports. This report excludes receipt-specific 531XXX accounts, since receipts are generally not eligible to be realigned to meet operating shortfalls across funds.

Note: account categories 536XXX (Aid and Public Assistance) and 537XX (Reserves) are not included in the table above, because of the prescribed nature of those appropriations.